Up-to techniques for behavioural metrics

via fibrations

Daniela Petrişan Université de Paris, IRIF joint work with Filippo Bonchi and Barbara König Chocola, Lyon, 9 May 2019

Overview

Part I

- Up-to techniques for behavioural equivalences
- Behavioural metrics coinductively
- A running example: computing distances between regular languages more efficiently

Part II

- A generic framework for proving soundness of up-to techniques using liftings of functors
- The Wasserstein lifting of a Set-functor
- Application to the running example

Part I: Introducing up-to techniques for behavioural metrics

Up-to techniques for behavioural equivalences

Used for proving behavioural equivalences of processes in concurrency theory:

🔋 [Milner. 1989]

Communication and Concurrency. Prentice Hall.

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Applications for automata: The HKC algorithm for checking language equivalence for NFAs

[Bonchi and Pous. 2013] Checking NFA equivalence with bisimulations up to congruence. In POPL. ACM, 457–468. In many cases behavioural equivalences are coinductive predicates, i.e., they can be expressed as the greatest fixpoint νb of a monotone function

 $b: \operatorname{Rel}_Q \to \operatorname{Rel}_Q$,

where Rel_Q is the complete lattice of relations on the state space Q. **Coinduction proof principle:**

$$\frac{(x,y)\in r \qquad r\subseteq b(r)}{(x,y)\in\nu b}$$

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Coinduction up-to $f: \operatorname{Rel}_Q \to \operatorname{Rel}_Q$ **proof principle:**

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Definition (Sound up-to technique)

A function $f: \operatorname{Rel}_Q \to \operatorname{Rel}_Q$ is sound w.r.t. νb when the coinduction up-to f proof principle is valid:

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Definition (Compatible up-to technique) A monotone function $f: \operatorname{Rel}_Q \to \operatorname{Rel}_Q$ is compatible w.r.t. νb when $f \circ b(r) \subseteq b \circ f(r)$ for all relations r.

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Lemma: Compatibility implies soundness.

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• Language equivalence coincides with bisimilarity for deterministic automata, i.e. is νb for $b: \operatorname{Rel}_Q \to \operatorname{Rel}_Q$ given by

 $b(r) = \{(x,y) \mid o(x) = o(y) \text{ and } \forall a \in A, (\delta_a(x), \delta_b(y)) \in r\}$

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• The determinization of an NFA with states *Q* also has an algebraic structure: $\mathcal{P}Q$ is a join-semilattice, and, moreover:

 $L(X) \cup L(Y) = L(X \cup Y), \text{ for } X, Y \in \mathcal{P}Q.$

Example: language equivalence for NFAs

To prove that two states x and y in an NFA accept the same language it suffices to compute a bisimulation relating $\{x\}$ and $\{y\}$ in the determinized automaton. To prove that two states x and y in an NFA accept the same language it suffices to compute a bisimulation relating $\{x\}$ and $\{y\}$ in the determinized automaton.

Define up-to congruence as the map $cgr: Rel_{PQ} \rightarrow Rel_{PQ}$ sending a relation *r* to its closure under equivalence and the rule:

 $\frac{(X_1, Y_1) \in r \quad (X_2, Y_2) \in r}{(X_1 \cup X_2, Y_1 \cup Y_2) \in r}$

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The proof principle:

$$\frac{(x,y) \in r \qquad r \subseteq b(\operatorname{cgr}(r))}{(x,y) \in \nu b}$$

is valid. The HKC algorithm [Bonchi and Pous, POPL'13] computes on-the-fly a bisimulation up-to congruence relating $\{x\}$ and $\{y\}$.

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Computing behavioural metrics is not easy... Can we use up-to techniques? In the process we also discuss systematic liftings of arbitrary Set-functors to pseudo-metrics.

Definition (Shortest distinguishing word distance) Given two languages *L* and *K*, define

$$d_{sdw}(L,K)=c^{|w|},$$

where *c* is a constant such that O < *c* < 1 and *w* is the shortest word which belongs to exactly one of the languages *L*, *K*.

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Example: In the NFA below $d_{sdw}(x_0, y_0) \leq c^n$.



How can we prove such inequalities more efficiently?

For a deterministic automaton, the distance d_{sdw} between the languages accepted by two states can be expressed as the greatest fixpoint νb of a function

 $b: [0,1]^{Q \times Q} \to [0,1]^{Q \times Q}$

defined on the complete lattice $[0,1]^{Q \times Q}$ ordered with the reversed point-wise order <:

$$b(d)(q_1,q_2) = \begin{cases} 1, & \text{if only one of } q_1, q_2 \\ & \text{is accepting} \\ c \cdot \max_{a \in A} d(\delta_a(q_1), \delta_a(q_2)), & \text{otherwise} \end{cases}$$



Option 1 (coinduction): Determinize the NFA and find a distance \overline{d} such that $\overline{d}(\{x_0\}, \{y_0\}) \le c^n$ and $\overline{d} < b(\overline{d})$. Use the coinduction principle:

$$\frac{\overline{d} < b(\overline{d})}{\overline{d} < \nu b}$$



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We obtain $\overline{d} < d_{sdw}$, and hence $d_{sdw}(\{x_0\}, \{y_0\}) \le c^n$.



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Disadvantage: we need to compute \overline{d} for exponentially many pairs of states.



Option 2 (coinduction up-to): use a **sound** up-to context technique which closes a [0, 1]-valued relation under the rules:

$$\frac{d(X_1, X_2) \le r}{f(d)(X_1, X_2) \le r} \quad \frac{f(d)(X_1, X_2) \le r}{f(d)(X_1, V_2) \le r}$$



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Find a relaxed invariant \overline{d} such that $\overline{d} < b(f(\overline{d}))$ and $\overline{d}(\{x_0\}, \{y_0\}) \le c^n$. Use the coinduction up-to principle to conclude $\overline{d} < d_{sdw}$, and hence $d_{sdw}(x_0, y_0) \le c^n$.



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Define $\overline{d}(\{x_i\}, \{y_j\}) = c^{n-\max\{i,j\}}$ and $\overline{d}(X, Y) = 1$ for all other values. Notice that it suffices to define \overline{d} on a linear number of pairs. From the generic framework developed in the rest of the talk, we will establish:

- how both b and f can be expressed in terms of so called Wasserstein liftings of functors to [0,1]-valued relations.
- why the soundness of *f* follows from a generic framework developed previously for up-to techniques in a fibrational setting.

Part II: Soundness of up-to techniques for behavioural metrics

Use the fibrational framework of our previous CSL-LICS'2014 paper to prove the soundness of the quantitative version of the up-to congruence technique. To this end:

- Coinductive predicates (in particular, behavioural metrics) can be expressed via functor liftings
- Up-to techniques can also be expressed via functor liftings
- Discuss functor liftings and in particular what we will call the Wasserstein lifting of a functor
- Apply all this machinery in the example of *d*_{sdw}

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Coinductive predicates describing properties of a coalgebra $\xi: X \to FX$ can be seen as post-fixpoints of a composite map **b**

$$b: \operatorname{Rel}_X \xrightarrow{\overline{F}} \operatorname{Rel}_{FX} \xrightarrow{\xi^{-1}} \operatorname{Rel}_X$$

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$$\overset{}{\mathsf{b}}: \operatorname{Rel}_X \xrightarrow{\overline{F}} \operatorname{Rel}_{FX} \xrightarrow{\xi^{-1}} \operatorname{Rel}_X$$

where \overline{F} is a "lifting" of *F* mapping relations on *X* to relations on *FX* and for $R \subseteq FX \times FX$

 $(x,y) \in \xi^{-1}(R)$ iff $(\xi(x),\xi(y)) \in R$

Example 1: language equivalence via functor liftings

Forgetting about the initial state, a DFA is a coalgebra for the functor $FX = 2 \times X^A$, i.e. a map of the form

$$\langle \mathbf{0}, \delta \rangle : \mathbf{X} \to \mathbf{2} \times \mathbf{X}^{\mathsf{A}}$$
,

with o(q) = 1 iff q is accepting and $\delta(q)(a) = \delta_a(q)$.

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where \overline{F} denotes here the so-called canonical lifting of *F*, i.e., for $R \subseteq X \times X$ and $(o_i, \phi_i) \in FX$ we have

$$(o_1,\phi_1) \overline{F}(R) (o_2,\phi_2) \text{ iff } \begin{cases} o_1 = o_2 \\ \forall a \in A \quad \phi_1(a) R \phi_2(a) \end{cases}$$

Example 2: distance between regular languages

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The distance d_{sdw} is the largest fixpoint of the monotone map b on the lattice of [0, 1]-valued relations, ordered by < (the point-wise reverse order on the reals). This is obtained as the composite

$$\overset{b}{\vdash} [0,1] \operatorname{-Rel}_{X} \xrightarrow{\overline{F}} [0,1] \operatorname{-Rel}_{FX} \xrightarrow{\langle o, \delta \rangle^{-1}} [0,1] \operatorname{-Rel}_{X}$$

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where \overline{F} is defined for $d: X \times X \rightarrow [0, 1]$ by

$$(o_1,\phi_1) \overline{F}(d) (o_2,\phi_2) \text{ iff } \begin{cases} 1 & \text{ if } o_1 \neq o_2 \\ c \cdot \max_{a \in A} d(\phi_1(a),\phi_2(a)) & \text{ otherwise} \end{cases}$$

Up-to context closure via functor liftings

If we consider now a system, which is not only modelled as a coalgebra, but is also equipped with a compatible algebraic structure, it makes sense to consider the up-to congruence technique with respect to this algebraic structure.

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Definition (Bialgebra) Consider two functors F, T and a natural transformation $\zeta: TF \Rightarrow FT$. A bialgebra for ζ is a tuple (X, α, ξ) such that

 α : TX \rightarrow X is a T-algebra, ξ : X \rightarrow FX is an F-coalgebra

so that the next diagram commutes.

$$\begin{array}{c} TX \xrightarrow{\alpha} X \xrightarrow{\xi} FX \\ T\xi \downarrow & \uparrow F\alpha \\ TFX \xrightarrow{\zeta_X} FTX \end{array}$$

Bialgebras

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Example

The determinization of an NFA with states Q is a bialgebra of the form $(\mathcal{P}Q, \cup, \gamma)$ for the functors $FX = 2 \times X^A$, $TX = \mathcal{P}X$ and $\zeta_X : \mathcal{P}(2 \times X^A) \to 2 \times (\mathcal{P}X)^A$ defined for $M \subseteq 2 \times X^A$ by

$$\zeta_X(M) = (\bigvee_{(b,f)\in M} b, [a \mapsto \{f(a) \mid (b,f) \in M\}])$$

Example 1: Up-to context closure for determinized NFAs

To sum up, a determinized NFA has both algebra and coalgebra structures, which are related by a distributive law:

 $\cup: \mathcal{PPQ} \to \mathcal{PQ} \quad \text{and} \quad \xi: \mathcal{PQ} \to \mathbf{2} \times (\mathcal{PQ})^{\mathsf{A}}.$

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Context closure ctx: $\operatorname{Rel}_{\mathcal{P}Q} \to \operatorname{Rel}_{\mathcal{P}Q}$ of a relation r on $\mathcal{P}Q$ is defined via the rule:

 $\frac{(X_1, Y_1) \in r \qquad (X_2, Y_2) \in r}{(X_1 \cup X_2, Y_1 \cup Y_2) \in \operatorname{ctx}(r)}$

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This can be seen as the composite map:

$$\mathsf{ctx}:\mathsf{Rel}_{\mathcal{P}\mathcal{Q}} \xrightarrow{\overline{\mathcal{P}}} \mathsf{Rel}_{\mathcal{P}\mathcal{P}\mathcal{Q}} \xrightarrow{\Sigma_{\cup}} \mathsf{Rel}_{\mathcal{P}\mathcal{Q}}$$

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where $\overline{\mathcal{P}}$ is the canonical relation lifting of \mathcal{P} and Σ_{\cup} is forward image along the \cup , i.e., for $R \in \operatorname{Rel}_{\mathcal{P}\mathcal{Q}}$ and $S \in \operatorname{Rel}_{\mathcal{P}\mathcal{P}\mathcal{Q}}$:

•
$$(\mathcal{X}, \mathcal{Y}) \in \overline{\mathcal{P}}(R)$$
 iff
$$\begin{cases} \forall A \in \mathcal{X}, \exists B \in \mathcal{Y} (A, B) \in R \\ \forall B \in \mathcal{Y}, \exists A \in \mathcal{X} (A, B) \in R \end{cases}$$

• $(X, Y) \in \Sigma_{\cup}(S)$ iff $X = \cup \mathcal{X}$, $Y = \cup \mathcal{Y}$ and $(\mathcal{X}, \mathcal{Y}) \in S$.

Definition (Quantitative context closure)

The quantitative context closure $f: \operatorname{Rel}_{\mathcal{P}Q} \to \operatorname{Rel}_{\mathcal{P}Q}$ considered in the running example is defined as the composite

$$f: [0,1] \operatorname{-Rel}_{\mathcal{P}Q} \xrightarrow{\overline{\mathcal{P}}} [0,1] \operatorname{-Rel}_{\mathcal{P}\mathcal{P}Q} \xrightarrow{\Sigma_{\cup}} [0,1] \operatorname{-Rel}_{\mathcal{P}Q}$$

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$$\overline{\mathcal{P}}(d)(X_1, X_2) = \sup\{\sup_{x_1 \in X_1} \inf_{x_2 \in X_2} d(x_1, x_2), \sup_{x_2 \in X_2} \inf_{x_1 \in X_1} d(x_1, x_2)\}$$

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• $\Sigma_{\cup}(s)(X, Y) = \inf\{s(\mathcal{X}, \mathcal{Y}) \mid \cup \mathcal{X} = X, \cup \mathcal{Y} = Y\}.$









R

 $X \xrightarrow{f} Y$

For all maps $g : Z \to X$ in \mathbb{B} and $u: Q \to R$ in \mathcal{P} sitting above fg(i.e., p(u) = fg) there is a unique map $v: Q \to f^*(R)$ such that $u = \widetilde{f_R}v$ and p(v) = g.

$$f^*(R) \xrightarrow[\widetilde{f_R}]{} R$$

 $X \xrightarrow{f} Y$

For all maps $g: Z \to X$ in \mathbb{B} and $u: Q \to R$ in \mathcal{P} sitting above fg(i.e., p(u) = fg) there is a unique map $v: Q \to f^*(R)$ such that $u = \widetilde{f_R}v$ and p(v) = g.



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In a bifibration every reindexing has a left adjoint $\Sigma_f \dashv f^*$.

Given a fibration $p: \mathbb{P} \to \mathbb{B}$ and a functor $F: \mathbb{B} \to \mathbb{B}$, a lifting of F is a functor $\widehat{F}: \mathbb{P} \to \mathbb{P}$ such that



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For every $r \in \mathbb{P}_Y$ and $f: X \to Y$ in \mathbb{B} , we have a canonical natural transformation

$$\overline{F} \circ f^*(R) \to (Ff)^* \circ \overline{F}(R) \,.$$

The lifting \widehat{F} is called a fibred lifting of F when the above natural transformation is an isomorphism for every r.

A theorem from CSL-LICS'14

Consider a fibration $p: \mathbb{P} \to \mathbb{B}$ and a bialgebra in \mathbb{B}



Consider two liftings \overline{T} and \overline{F} of T, respectively F, to the category \mathbb{P} such that there exists a lifting $\overline{\zeta}: \overline{T} \circ \overline{F} \Rightarrow \overline{F} \circ \overline{T}$ of the distributive law ζ . Then the up-to technique

$$f: \mathbb{P}_X \xrightarrow{\overline{\tau}} \mathbb{P}_{TX} \xrightarrow{\Sigma_{\alpha}} \mathbb{P}_X$$

is sound with respect to the coinductive predicate defined by

$$\stackrel{}{\overset{}{\mapsto}} \mathbb{P}_X \xrightarrow{\overline{F}} \mathbb{P}_{FX} \xrightarrow{\xi^*} \mathbb{P}_X$$

Lifting of functors to many-valued relations

A quantale \mathcal{V} is a complete lattice equipped with an associative operation $\otimes : \mathcal{V} \times \mathcal{V} \to \mathcal{V}$ which is distributive on both sides over arbitrary joins \bigvee . We assume the tensor is commutative and has a unit 1.

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Given a set *X* and a quantale \mathcal{V} , a \mathcal{V} -valued predicate on *X* is a map $p: X \to \mathcal{V}$. A \mathcal{V} -valued relation on *X* is a map $r: X \times X \to \mathcal{V}$.

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A morphism between \mathcal{V} -valued predicates $p : X \to \mathcal{V}$ and $q : Y \to \mathcal{V}$ is a map $f : X \to Y$ such that $p \le q \circ f$. We consider the category \mathcal{V} -Pred whose objects are \mathcal{V} -valued predicates and arrows are as above.
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The bifibrations of \mathcal{V} -valued predicates and relations

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The bifibrations of $\mathcal V\text{-}valued$ predicates and relations

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Remark: \mathcal{V} -categories are \mathcal{V} -valued relation $r : X \times X \rightarrow \mathcal{V}$ that are

- reflexive if for all $x \in X$ we have $r(x, x) \ge 1$, and
- transitive if for all $x, y, z \in X$ we have $r(y, z) \otimes r(x, y) \leq r(x, y)$.

We also obtain a bifibration \mathcal{V} -Cat \rightarrow Set.

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For the quantale $([0, \infty], \ge_{[0,\infty]}, +, 0)$ these are the generalized pseudo-metrics from Lawvere's 1973 seminal paper.



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Step 1: Lift a Set-functor *F* to a functor \widehat{F} on the category \mathcal{V} -Pred. **Proposition.** There is a one-to-one correspondence between

- fibred liftings \overline{F} of F to \mathcal{V} -Pred,
- monotone natural transformations $\mathcal{V}^- \Rightarrow \mathcal{V}^{\text{F}-}$,
- monotone evaluation maps $ev : FV \rightarrow V$.

We also define $ev_{can} : F\mathcal{V} \to \mathcal{V}$ as follows:

$$ev_{can}(u) = \bigvee \{r \mid u \in F(\uparrow r)\}.$$



Step 2: Transfer the predicate lifting \hat{F} to a lifting \bar{F} on \mathcal{V} -relations.



where $\lambda_X: F(X \times X) \to FX \times FX$ is given by the pairing of projections $\langle F\pi_1, F\pi_2 \rangle$.



Step 2: Transfer the predicate lifting \hat{F} to a lifting \bar{F} on \mathcal{V} -relations.

Concretely, the lifting \overline{F} is defined via "couplings":

$$\overline{F}(p)(t_1, t_2) = \bigvee \{ \widehat{F}(p)(t) \mid t \in F(X \times X), F\pi_i(t) = t_i \}$$

We call \overline{F} the Wasserstein lifting associated with \widehat{F} .

We have the following characterization theorem, where κ_X denotes the constant to 1 predicate on X, and for two predicates $p, q: X \to V$ we denote by $p \otimes q: X \to V$ the predicate mapping x to $p(x) \otimes q(x)$. **Theorem.** Assume \widehat{F} is a lifting of F to V-Pred and \overline{F} is the corresponding V-Rel Wasserstein lifting. Then

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Whenever F preserves weak pullbacks the canonical evaluation lifting \hat{F}_{can} satisfies the above conditions.

Theorem

Assume the natural transformation $\zeta: T \circ F \Rightarrow F \circ T$ lifts to a natural transformation $\widehat{\zeta}: \widehat{T} \circ \widehat{F} \Rightarrow \widehat{F} \circ \widehat{T}$ between \mathcal{V} -predicate liftings and that we have $\widehat{T} \circ \Sigma_{\lambda_X^F} \leq \Sigma_{T\lambda_X^F} \circ \widehat{T}$. Then ζ lifts to a distributive law $\overline{\zeta}: \overline{T} \circ \overline{F} \Rightarrow \overline{F} \circ \overline{T}$ between the corresponding Wasserstein liftings.

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Theorem

Assume that $\zeta: T \circ F \Rightarrow F \circ T$ is a natural transformation and that, furthermore, T preserves weak pullbacks and F preserves intersections. Then ζ lifts to a natural transformation

$$\widehat{\zeta}: \widehat{T}_{\operatorname{can}} \circ \widehat{F}_{\operatorname{can}} \Rightarrow \widehat{F}_{\operatorname{can}} \circ \widehat{T}_{\operatorname{can}}$$
.

Closing the circle: the d_{sdw} example

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- The coinductive predicates *b* and the up-to technique *f* can be described using suitable Wasserstein liftings.
- The above theorems can be used to show that the distributive law ζ can be lifted to a distributive lifting between the Wasserstein liftings.
- Use the CSL-LICS'14 result to infer the soundness of the up-to technique.

Conclusions

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- How does this relate to other "fibrational" approaches to functor liftings, e.g. the codensity liftings of Katsumata and Sato? Can we envisage a generic Kantorovich-Rubinstein duality?
- Future work: can we capture the work of Chatzikokolakis et. al. on up-to techniques for behavioural metrics in a probabilistic setting?