

AN INTERACTIVE SEMANTICS FOR CLASSICAL PROOFS

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INTRODUCTION

In this talk ... (I)

- ▶ I will introduce a language consisting of **infinitary propositional formulas** and a **sequent calculus** to derive *sequences* of formulas $\Theta, \Gamma, \Delta \dots$
- ▶ I will show a **soundness**—and—**completeness** theorem for **derivations** = formal proofs in the sequent calculus:

In this talk ... (I)

- ▶ I will introduce a language consisting of **infinitary propositional formulas** and a **sequent calculus** to derive *sequences* of formulas $\Theta, \Gamma, \Delta \dots$
- ▶ I will show a **soundness**–and–**completeness** theorem for **derivations** = formal proofs in the sequent calculus:

$$\text{Soundness : } \mathcal{D} \in \mathbf{DER}(\Theta) \implies \mathcal{D} \in \mathbf{INT}(\Theta)$$

$$\text{Completeness : } \mathcal{D} \in \mathbf{INT}(\Theta) \implies \mathcal{D} \in \mathbf{DER}(\Theta)$$

where, roughly speaking:

- $\mathcal{D} \in \mathbf{DER}(\Theta)$ = “ \mathcal{D} is the **skeleton** of a *derivation* of Θ ”
- $\mathcal{D} \in \mathbf{INT}(\Theta)$ = “ \mathcal{D} is a member of the *interactive interpretation* of Θ ”

Skeleton: example

Untyped λ -terms: $t, u \dots ::= x, y, z, \dots \mid tu \mid \lambda x.t$

Formulas (types): $F, G, \dots ::= a, c, d, \dots \mid F \rightarrow G$

Curry-style type assignment for untyped λ -terms:

$$\Gamma, x : F \vdash x : F \qquad \frac{\Gamma, x : F \vdash t : G}{\Gamma \vdash \lambda x.t : F \rightarrow G}$$

$$\frac{\Gamma \vdash t : F \rightarrow G \quad \Gamma \vdash u : F}{\Gamma \vdash tu : G}$$

Skeleton: example

Untyped λ -terms: $t, u \dots ::= x, y, z, \dots \mid tu \mid \lambda x.t$

Formulas (types): $F, G, \dots ::= a, c, d, \dots \mid F \rightarrow G$

Derivations in minimal logic (natural deduction):

$$\Gamma, F \vdash F \qquad \frac{\Gamma, F \vdash \quad G}{\Gamma \vdash F \rightarrow G}$$
$$\frac{\Gamma \vdash F \rightarrow G \quad \Gamma \vdash F}{\Gamma \vdash G}$$

Untyped λ -terms \approx skeletons of derivations in *natural deduction* of formulas of *minimal logic*.

- ▶ But this is just an intuition. In our case, skeletons are **infinitary** (not even well-founded) objects.

In this talk ... (II)

- ▶ The *interactive interpretation* of a sequent Θ is defined ... **interactively** = using a procedure of **cut-elimination**
- ▶ Related works: **Girard's ludics** and (in part) **Krivine's classical realizability**.



J.-Y. Girard

Locus solum: From the rules of logic to the logic of rules
Math. Struct. in Comp. Sci. 11(3) 301–506, 2001.



J.-L. Krivine

Realizability in classical logic
Panoramas et Synthèses 27 197–229, 2009.

This work is self-contained = **no** familiarity is required.

Analogies and differences

	Ludics	Realizability	This work
Logic	MALL _{loc}	Analysis	\mathcal{T}
Object = untyped proof	design	λ_c -term	test
Counter-object	design	stack	environment
Polarization	YES	NO	NO
Interaction (W)	cut-net	process	configuration
Interaction (H)	cut-elimination	KAM	closed cut-elimination
Orthogonality	convergence	several options	convergence
Formula	behaviour	truth value	interactive interpretation
Aim	soundness correctness completeness	adequacy extraction	soundness completeness

- ▶ **MALL**_{loc} = second-order polarized focalized **MALL**, sequent calculus **with cut**
- ▶ Analysis = second-order classical arithmetic, natural deduction
- ▶ \mathcal{T} = *Tait* calculus (only *normal* rules) **cut-free** sequent calculus
- ▶ **KAM** = **K**rivine **A**btract **M**achine (head β -reduction)
- ▶ Behaviour = a set of designs **A** such that $\mathbf{A} = \mathbf{A}^{\perp\perp}$
- ▶ Truth value = a set of closed λ_c -terms **A** such that $\mathbf{A} = \mathbf{X}^{\perp}$, for some set of stacks **X**
- ▶ Interactive interpretation = a set of tests **A** such that $\mathbf{A} = \{\mathbf{E}\}^{\perp}$, for some environment **E**
- ▶ Correctness = the interpretation is invariant under cut-elimination

The **interactive interpretation** of formulas and sequents is defined through the concept of . . . **interaction**.

We now informally describe what interaction is.

Sequent calculus

- ▶ **Formulas**: $\mathbf{F}, \mathbf{G}, \mathbf{H}, \dots$ generated in the usual way, using (possibly infinitary) connectives \vee, \wedge, \perp .
- ▶ **Sequents** : $\Theta, \Gamma, \dots =$ finite, non-empty sequences of formulas $\vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}$.
- ▶ **Rules** for deriving sequents.

$$\frac{\{\Theta_a\}_{a \in S}}{\Theta} (r)$$

- ▶ **Derivations** = well-founded trees labeled by sequents (which are “locally correct”).

System $\mathcal{T} \stackrel{\text{DEF}}{=} (\mathbf{F}, \mathbf{S}, \mathbf{R}, \mathbf{D})$

Closed cuts

$$\frac{\begin{array}{c} \vdots \pi \\ \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1} \end{array} \quad \mathbf{G}_0, \dots, \mathbf{G}_{n-1}}{\text{cut}}$$

where:

- ▶ “Closed” means that every formula is a cut–formula.
- ▶ π is a **derivation** of $\vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}$ in \mathcal{T} ,
- ▶ $\mathbf{G}_0, \dots, \mathbf{G}_{n-1}$ is a finite, non–empty sequence of formulas of \mathcal{T} that we call **environment**.
- ▶ We simultaneously cut \mathbf{F}_i with \mathbf{G}_i , for each $i < n$.

In this talk, we adopt the more suggestive notation:

$$\frac{\begin{array}{c} \vdots \pi \\ \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1} \end{array} \quad \begin{array}{c} \vdots \\ \vdash_* \mathbf{G}_0 \end{array} \quad \dots \quad \begin{array}{c} \vdots \\ \vdash_* \mathbf{G}_{n-1} \end{array}}{\text{cut}}$$

We also denote environments by $\vdash_* \mathbf{G}_0 \quad \dots \quad \vdash_* \mathbf{G}_{n-1}$.

Interaction (I)

So . . . you cut a **derivation** AND a **sequence of formulas** ???

Interaction (II)

... and define a **procedure** of cut-elimination:

$$\frac{\begin{array}{c} \vdots \pi \\ \hline \vdash \mathbf{F} \vee \mathbf{G}, \mathbf{F} \\ \hline \vdash \mathbf{F} \vee \mathbf{G} \end{array} \quad \frac{\begin{array}{c} \vdots \\ \hline \vdash_* \mathbf{F}^\perp \quad \vdash_* \mathbf{G}^\perp \\ \hline \vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp \end{array}}{\vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp} \text{cut}}{\vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp} \text{cut}$$

reduces to

$$\frac{\begin{array}{c} \vdots \pi \\ \hline \vdash \mathbf{F} \vee \mathbf{G}, \mathbf{F} \end{array} \quad \frac{\begin{array}{c} \vdots \\ \hline \vdash_* \mathbf{F}^\perp \quad \vdash_* \mathbf{G}^\perp \\ \hline \vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp \end{array} \quad \begin{array}{c} \vdots \\ \hline \vdash_* \mathbf{F}^\perp \end{array}}{\vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp} \text{cut}}{\vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp} \text{cut}$$

Interaction (II)

... and define a **procedure** of cut-elimination:

$$\frac{\begin{array}{c} \vdots \pi \\ \hline \vdash \mathbf{F} \vee \mathbf{G}, \mathbf{F} \end{array} \quad \frac{\begin{array}{c} \vdots \\ \hline \vdash_* \mathbf{F}^\perp \end{array} \quad \frac{\begin{array}{c} \vdots \\ \hline \vdash_* \mathbf{G}^\perp \end{array}}{\vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp}}{\vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp} \text{cut}}{\vdash \mathbf{F} \vee \mathbf{G}} \text{cut}$$

reduces to

$$\frac{\begin{array}{c} \vdots \pi \\ \hline \vdash \mathbf{F} \vee \mathbf{G}, \mathbf{F} \end{array} \quad \frac{\begin{array}{c} \vdots \\ \hline \vdash_* \mathbf{F}^\perp \end{array} \quad \frac{\begin{array}{c} \vdots \\ \hline \vdash_* \mathbf{G}^\perp \end{array}}{\vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp}}{\vdash_* \mathbf{F}^\perp \wedge \mathbf{G}^\perp} \text{cut}}{\vdash_* \mathbf{F}^\perp} \text{cut}$$

This form of cut-elimination does not produce anything.
However, we can study some **properties of this procedure**.

Interaction (III)

In general, we have to consider closed cuts like

$$\frac{\begin{array}{c} \vdots \pi \\ \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1} \end{array} \quad \begin{array}{c} \vdots \\ \vdash_* \mathbf{G}_0 \end{array} \quad \dots \quad \begin{array}{c} \vdots \\ \vdash_* \mathbf{G}_{n-1} \end{array}}{\text{cut}}$$

There are new situations to consider:

► **Error:**

$$\frac{\begin{array}{c} \vdots \pi \\ \vdash \mathbf{F}_1 \vee \mathbf{F}_2, \mathbf{F}_1 \end{array}}{\vdash \mathbf{F}_1 \vee \mathbf{F}_2} \quad \begin{array}{c} \vdots \pi \\ \vdash_* \mathbf{G}_1 \vee \mathbf{G}_2 \end{array}}{\text{cut}}$$

reduces to “**error.**”

Interaction (IV)

► **Reduction:**

$$\frac{\frac{\vdots \pi}{\vdash \mathbf{F}_1 \vee \mathbf{F}_2, \mathbf{F}_1}}{\vdash \mathbf{F}_1 \vee \mathbf{F}_2} \quad \frac{\frac{\vdots \quad \vdots \quad \vdots}{\vdash_* \mathbf{G}_1 \quad \vdash_* \mathbf{G}_2 \quad \vdash_* \mathbf{G}_3}}{\vdash_* \mathbf{G}_1 \wedge \mathbf{G}_2 \wedge \mathbf{G}_3}}{\text{cut}}$$

reduces to

$$\frac{\frac{\vdots \pi}{\vdash \mathbf{F}_1 \vee \mathbf{F}_2, \mathbf{F}_1} \quad \frac{\frac{\vdots \quad \vdots \quad \vdots}{\vdash_* \mathbf{G}_1 \quad \vdash_* \mathbf{G}_2 \quad \vdash_* \mathbf{G}_3}}{\vdash_* \mathbf{G}_1 \wedge \mathbf{G}_2 \wedge \mathbf{G}_3} \quad \vdots}{\vdash_* \mathbf{G}_1} \text{cut}$$

TREES

Notation

- ▶ $\mathbb{N}^* = \{s, t, u, \dots\}$ = the set of **finite sequences of natural numbers**.

- ▶ Some sequences:

$()$ = the **empty sequence**;

a = unary sequence;

$a_0 a_1$ = binary sequence;

$a_0 a_1 \cdots a_{k-1}$ = k -ary sequence.

- ▶ st = the **concatenation** of s and t .
- ▶ In particular, if s is a k -ary sequence and $a \in \mathbb{N}$, then s and sa are $(k + 1)$ -ary sequences.
- ▶ **Prefix order**: $s \sqsubseteq t \stackrel{\text{DEF}}{\iff}$ there is $u \in \mathbb{N}^*$ such that $t = su$.

Trees

- ▶ A **tree** T is a non-empty subset of \mathbb{N}^* such that
if $t \in T$ and $s \sqsubseteq t$, then $s \in T$.
- ▶ Since T is non-empty, $() \in T$. $()$ is called the **root** of T .
- ▶ An **infinite branch** in T is a infinite subset $S \subseteq T$ of the form $S = \{(), a_0, a_0 a_1, \dots, a_0 a_1 \cdots a_{n-1}, \dots\}$.
- ▶ A tree is said to be **well-founded** if it does not contain an infinite branch.
- ▶ Let A be a non-empty set. A **tree labeled by A** is a pair $L = (T, \varphi)$ consisting of a tree T and a function $\varphi: T \rightarrow A$. φ is called the **labeling function** of L . A is called the set of **labels**.
- ▶ We write $\text{TREE}(L)$ and $\text{LAB}(L)$ for the underlying tree of L and its labeling function respectively, i.e., if $L = (T, \varphi)$, then $\text{TREE}(L) = T$ and $\text{LAB}(L) = \varphi$.
- ▶ Two labeled trees L and M (labeled by the *same* set of labels) are **equal** if $\text{TREE}(L) = \text{TREE}(M)$ and $\text{LAB}(L)(s) = \text{LAB}(M)(s)$, for all $s \in \text{TREE}(L)$.

TAIT CALCULUS \mathcal{T}



W.W. Tait

Normal derivability in classical logic

In: The syntax and semantics of infinitary languages (Jon Barwise editor), LNM **72** Springer–Verlag 204–236, 1968.



H. Schwichtenberg

Proof theory: some applications of cut-elimination

In: Handbook of Mathematical Logic (Jon Barwise editor) 867–895, 1977.



W.W. Tait

Gödel's reformulation of Gentzen's first consistency proof for arithmetic: the no-counterexample interpretation

The Bulletin of Symbolic Logic **11**(2) 225-238, 2005.



W. Pohlers

Proof theory: an introduction

Springer–Verlag 1989.

Tait calculus is an **infinitary classical** propositional logic.

- ▶ A *purely logical* and propositional approach to (first order, classical) arithmetic.

In this work:

- ▶ Sequents are **finite sequences of formulas** rather than **finite sets of formulas**,
- ▶ We only consider **sets of natural numbers** as index sets.
- ▶ We do not consider propositional atoms: the prime (i.e., undecomposable) formulas are **0** (false) and **1** (true).
- ▶ We only consider **normal rules** (i.e., no cut–rule).

Formulas

- ▶ The **formulas** of our language are inductively defined as follows:

if for some $S \subseteq \mathbb{N}$, $\{\mathbf{G}_a\}_{a \in S}$ is a family of formulas, then $\bigvee_S \mathbf{G}_a$ and $\bigwedge_S \mathbf{G}_a$ are formulas.

Some terminology and notation:

- ▶ $\bigvee_S \mathbf{G}_a =$ **disjunction**;
- ▶ $\bigwedge_S \mathbf{G}_a =$ **conjunction**;
- ▶ $\mathbf{0} \stackrel{\text{DEF}}{=} \bigvee_{\emptyset} \mathbf{G}_a$;
- ▶ $\mathbf{1} \stackrel{\text{DEF}}{=} \bigwedge_{\emptyset} \mathbf{G}_a$.

Equivalently, a **formula** is a well-founded tree labeled by $\{\vee, \wedge\}$.

Negation and sequents

The **negation** of a formula \mathbf{F} , noted by \mathbf{F}^\perp , is the formula recursively defined as follows:

$$(\bigvee_S \mathbf{G}_a)^\perp \stackrel{\text{DEF}}{=} \bigwedge_S (\mathbf{G}_a^\perp); \quad (\bigwedge_S \mathbf{G}_a)^\perp \stackrel{\text{DEF}}{=} \bigvee_S (\mathbf{G}_a^\perp).$$

In particular, $\mathbf{0}^\perp = \mathbf{1}$, and $\mathbf{1}^\perp = \mathbf{0}$.

The negation is **involution**:

$$\mathbf{F}^{\perp\perp} = \mathbf{F}.$$

A **sequent** Θ, Γ, \dots of \mathcal{T} is a non-empty finite sequence $\vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}$ of formulas ($n > 0$).

Rules

The following **rules** derive *sequents*. They have to be read bottom–up, in the sense of *proof–search*.

Disjunctive rule :

- ▶ $i < n$ and $a_0 \in S$:

$$\frac{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigvee_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}, \mathbf{G}_{a_0}}{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigvee_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}} (\vee)$$

Conjunctive rule :

- ▶ $i < n$, one premise for each member of S :

$$\frac{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigwedge_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}, \mathbf{G}_a \quad \dots \text{all } a \in S}{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigwedge_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}} (\wedge)$$

Derivations

- ▶ A **derivation** is a **well-founded tree** labeled by sequents which is “locally correct.” Formally,

A **derivation** is a **well-founded tree** π labeled by sequents such that for all $s \in \text{TREE}(\pi)$ one of the following two conditions holds:

$$(\mathbf{D}_1) : \left\{ \begin{array}{l} \text{(i) } \text{LAB}(\pi)(s) = \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1} \text{ and there are } i < n \\ \text{and } a_0 \in \mathbb{N} \text{ such that } \mathbf{F}_i = \bigvee_S \mathbf{G}_a \text{ and } a_0 \in S, \\ \text{(ii) } sa \in \text{TREE}(\pi) \text{ if and only if } a = 0, \text{ and} \\ \text{LAB}(\pi)(s0) = \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}, \mathbf{G}_{a_0}. \end{array} \right.$$

$$(\mathbf{D}_2) : \left\{ \begin{array}{l} \text{(i) } \text{LAB}(\pi)(s) = \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1} \text{ and there is } i < n \\ \text{such that } \mathbf{F}_i = \bigwedge_S \mathbf{G}_a, \\ \text{(ii) } sa \in \text{TREE}(\pi) \text{ if and only if } a \in S, \text{ and} \\ \text{LAB}(\pi)(sa) = \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}, \mathbf{G}_a, \text{ for all } a \in S. \end{array} \right.$$

This completes the definition of **Tait calculus** \mathcal{T} .

Some derivable sequents

- ▶ **Initial sequents** : A derivation with no premises is

$$\frac{}{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \mathbf{1}, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}} (\wedge)$$

- ▶ Every leaf of a derivation is labeled by a sequent of this form.

- ▶ **Generalized identities** : Sequents of this form are derivable:

$$\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \mathbf{G}, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{j-1}, \mathbf{G}^\perp, \mathbf{F}_{j+1}, \dots, \mathbf{F}_{n-1}$$

- ▶ **Novikoff's law of complete induction** is the formula

$$(\mathbf{F}_1 \wedge (\mathbf{F}_1 \rightarrow \mathbf{F}_2) \wedge (\mathbf{F}_2 \rightarrow \mathbf{F}_3) \wedge \dots) \rightarrow \mathbf{F}_1 \wedge \mathbf{F}_2 \wedge \mathbf{F}_3 \wedge \dots$$

In our system, we can consider the sequent

$$\vdash (\mathbf{F}_1^\perp \vee (\mathbf{F}_1 \wedge \mathbf{F}_2^\perp) \vee (\mathbf{F}_2 \wedge \mathbf{F}_3^\perp) \vee \dots), \mathbf{F}_1 \wedge \mathbf{F}_2 \wedge \mathbf{F}_3 \wedge \dots$$

and show that it is derivable.

Game interpretation (I)

We can give a game-theoretic interpretation of our sequent calculus derivations (Tait (2005)). The game is played by two participants: **SHE** and **HE**. They argue about some sequent Θ . **SHE** tries to prove it, whereas **HE** tries to refute it.

A **play for Θ** proceeds as follows.

- ▶ The play starts with $\Theta_0 \stackrel{\text{DEF}}{=} \Theta$.

Let $\Theta_k = \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}$.

- ▶ If Θ_k only contains occurrences of prime formulas **0** and **1**, then $\Theta_{k+1} \stackrel{\text{DEF}}{=} \Theta_k$.
- ▶ Otherwise, **SHE** selects an occurrence of non-prime formula, say \mathbf{F}_i .

If \mathbf{F}_i is a **disjunctive** formula $\bigvee_S \mathbf{G}_a$, then **SHE** chooses $a_0 \in S$ and $\Theta_{k+1} \stackrel{\text{DEF}}{=} \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}, \mathbf{G}_{a_0}$.

If \mathbf{F}_i is a **conjunctive** formula $\bigwedge_S \mathbf{G}_a$, then **HE** chooses $a_0 \in S$ and $\Theta_{k+1} \stackrel{\text{DEF}}{=} \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}, \mathbf{G}_{a_0}$.

Game interpretation (II)

SHE wins the play if for some n the sequent Θ_n contains some occurrences of **1**. Otherwise, **HE** wins.

This game is clearly unfair to ... **HIM**:

- ▶ **HE** can only choose an immediate subformula of a **conjunctive** formula selected by **HER**.
- ▶ **SHE** can choose any occurrence of non-prime formula in a sequent, and in case it is **disjunctive**, any immediate subformula of it. In particular, **if SHE realizes that a previous choice was wrong, then SHE can remedy later on, making a different choice.**

SHE has a **strategy** to win all the possible plays for Θ if and only if Θ is derivable in \mathcal{T} .

TESTS

Actions

Tests \approx skeletons of derivations in \mathcal{T} .

Formally, tests are **infinitary trees** labeled by **actions**.

- ▶ A **disjunctive action** is a triple $\langle n, i, a \rangle$ where n, i, a are natural numbers and $i < n$.
- ▶ A **conjunctive action** is a pair $[n, i]$ where n, i are natural numbers and $i < n$.

Some terminology:

- ▶ $\langle n, i, a \rangle = \langle \mathbf{base}, \mathbf{address}, \mathbf{name} \rangle$
- ▶ $[n, i] = [\mathbf{base}, \mathbf{address}]$

Tests

A **test** is a **tree labeled by actions** \mathfrak{T} such that for all $s \in \text{TREE}(\mathfrak{T})$ one of the following two conditions holds:

$$(\mathbf{T}_1) : \begin{cases} \text{(i)} & \text{LAB}(\mathfrak{T})(s) = \langle n, i, a_0 \rangle, \\ \text{(ii)} & sa \in \text{TREE}(\mathfrak{T}) \text{ if and only if } a = 0, \text{ and} \\ & \text{the base of } \text{LAB}(\mathfrak{T})(s0) \text{ is } n + 1. \end{cases}$$

$$(\mathbf{T}_2) : \begin{cases} \text{(i)} & \text{LAB}(\mathfrak{T})(s) = [n, i], \\ \text{(ii)} & \text{for all } a \in \mathbb{N}, sa \in \text{TREE}(\mathfrak{T}) \text{ and} \\ & \text{the base of } \text{LAB}(\mathfrak{T})(sa) \text{ is } n + 1. \end{cases}$$

We use letters $\mathfrak{T}, \mathfrak{U}, \mathfrak{V}, \dots$ to range over tests.

- ▶ Tests are **not** well-founded trees.

Terminology and notation

Let \mathfrak{T} be a test.

- ▶ If the base of the action $\text{LAB}(\mathfrak{T})(())$ is n , we say that \mathfrak{T} is **on base n** .
- ▶ If $\text{LAB}(\mathfrak{T})(()) = \langle n, i, a_0 \rangle$, then we say that \mathfrak{T} is a **disjunctive test**. By definition, \mathfrak{T} has a unique immediate subtree \mathfrak{U} . We denote \mathfrak{T} by

$$\langle n, i, a_0 \rangle . \mathfrak{U}$$

- ▶ If $\text{LAB}(\mathfrak{T})(()) = [n, i]$, then we say that \mathfrak{T} is a **conjunctive test**. By definition, for each $a \in \mathbb{N}$ there is an immediate subtree \mathfrak{U}_a of \mathfrak{T} . We denote \mathfrak{T} by

$$[n, i] . \mathfrak{U}_a$$

Example

$$\mathfrak{T} \stackrel{\text{DEF}}{=} \langle 1, 0, a_0 \rangle . \langle 2, 0, a_0 \rangle \dots \langle n, 0, a_0 \rangle . \langle n+1, 0, a_0 \rangle \dots$$

is a disjunctive test on base 1. Here:

- ▶ $\text{TREE}(\mathfrak{T}) = \{(), 0, 00, 000, \dots\} = \{0^n \mid n \in \mathbb{N}\},$
- ▶ $\text{LAB}(\mathfrak{T})(0^n) = \langle n+1, 0, a_0 \rangle, \text{ for each } n \in \mathbb{N}.$

DER(Θ)

Inductive definition of **DER**(Θ):

$$\frac{\mathcal{U} \in \mathbf{DER}(\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigvee_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}, \mathbf{G}_{a_0})}{\langle n, i, a_0 \rangle. \mathcal{U} \in \mathbf{DER}(\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigvee_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1})} \quad (\vee)$$

$$\frac{\mathcal{U}_a \in \mathbf{DER}(\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigwedge_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}, \mathbf{G}_a) \dots \text{all } a \in S}{[n, i]. \mathcal{U}_a \in \mathbf{DER}(\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigwedge_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1})} \quad (\wedge)$$

In the conjunctive rule the subtests $\{\mathcal{U}_b\}_{b \in \mathbb{N} \setminus S}$ are arbitrary. For instance, we have **DER**($\vdash \mathbf{1}$) = conjunctive tests on base 1.

Remarks

- ▶ There is **no bijective correspondence** between $\mathbf{DER}(\Theta)$ and $\{\pi : \pi \text{ is a derivation of } \Theta \text{ in } \mathcal{T}\}$.
For instance, the sequent $\vdash \mathbf{1}$ has **exactly one** derivation in \mathcal{T} , but $\mathbf{DER}(\vdash \mathbf{1}) = \text{conjunctive tests on base } 1$.
- ▶ The set $\mathbf{DER}(\Theta)$ is defined **syntactically**, i.e., by using the rules of the sequent calculus.
- ▶ Our aim now is to define the set $\mathbf{INT}(\Theta)$ **interactively**, i.e., by using a kind of cut-elimination procedure.

INTERACTION

Recall that we want to consider **closed cuts** of the form

$$\frac{\mathfrak{T} \quad \vdash_* \mathbf{G}_0 \quad \dots \quad \vdash_* \mathbf{G}_{n-1}}{\text{cut}}$$

and define a suitable procedure of reduction (**cut-elimination**) that we call **interaction**. Here:

- ▶ \mathfrak{T} is a **test**,
- ▶ $\vdash_* \mathbf{G}_0 \quad \dots \quad \vdash_* \mathbf{G}_{n-1}$ is an **environment**, that is a **sequence of formulas** (recall that we identify an occurrence of formula in \mathfrak{E} with the derivation of its subformula tree)

$$\begin{array}{ccc} \vdots & & \vdots \\ \vdash_* \mathbf{G}_0 & \dots & \vdash_* \mathbf{G}_{n-1} \end{array}$$

Configurations

An **environment on base** n ($n > 0$) is a sequence of formulas $\mathbf{G}_0, \dots, \mathbf{G}_{n-1}$ that we denote by $\vdash_* \mathbf{G}_0 \dots \vdash_* \mathbf{G}_{n-1}$.

A **configuration** is either

- ▶ a pair $(\mathcal{T}, \vdash_* \mathbf{G}_0, \dots, \vdash_* \mathbf{G}_{n-1})$ where:
 - ▶ \mathcal{T} is a **test** of base n ,
 - ▶ $\vdash_* \mathbf{G}_0, \dots, \vdash_* \mathbf{G}_{n-1}$ is an **environment** on base n ;for some $n > 0$,
- ▶ or the (fresh) symbol \uparrow (**error**).

\mathbb{C} denotes the set of all configurations.

- ▶ Intuition:

$$(\mathcal{T}, \vdash_* \mathbf{G}_0, \dots, \vdash_* \mathbf{G}_{n-1}) \approx \frac{\begin{array}{c} \vdots \pi \\ \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1} \end{array} \quad \begin{array}{c} \vdots \\ \vdash_* \mathbf{G}_0 \dots \vdash_* \mathbf{G}_{n-1} \end{array}}{\text{cut}}$$

Reduction relation (I)

The **reduction relation** \longrightarrow is the subset of $\mathbb{C} \times \mathbb{C}$ defined as follows.

$$(1) \uparrow \longrightarrow \uparrow.$$

Intuition: “error reduces to error.”

Reduction relation (II)

(2) Let $C = (\langle n, i, a_0 \rangle. \mathcal{U}, \vdash_* \mathbf{G}_0 \dots \vdash_* \mathbf{G}_{n-1})$.

- If $\mathbf{G}_i = \bigwedge_S \mathbf{F}_a$ and $a_0 \in S$, then

$$C \longrightarrow (\mathcal{U}, \vdash_* \mathbf{G}_0 \dots \vdash_* \mathbf{G}_{n-1} \vdash_* \mathbf{F}_{a_0}).$$

- $C \longrightarrow \uparrow$, otherwise.

Intuition (case $n = 2$ and $i = 1$):

$$\frac{\frac{\vdots \pi}{\vdash \mathbf{A}, \bigvee_T \mathbf{H}_a, \mathbf{H}_{a_0}} \quad (\vee) \quad \vdash_* \mathbf{G}_0 \quad \frac{\vdots}{\vdash_* \mathbf{F}_a \dots \text{all } a \in S}}{\vdash_* \bigwedge_S \mathbf{F}_a} \text{cut}$$

reduces to

$$\frac{\vdots \pi \quad \vdash \mathbf{A}, \bigvee_T \mathbf{H}_a, \mathbf{H}_{a_0} \quad \vdash_* \mathbf{G}_0 \quad \frac{\vdots}{\vdash_* \mathbf{F}_a \dots \text{all } a \in S} \quad \vdash_* \mathbf{F}_{a_0}}{\text{cut}}$$

Reduction relation (III)

(3) Let $C = ([n, i]. \mathcal{A}_a, \vdash_* \mathbf{G}_0 \dots \vdash_* \mathbf{G}_{n-1})$.

- If $\mathbf{G}_i = \bigvee_S \mathbf{F}_a$, then

$C \longrightarrow (\mathcal{A}_a, \vdash_* \mathbf{G}_0 \dots \vdash_* \mathbf{G}_{n-1} \vdash_* \mathbf{F}_a)$, for all $a \in S$.

- $C \longrightarrow \uparrow$, otherwise.

Intuition (case $n = 2, i = 1$):

$$\frac{\frac{\begin{array}{c} \vdots \pi_a \\ \vdash \mathbf{A}, \bigwedge_{\mathbb{N}} \mathbf{H}_a, \mathbf{H}_a \dots \text{all } a \in \mathbb{N} \end{array}}{\vdash \mathbf{A}, \bigwedge_{\mathbb{N}} \mathbf{H}_a} \quad (\wedge) \quad \frac{\begin{array}{c} \vdots \\ \vdash_* \mathbf{F}_a \dots \text{all } a \in S \end{array}}{\vdash_* \bigvee_S \mathbf{F}_a}}{\vdash_* \mathbf{G}_0 \quad \vdash_* \bigvee_S \mathbf{F}_a} \text{cut}$$

reduces to

$$\frac{\begin{array}{c} \vdots \pi_a \\ \vdash \mathbf{A}, \bigwedge_{\mathbb{N}} \mathbf{H}_a, \mathbf{H}_a \end{array} \quad \begin{array}{c} \vdots \\ \vdash_* \mathbf{G}_0 \end{array} \quad \frac{\begin{array}{c} \vdots \\ \vdash_* \mathbf{F}_a \dots \text{all } a \in S \end{array}}{\vdash_* \bigvee_S \mathbf{F}_a} \quad \begin{array}{c} \vdots \\ \vdash_* \mathbf{F}_a \end{array}}{\text{cut}}$$

one cut for each $a \in S$.

Examples

- ▶ The configuration

$$([n, i].\mathcal{U}_a, \vdash_* \mathbf{G}_0 \dots \vdash_* \mathbf{G}_{i-1} \vdash_* \mathbf{0} \vdash_* \mathbf{G}_{i+1} \vdash_* \mathbf{G}_{n-1})$$

does not reduce to anything (because $\mathbf{G}_i = \mathbf{0} = \bigvee_{\emptyset} \mathbf{F}_a$).

- ▶ The configuration

$$([1, 0].\mathcal{U}_a, \vdash_* \bigvee_{\{c,d\}} \mathbf{G}_a) \text{ reduces to}$$

$$(\mathcal{U}_c, \vdash_* \bigvee_{\{c,d\}} \mathbf{G}_a \vdash_* \mathbf{G}_c) \text{ and } (\mathcal{U}_d, \vdash_* \bigvee_{\{c,d\}} \mathbf{G}_a \vdash_* \mathbf{G}_d)$$

- ▶ Let $\mathfrak{T} \stackrel{\text{DEF}}{=} \langle 1, 0, a_0 \rangle . \langle 2, 0, a_0 \rangle \dots \langle n, 0, a_0 \rangle . \langle n+1, 0, a_0 \rangle \dots$
and $\mathbf{F} \stackrel{\text{DEF}}{=} \bigwedge_{\{a_0\}} \mathbf{G}_a$, where $\mathbf{G}_{a_0} \stackrel{\text{DEF}}{=} \mathbf{0}$. Then,

$$(\mathfrak{T}, \vdash_* \mathbf{F}) \longrightarrow (\langle 2, 0, a_0 \rangle \dots, \vdash_* \mathbf{F} \vdash_* \mathbf{0})$$

\longrightarrow

\vdots

$$\longrightarrow (\langle n, 0, a_0 \rangle . \langle n+1, 0, a_0 \rangle \dots, \vdash_* \mathbf{F} \vdash_* \mathbf{0} \dots \vdash_* \mathbf{0})$$

$$\longrightarrow (\langle n+1, 0, a_0 \rangle \dots, \vdash_* \mathbf{F} \vdash_* \mathbf{0} \dots \vdash_* \mathbf{0} \vdash_* \mathbf{0})$$

$\longrightarrow \dots$

Some properties of \longrightarrow

Let A be a set and let R be a binary relation of A .

- ▶ R is **total** $\stackrel{\text{DEF}}{\iff}$ for all $a \in A$ there is $b \in A$ such that $a R b$;
- ▶ R is **deterministic** $\stackrel{\text{DEF}}{\iff}$ $a R b$ and $a R c$ imply $b = c$;
- ▶ R is **terminating** $\stackrel{\text{DEF}}{\iff}$ there is no infinite sequence
 $a_0 \longrightarrow a_1 \longrightarrow \dots$.

The relation \longrightarrow is **not total**,
not deterministic,
not terminating.

INT(Θ)

Let $\Theta = \vdash \mathbf{F}_0, \dots, \mathbf{F}_{n-1}$ be a sequent of \mathcal{T} . We define the **interactive interpretation of Θ** as follows:

$\mathfrak{I} \in \text{INT}(\Theta) \iff$ every sequence of reductions starting from $(\mathfrak{I}, \vdash_* \mathbf{F}_0^\perp \dots \vdash_* \mathbf{F}_{n-1}^\perp)$ terminates.

SOUNDNESS—AND—COMPLETENESS

Application: additive connectives in ludics (I)

We say that a test \mathfrak{T} is **affine** (or, improperly **linear**), if for every $s, t \in \text{TREE}(\mathfrak{T})$ the following condition holds:

$$s \sqsubset t \implies \text{the addresses of } \text{LAB}(\mathfrak{T})(s) \text{ and } \text{LAB}(\mathfrak{T})(t) \text{ are different.}$$

In the “formulas-as-resources” interpretation, this condition formalizes the idea that any occurrence of formula is used (decomposed) “**at most once**” in a **branch** of a derivation, i.e., only **additive contraction** (sharing of contexts) is allowed. In terms of rules:

$$\frac{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \mathbf{0}, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}, \mathbf{G}_{a_0}}{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigvee_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}} \quad (\vee_{\text{aff}})$$

$$\frac{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \mathbf{0}, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}, \mathbf{G}_a \quad \dots \text{all } a \in S}{\vdash \mathbf{F}_0, \dots, \mathbf{F}_{i-1}, \bigwedge_S \mathbf{G}_a, \mathbf{F}_{i+1}, \dots, \mathbf{F}_{n-1}} \quad (\wedge_{\text{aff}})$$

We can use $\mathbf{0}$ to express the fact that “the slot i is unavailable.”

Application: additive connectives in ludics (II)

Let $\mathbf{A} = \bigvee_S \mathbf{F}_a$, $\mathbf{B} = \bigvee_T \mathbf{F}_a$, $\mathbf{C} = \bigwedge_S \mathbf{G}_a$, $\mathbf{D} = \bigwedge_T \mathbf{G}_a$, and suppose that S and T are **disjoint**. Define:

$$\mathbf{A} \oplus \mathbf{B} \stackrel{\text{DEF}}{=} \bigvee_{S \cup T} \mathbf{F}_a;$$

$$\mathbf{C} \& \mathbf{D} \stackrel{\text{DEF}}{=} \bigwedge_{S \cup T} \mathbf{G}_a.$$

$\mathfrak{T} \in \text{INT}^*(\mathbf{F}) \iff \mathfrak{T}$ **is affine**, and every sequence of reductions starting from $(\mathfrak{T}, \vdash_* \mathbf{F})$ terminates.

Then, one can show that:

$$\text{INT}^*(\mathbf{A} \oplus \mathbf{B}) = \text{INT}^*(\mathbf{A}) \cup \text{INT}^*(\mathbf{B});$$

$$\text{INT}^*(\mathbf{C} \& \mathbf{D}) = \text{INT}^*(\mathbf{C}) \cap \text{INT}^*(\mathbf{D}).$$

Moreover, the union in the case of \oplus is **disjoint**.

Soundness—and-completeness

For every sequent Θ in \mathcal{T} :

$$\mathfrak{I} \in \mathbf{DER}(\Theta) \iff \mathfrak{I} \in \mathbf{INT}(\Theta).$$

Future work

- ▶ Propositional variables and **second order** quantifiers.
- ▶ Girard's β -logic (the logic underlying the theory of **dilators**).
- ▶ ...

Thank you!

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Questions?

Thank you!

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Answers?