Toward a New Theory of Exponential Proof Nets

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- Proof nets: the graphical syntax for linear logic.
- MELL: linear logic without the additives.
- Brought new deep perspectives about normalization:
 - Optimal reductions;
 - Geometry of interaction;
 - Implicit computational complexity;
 - Explicit substitutions;

• Key concept: boxes for the promotion rule, the heart of the system.

• Statics:

- Boxes: can they be *induced* by some logic feature?
- Orrectness: no correctness criteria!

• Dynamics:

- Strong Normalization: shouldn't it be easy?
- **Confluence**: no residuals/cube property.
- **Standardization**: folklore theory.

Toward a New Theory of Exponential Proof Nets

• Statics:

- Boxes: can they be *induced* by some logic feature? This talk, *LICS 2013*.
- Correctness: no correctness criteria! Conjecture: Intuitionistic MELL has a criterion, MELL does not.

• Dynamics:

- Strong Normalization: shouldn't it be easy? This talk, *RTA 2013*.
- Confluence: no residuals/cube property. A-Bonelli-Kesner-Lombardi, POPL 2014.
- Standardization: folklore theory. A-Bonelli-Kesner-Lombardi, POPL 2014.

Compressing Polarized Boxes

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Proof nets for MLL



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Cut-elimination for MLL



No duplication/erasure of subnets

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Everything works fine

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Multiplicative Exponential Linear Logic (MELL)

MLL

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Exponential rules:

$$\frac{\vdash \Gamma, A}{\vdash \Gamma, ?A} d \qquad \frac{\vdash ?\Gamma, A}{\vdash ?\Gamma, !A} !$$
$$\frac{\vdash \Gamma, ?A, ?A}{\vdash \Gamma, ?A} c \qquad \frac{\vdash \Gamma}{\vdash \Gamma, ?A} w$$

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Exponential Cut-elimination

Consider the following cut with contraction:

Its elimination requires to **duplicate** ρ :

$$\frac{\stackrel{\rho}{\stackrel{\vdots}{\stackrel{\vdots}{\vdash}}}, \frac{\stackrel{\rho}{\stackrel{\vdots}{\vdash}}, \frac{\pi}{\stackrel{\vdots}{\vdash}}, \frac{\pi}{\stackrel{\bullet}{\vdash}}, \frac{\pi}{\stackrel{\bullet}{\vdash}, \frac{\pi}{\stackrel{\bullet}{\vdash}}, \frac{\pi}{\stackrel{\bullet}{\vdash}}, \frac{\pi}{\stackrel{\bullet}{\vdash}, \frac{\pi}{\stackrel{\bullet}{\vdash}}, \frac{\pi}{\stackrel{\bullet}{\vdash}, \frac{\pi}{\stackrel{\bullet}{\vdash}}, \frac{\pi}{\stackrel{\bullet}{\vdash}, \frac{\pi}{\stackrel{\bullet}{\vdash}}, \frac{\pi}{\stackrel{\bullet}{\vdash}, \frac{\pi}{\vdash}, \frac{\pi}{\stackrel{\bullet}{\vdash}, \frac{\pi}{\vdash}, \frac{\tau$$

Similarly, weakening induces erasure of sub-proofs,

Naïve proof nets for MELL



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How to eliminate cuts?

Naïve translation of promotion:



Given this cut in a generic net:

$$\begin{array}{ccc} ?A^{\perp} ?A^{\perp} & A \\ & \swarrow & \swarrow \\ c & \downarrow \\ ?A^{\perp} & \downarrow cut & \downarrow \\ ?A & \downarrow \\ \end{array}$$

There is no way of recovering the sub-proof to duplicate.

Then !-rules are represented as boxes:



Exponential cut elimination implemented using boxes







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Toward a New Theory of Exponential Proof N

- Boxes solve the problem of defining cut-elimination.
- However, the solution is drastic, equivalent to give up.
- Some fragments seem to have an inherent notion of box.
- Where does the problem lie?
- Is there a logic feature that internalizes boxes?

- Main problem: in proof nets there is no last rule.
- Re-consider:



In sequent calculus:

rule occurrence $r \mapsto \text{sub-proof}$ ending on r.

• No such thing in proof nets!

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• Intuition:

Internalizing a notion of last rule will internalize **boxes**

- Partially internalized boxes: Olivier Laurent's MELLP.
- Abstract last rule = last positive rule.
- Expressiveness: MELLP codes classical logic/ $\lambda\mu$ -calculus.
- My contribution: totally internalized boxes for MELLP.



Compressing polarized boxes





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Polarization

Formulas:

Sequents:

 $\vdash \Gamma$; *P* or $\vdash \Gamma$; _

Multiplicative rules:

$$\frac{\vdash \Gamma; P \qquad \vdash \Delta, P^{\perp}; [Q]}{\vdash \Gamma, \Delta; [Q]} \text{ cut } \qquad \overline{\vdash P^{\perp}; P} \text{ ax}$$

$$\frac{\vdash \Gamma; [P]}{\vdash \Gamma, \perp; [P]} \perp \qquad \overline{\vdash; 1} \text{ 1}$$

$$\frac{\vdash \Gamma, N, M; [P]}{\vdash \Gamma, N \stackrel{\mathcal{N}}{\mathcal{N}} M; [P]} \stackrel{\mathcal{R}}{\mathcal{N}} \qquad \frac{\vdash \Gamma; P \qquad \vdash \Delta; Q}{\vdash \Gamma, \Delta; P \otimes Q} \otimes$$

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Laurent's MELLP: adding exponentials

Exponential rules:

$$\frac{\vdash \Gamma; [P]}{\vdash \Gamma, N; [P]} \text{ w } \frac{\vdash \Gamma; P}{\vdash \Gamma, ?P; _} \text{ d}$$

$$\frac{\vdash \Gamma, N, N; [P]}{\vdash \Gamma, N; [P]} \text{ c } \frac{\vdash \Gamma, N; _}{\vdash \Gamma; !N} !$$

• Difference with linear logic:

Promotion, contraction, and weakening do not need the ? modality.

• Important:

Only **positives** are duplicated/erased.

• Positives are last rules and every positive will have a box.



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Positive Trees

$$\frac{\vdash \Gamma; P \qquad \vdash \Delta, P^{\perp}; [Q]}{\vdash \Gamma, \Delta; [Q]} \text{ cut } \qquad \overline{\vdash P^{\perp}; P} \text{ ax}$$

$$\frac{\vdash \Gamma; [P]}{\vdash \Gamma, \bot; [P]} \perp \qquad \overline{\vdash; 1} \quad 1$$

$$\frac{\vdash \Gamma, N, M; [P]}{\vdash \Gamma, N; M; [P]} \Re \qquad \frac{\vdash \Gamma; P \qquad \vdash \Delta; Q}{\vdash \Gamma, \Delta; P \otimes Q} \otimes$$

$$\frac{\vdash \Gamma; [P]}{\vdash \Gamma, N; [P]} \text{ w } \qquad \frac{\vdash \Gamma; P}{\vdash \Gamma, ?P; _} \text{ d}$$

$$\frac{\vdash \Gamma, N, N; [P]}{\vdash \Gamma, N; [P]} \text{ c } \qquad \frac{\vdash \Gamma, N; _}{\vdash \Gamma; !N} !$$

Note: positives have a forest structure.

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- **Positive** connectives: $1, \otimes, !$.
- **Explicit** boxes for $! \Rightarrow$ **induced** box for every positive:



Laurent uses the **positive tree** to generalize box rules:





Polarized cut-elimination 1



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Polarized cut-elimination 2















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Polarized MELL

2 Compressing polarized boxes





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Matching property



Matching property: every !-rule is enabled by a d-rule.

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Materializing the matching property 1

• Consider:



• **Problem**: without box the content is disconnected.

• Idea: let's materialize the matching property with an additional edge.

- The content and the positive sub-graphs are now connected.
- The implicit box of a !-link is its matching dereliction.
- The induced box is the positive tree plus the negative trees on it.

Quotient and weakenings

Let's do it again:



• We do not recover the original box:



- Interpretation: we are quotienting proof nets with explicit boxes.
- **Remark**: weakenings are not attached!
- \Rightarrow improvement over Francois Lamarche's essential nets.

• Let's do it again:



• Remark: we are not attaching the border of the box.

• \Rightarrow improvement over **Ian Mackie's interaction nets** technique.



Correctness Criterion: Laurent's + Lamarche's.

The conditions have to be **smoothed**:

- **Root**: exactly one initial node (no change).
- Acyclicity: every directed cycle uses the negative premise of a !.
- **Box condition**: If $p \rightarrow^*!$ then $! \rightarrow^* p$.

Recipe:

- Take a cut-free proof net.
- Matching: every !-box has a unique dereliction at level 0.
- Remove the explicit box and add the matching edge.

Then:

- The induced boxes define a net with explicit boxes.
- Induced boxes are locally reconstructable.
- There is a simple correctness criterion (i.e. not ad-hoc).
- It is a **canonical** representation (i.e. no choice).

In a cut-free proof net

the explicit box of a !

can be replaced by a single edge

in a canonical and more parallel way.

1 Polarized MELL

Compressing polarized boxes



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Cuts introduce a problem:



The positive sub-graph is no longer connected.

Let's iterate the same idea:



Implicit box of a !: matching dereliction + cuts at level 0.
Example



- Induced box: positive tree plus negative sub-trees.
- Novelty: \mathfrak{N} commutes with box borders!

Cut elimination









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Toward a New Theory of Exponential Proof N

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- Cut elimination has 'side effects'.
- Consider the weakening rule:

$$\bigvee_{\substack{P \stackrel{\downarrow}{\longrightarrow} \text{cut}} i_{1}}^{W} \bigvee_{\substack{P \stackrel{\downarrow}{\longrightarrow} N_{1} \\ N_{1} \\ N_{k}}} \xrightarrow{(P)} \bigvee_{\substack{I \\ N_{1} \\ N_{k}}} \xrightarrow{(P)} \bigvee_{\substack{V \\ N_{k} \\ N_{k}}} \xrightarrow{(P)} \bigvee_{\substack{V \\ N_{k}}} \xrightarrow{(P)} \xrightarrow{(P)} \bigvee_{\substack{V \\ N_{k}}} \xrightarrow{(P)} \bigvee_{\substack{V \\ N_{k}}} \xrightarrow{(P)} \bigvee_{\substack{V \\ N_{k}} \xrightarrow{(P)} \bigvee_{\substack{V \\ N_{k}}} \xrightarrow{(P)} \xrightarrow{(P)} \bigvee_{\substack{V \\ N_{k}} \xrightarrow{(P)} \bigvee_{$$

• It automatically pushes the created weakenings out of boxes:

$$\underset{l \neq \dots \neq N_{1} }{\overset{(+)}{\underset{N_{1} \times N_{k}}{\overset{(-)}{\underset{N_{1} \times N_{k}}{\underset{N_{1} \times N_{k}}{\overset{(-)}{\underset{N_{1} \times N_{k}}{\underset{N_{1} \times N_{k}}{\underset{N_{k}}{\underset{N_{1} \times N_{k}}{\underset{N_{1} \times N_{k}}{\underset{N_{1} \times N_{k}}{\underset{N_{k}}{\underset{N_{1} \times N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_{k}}{\underset{N_$$

• Similarly for contraction.

- There is no commutative rule.
- It is included inside the axiom and dereliction rules.
- The axiom rule:



and its action through box borders:



No commutative cuts 2

The dereliction rule:



and an example of its action:





Axiom cuts make whole negative trees commute with box borders.

Critical pair



Neutrality

Neutrality of weakenings has to be added:

And how it solves the critical pair:



A similar example with contraction requires:



Very natural: these are rules of a (co)commutative (co)monoid.

On η -expanded nets:

- Cut elimination is strongly normalizing (SN).
- It is also locally confluent,
- and it behaves nicely wrt ?-comonoids.
- Then: Church-Rosser modulo and SN modulo hold.

Proof of SN

• Algebraic MELL(P) = MELL(P) + ?-comonoid +



- Laurent's mapping MELLP \hookrightarrow MELL lifts.
- Simulation:

 η -exp. implicit MELLP \hookrightarrow algebraic MELLP \hookrightarrow algebraic MELL

- Pagani & Tranquilli: algebraic MELL is SN.
- Then, η -expanded implicit PN are SN.

- The general case: more and wilder 'side effects'
- Two additional algebraic laws (for \mathscr{P} -comonoids) are required:



Problem: Laurent's translation does not validate them.

• And a new problematic critical pair:



Problem: To close the pair you need to look at the context.

- The new laws require to prove SN from scratch.
- The new critical pair makes local confluence challenging.
- Damn! All known proofs of SN are based on local confluence!
- Natural question: is local confluence really necessary for SN?

Strong Normalization

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- Girard, TCS '87: linear logic (LL) and strong normalization (SN).
- A crucial lemma about the exponentials was left unproven.
- Danos, PhD '90: elaborated proof for second order MELL.
- Various other people worked on SN for LL: Joinet, van Raamsdonk, Okada, Di Cosmo & Guerrini.
- Tortora de Falco and Pagani, TCS '10: SN for second order LL.
- Complex and long proof, requiring confluence.
- Here: a simple and understandable proof, no need for confluence.

1 Strong Normalization, Commutative Cases, and Proof Nets

Proof Nets and Substitution

- 3 The Axiomatic Proof
- Proving the IE Property

B. Accattoli (CMU-Bologna)

There are two kinds of cut-elimination cases.

1) Principal, *i.e.* the last rules introduce the cut formulas:

$$\frac{\substack{\pi \\ \vdots \\ \vdash ?\Gamma, A \\ \vdash ?\Gamma, !A}}{\vdash ?\Gamma, \Delta} ! \xrightarrow{ \vdash \Delta, A^{\perp}}{\vdash \Delta, ?A^{\perp}} d \longrightarrow \frac{\substack{\pi \\ \vdots \\ \vdash ?\Gamma, A \\ \vdash ?\Gamma, A \\ \vdash \Gamma, \Delta} cut \xrightarrow{\pi \\ \vdash ?\Gamma, A \\ \vdash \Delta, A^{\perp}} cut$$

2) Commutative, one last rule has no relation with the cut formula:

$$\begin{array}{c} \stackrel{\pi}{:} \\ \stackrel{\cdot}{:} \\ \stackrel{+?\Gamma, !A}{\leftarrow} \stackrel{+?A^{\perp}, ?\Delta, B}{\leftarrow} ! \\ \stackrel{\cdot}{:} \\ \stackrel{\bullet}{:} \\$$

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- Commutative cases are the burden of cut-elimination.
- **Problem**: the cut rule commutes with itself.
- **Consequence**: silly diverging reductions.

• Solution:

Switch to proof nets, where commutative cases (mostly) disappear.

From sequent calculus to proof nets

The multiplicative fragment:



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The exponential fragment:



• Girard introduced boxes according to the **black-box principle**:

"boxes are treated in a perfectly **modular way**: we can use the box B without knowing its contents, i.e., another box B' with exactly the same doors would do as well"

- Principal cases: 2 deductive rules cut at level 0 in the same box.
- Only one commutative case:

a rule moving boxes to bring premises of a cut at the same box level

Proof nets cut-elimination: principal cases



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Linear Logic and Strong Normalization

Girard's original presentation of proof nets has a commutative case:



This rule is the source of all technical complications.

- Implicit boxes provide a new dynamics.
- Main point: Box borders are invisible \Rightarrow No commutative case.
- Implicit boxes are delicate and technical.
- Idea: let's rephrase the new dynamics with explicit boxes.



The rules act through possibly many box borders.

Box-crossing rules 2



These two cases absorb the commutative case.

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Commutation with box-borders:



Cocommutative comonoid:



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Strong Normalization, Commutative Cases, and Proof Nets

Proof Nets and Substitution

- 3 The Axiomatic Proof
- Proving the IE Property

B. Accattoli (CMU-Bologna)

Exponentials and explicit substitutions

• Statically:

In linear logic $A \Rightarrow B$ decomposes as $!A \multimap B$.

• Dynamically:

 β splits in a **multiplicative cut** followed by an **exponential cut**.

- Intuition: exponentials = explicit substitutions.
- Ordinary substitution or implicit substitution: $t\{x/s\}$.
- Explicit substitution: t[x/s].
- Then:

$$(\lambda x.t)s \rightarrow_{\beta} t\{x/s\}$$

becomes

$$(\lambda x.t)s \rightarrow_{\mathtt{m}} t[x/s] \rightarrow_{\mathtt{e}}^{*} t\{x/s\}$$

What is a variable?

- [x/s] is a !-box containing s.
- t[x/s] is a cut between t and the **!-box** around s.
- What is a variable? a maximal tree of ?-rules (crossing boxes).
- Example of explicit substitution t[x/s]:



• Next slide: definition of substitution in proof nets.



Example of substitution







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Strong Normalization, Commutative Cases, and Proof Nets

Proof Nets and Substitution

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- Proof technique: Reducibility candidates in bi-orthogonal form (Girard '87).
- The proof is axiomatic: It works for every set of rewriting rules satisfying the axioms.
- For Girard's rules the axioms are hard to prove.
- For the rules induced by implicit boxes the axioms are easy.

The proof depends on **3** abstract properties of the rewriting relation \rightarrow :

O Substitution and promotion commute:

$$!(P\{x/Q\}) \rightarrow^* (!P)\{x/Q\}$$

O Full composition:

$$P[x/Q] \rightarrow^+ P\{x/Q\}$$

③ Kesner's IE property:

$$\frac{P\{x/Q\} \in SN_{\rightarrow} \qquad Q \in SN_{\rightarrow}}{P[x/Q] \in SN_{\rightarrow}}$$

These properties hold in the **untyped case**.

The IE property

• Key property of λ -calculus:

$$\frac{t\{x/s\}u_1\ldots u_n\in SN_\beta \qquad s\in SN_\beta}{(\lambda x.t)su_1\ldots u_n\in SN_\beta}$$

called **the fundamental lemma of perpetuality** by van Raamsdonk, Severi, Sorensen, and Xi.

- It is more or less explicitly used in all proofs of SN, e.g. van Daalen's for simple types, or Girard's for system F.
- Key point in inductive definitions of the set of SN λ -terms (van Raamsdonk & Severi, Loader).
- Kesner, LMCS '09: Preservation of SN for exp. subst. reduces to the IE property:

$$\frac{t\{x/s\}u_1\ldots u_n \in SN_\beta \qquad s \in SN_\beta}{t[x/s]u_1\ldots u_n \in SN_\beta}$$

- The proof is by induction on the structure of the net.
- The difficult case is for promotion.
- Inductive Hypothesis: $!(P[x/Q]) \in SN_{\rightarrow}$ (and $Q \in SN_{\rightarrow}$).
- Goal: $(!P)[x/Q] \in SN_{\rightarrow}$.
- Key point of the proof:

 $\begin{array}{ll} !(P[x/Q]) & \rightarrow^+ & !(P\{x/Q\}) \in SN & \mbox{by full composition and i.h.} \\ & \rightarrow^* & (!P)\{x/Q\} \in SN & \mbox{by commutation} \\ & & \mbox{implies} & (!P)[x/Q] \in SN & \mbox{by the IE property} \end{array}$

• Novelty: no analysis of the reducts of !(P[x/Q]).

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- Main difficulty for the additives: they are not confluent.
- All previous proofs of SN use confluence.
- That's why T. de Falco and Pagani's proof is very technical.
- Here: the first proof of SN not requiring confluence.
- Consequence: it smoothly scales up to the additives.
Strong Normalization, Commutative Cases, and Proof Nets

Proof Nets and Substitution

- 3 The Axiomatic Proof
- Proving the IE Property

Proving the IE property 1

The proof of the IE property:

- **(**) box-crossing rules: **two lemmas**, a simple induction on a triple.
- I black-box rules: many lemmas and pages, very technical.

Recall the possible interactions with a graphical variable/?-tree:



• The IE property:

$$\frac{P\{x/Q\} \in SN_{\rightarrow} \qquad Q \in SN_{\rightarrow}}{P[x/Q] \in SN_{\rightarrow}}$$

• The proof is by induction on a triple:

$$(\eta(P\{x/Q\}),|T_x|,\eta(Q))$$

where $\eta(P)$ is the sum of the lengths of reductions from P.

• Actually, everything has to be generalized to *n* substitutions.

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Comparing inductive cases

Black-box rules:



Box-crossing rule:



Intuition: the commutative rule breaks the explicit substitution form.

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Linear Logic and Strong Normalization

The property:

$$!(P\{x/Q\}) \rightarrow^* (!P)\{x/Q\}$$

It follows immediately from commutation with box borders:



These rules are **semantically sound** and **needed** to represent λ -terms.

• The rules for ?-commutative comonoids:

are not in the system studied by Tortora de Falco and Pagani.

- Usually, their addition requires delicate and sophisticated reasoning (Di Cosmo & Guerrini, Tranquilli & Pagani).
- Here their treatment is almost transparent.



- Kesner, LMCS '09: IE technique for SN of explicit substitutions.
- A.-Guerrini, CSL '09: box-free PN for λ-terms with explicit substitutions.
- A.-Kesner, CSL '10:
 - **(**) **new approach** to explicit substitutions (structural λ -calculus λ_j).
 - **2** IE technique **applies extremely easily to** λ_j .
- Here, RTA '13: back to PN, generalizing Kesner's technique and its application.

• An alternative representation of boxes:

- Simple;
- Canonical;
- More parallel;
- Provided of a correctness criterion;
- A local reconstruction of boxes;
- Partial results on the dynamics.

• New perspective on polarity.

- **(** A neat understanding of **substitution** for proof nets (PN).
- **a** A simple **axiomatic proof of strong normalization** for LL.
- A new presentation of PN s.t. the axioms are easy to verify.
- A new understanding of cut-elimination and exponential boxes.
- A fruitful interaction between LL and explicit substitutions.

THANKS!

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