

# Using the Size-Change Principle for checking totality of recursive definitions

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# The “Size-Change Principle”



Relevant instance of the SCP:

$$\begin{aligned} & \{ \text{Head} = x1::x2; \text{Tail} = \{ \text{Head} = x3 ; \text{Tail} = x4 \} \} \\ & \quad \Rightarrow \\ & \{ \text{Head} = \Omega::\langle\infty, -2\rangle x4 ; \text{Tail} = \langle\infty\rangle x4 \}, \underline{. \langle\langle -2 \rangle\rangle} \end{aligned}$$




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$$\Rightarrow$$

$$\{ \text{Head} = \Omega::<\infty, -2>x4 ; \text{Tail} = <\infty>x4 \}, \underline{.<-2>}$$


Non relevant instance of the SCP:



$\Rightarrow$








# Plan

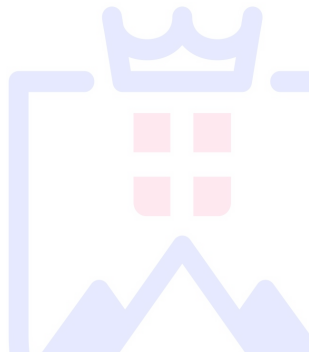
- ① “size-change principle” and inductive types
- ② “size-change principle” et productivity
- ③ “size-change principle” and totality









# Goal

-  typed functional language
-  algebraic datatypes
  - sums (constructors)
  - products (structures)
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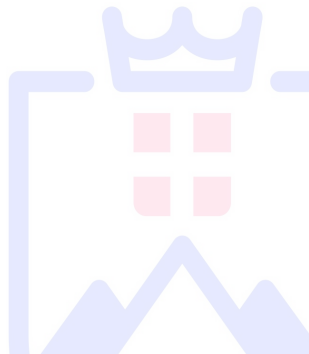
Termination checker: adaptation of the “size-change principle”  
(Lee, Jones et Ben-Amram 2001, P.H. 2014)





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val add m (n+1) = (add n m) + 1  
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Both functions terminate (on appropriate types)


-   $\text{add } (m+1) \ (n+1) \Rightarrow \text{add } n \ (m+1) \Rightarrow \text{add } m \ n$ : arguments decrease
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however



  $\text{add } m \ (n+1) \Rightarrow \text{add } n \ m$ : no decrease with single call

  $\text{sum } n::m::l \Rightarrow \text{sum } ((\text{add } m \ n)::l)$ : no decrease in whole argument



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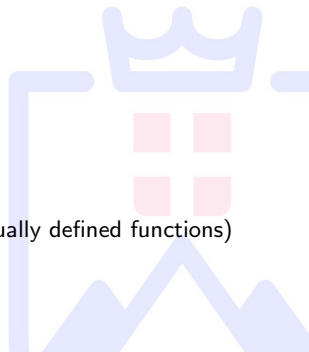
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We get in this way a call graph.

(vertices: mutually defined functions)

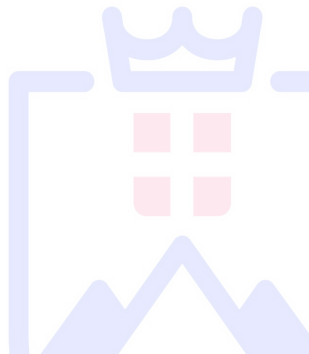




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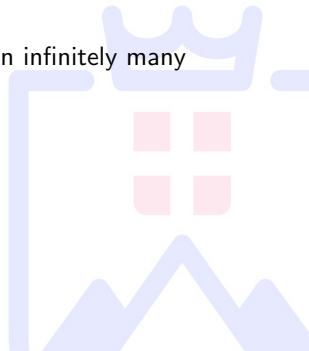
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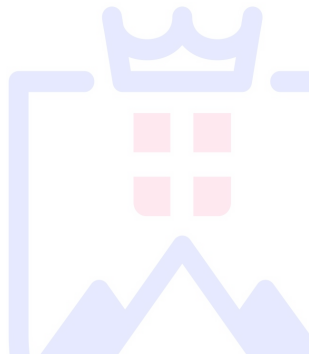
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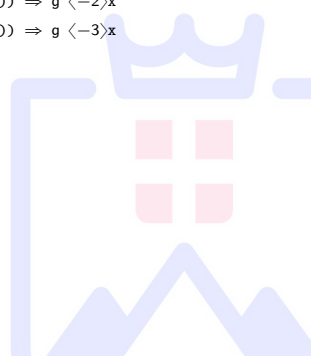
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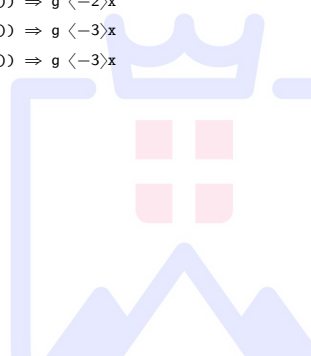
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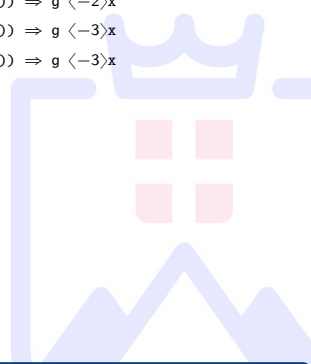
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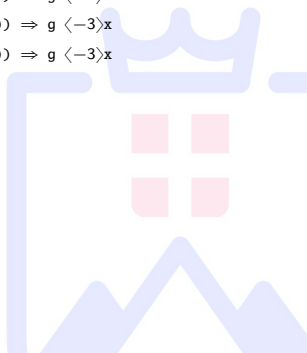
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Composition of path: unification + truncation  
with 2 parameters

-  a depth  $\geq 0$  for terms (here 3)
-  a bound  $> 0$  on coefficients (here 3)





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Note:  $\{ \text{Fst} = x ; \text{Snd} = y \}$  is approximated by  $\langle 1 \rangle x + \langle 1 \rangle y$   
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We get structural recursion in subterms, lexicographic combinations, argument permutations, locale size increase, ...



# Plan

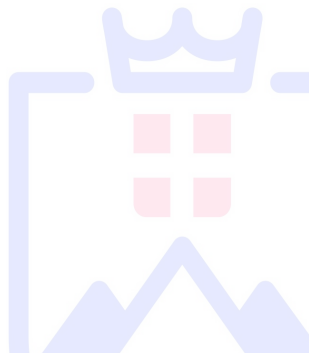
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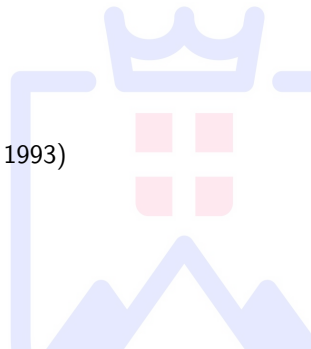
structures are lazy



the third recursive call isn't guarded (Coquand 1993)



but the definition is productive





# SCP and productivity

In addition to arguments, we also keep track of the result.

(Altenkirch & Danielsson 2010, Raffalli & Hyvernat 2014)







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sums { Head=n::m::l; Tail=s }  ⇒
                               sums { Head=Ω::l ; Tail=s }
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 \text{sums } \{ \text{Head}=n::m::l; \text{Tail}=s \} & \Rightarrow \\
 & \text{sums } \{ \text{Head}=\Omega::l ; \text{Tail}=s \}
 \end{array}$$

A recursive definition is productive if for all infinite path:

- an ‘inductive’ branch in an argument is infinite (cf. previous slides),
- the “coinductive” branch of the result is infinite.



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 \text{sums } \{ \text{Head}=n::m::l; \text{Tail}=s \} & \Rightarrow \\
 & \text{sums } \{ \text{Head}=\Omega::l ; \text{Tail}=s \}
 \end{array}$$

A recursive definition is productive if for all infinite path:

- an ‘inductive’ branch in an argument is infinite (cf. previous slides),
- the “coinductive” branch of the result is infinite.

The test is very similar, the coinductive branch of the result is seen as an additional argument.



# Plan

- ① “size-change principle” and inductive types
- ② “size-change principle” et productivity
- ③ “size-change principle” and totality





# (Counter) example

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data tree where  -- (empty) inductive type
  | Node : stream(tree) -> tree
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- the definition is well-typed (Hindley-Milner)
- the definition is productive
- evaluation of `bad_t` (and all its subterms) terminates
- `bad_t` is not an element of the (empty) type `tree`







# Goal

-  typed functional language
-  algebraic datatypes
  - sums (constructors)
  - products (structures)
  - initial algebras (inductive types)
  - terminal coalgebras (coinductive types)
-  call-by-value and lazy structures (?)

(cf charity by R. Cockett)

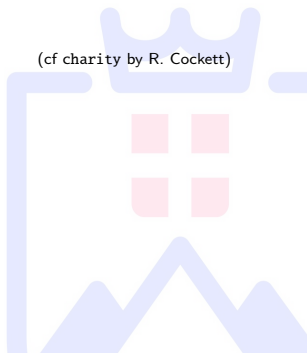




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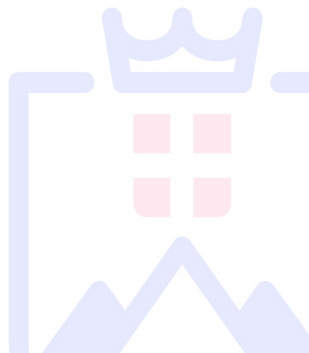
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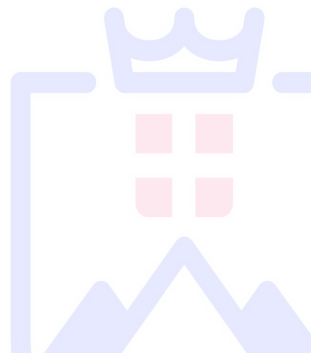
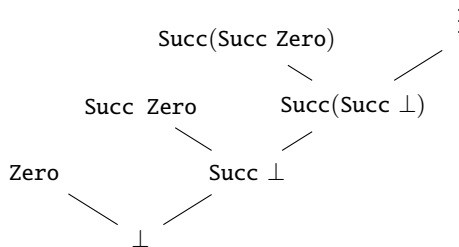
totality test: generalizes termination and productivity test

(SCP + “guard conditions” inspired by L. Santocanale’s circular proofs)



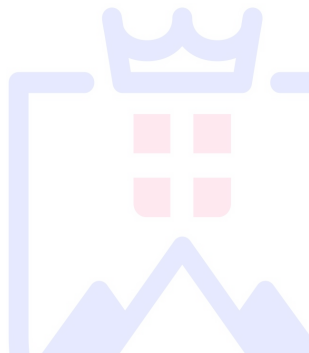
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Goal: find a decidable totality criterion.



# Parity games and totality

Coinductive “Rose trees”:

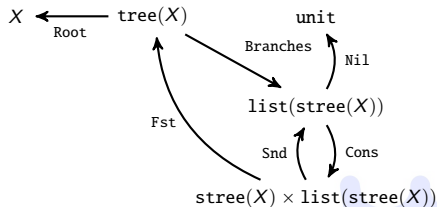
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codata stree('x) where
| Root      : stree('x) -> 'x
| Branches  : stree('x) -> list(stree('x))
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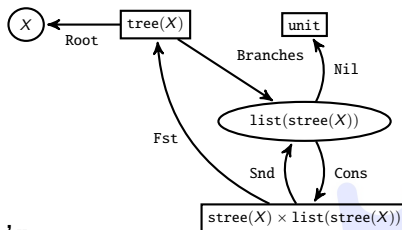
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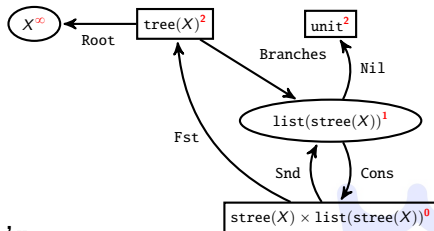
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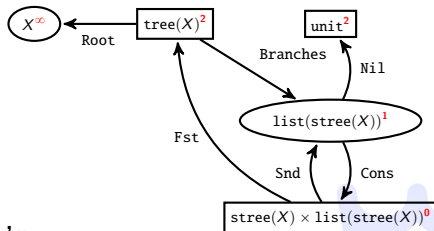
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Theorem (L. Santocanale 2002)

*Total elements of a type are exactly the winning strategies for the associated parity game.*

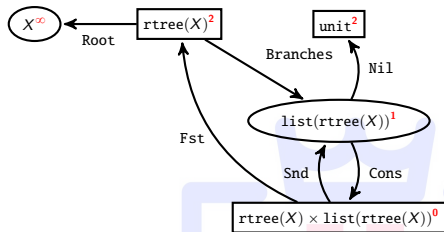


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Rules of the game:



I play on odd vertices





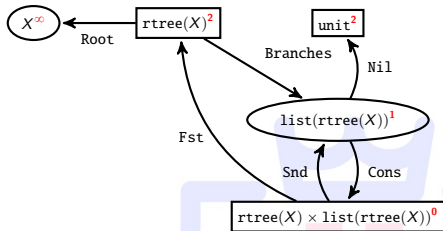




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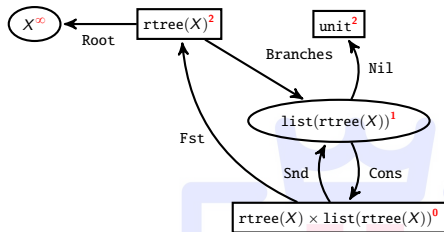




# Totality and strategies

Rules of the game:

-  I play on odd vertices
-  I loose if I can't play
-  if the play is infinite, I win if the maximum value that is visited infinitely often is even





# SCP and strategies



we keep track of the arguments and the result

(like for productivity)





# SCP and strategies

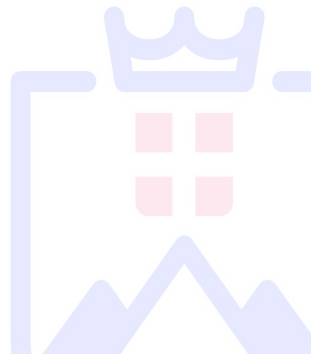


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criterion: for all infinite path in the call graph,

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$$\text{Cons}^1 \{ \text{Fst}^2 = \text{Succ}^1 x ; \text{Snd}^2 = y \}$$

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algorithm: SCP, yet again



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idea: complement the criterion with “sized types”, as in Agda.