

A Tour of Polyadic Approximations

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Appetizer

- How are the following things related?
 - polyadic approximations;
 - intersection type systems;
 - Boolean circuits;
 - the Cook-Levin theorem.



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 - intersection type systems;
 - Boolean circuits;
 - the Cook-Levin theorem.



- There is an **intersection type system** for “Turing machines” whose derivations are **Boolean circuits**, which **approximate** the machine they type. This may be used to give a type-theoretic proof of the **Cook-Levin theorem**.

Girard's Approximation Theorem (1987)

[T]he approximation theorem [...] is just the mathematical contents of our slogan: *usual logic is obtained from linear logic (without modalities) by a passage to the limit.*

5.1. Definition (approximants). The connectives $!$ and $?$ are approximated by the connectives $!_n$ and $?_n$ ($n \neq 0$):

$$!_n A = (1 \& A) \otimes \cdots \otimes (1 \& A) \quad (n \text{ times})$$

$$?_n A = (\perp \oplus A) \wp \cdots \wp (\perp \oplus A) \quad (n \text{ times})$$

5.2. Theorem (Approximation Theorem). Let A be a theorem of linear logic; with each occurrence of $!$ in A , assign an integer $\neq 0$; then it is possible to assign integers $\neq 0$ to all occurrences of $?$ in such a way that if B denotes the result of replacing each occurrence of $!$ (respectively $?$) by $!_n$ (respectively $?_n$) where n is the integer assigned to it, then B is still a theorem of linear logic.

The dimensional ladder

0. formulas/types
1. proofs/programs
2. cut-elimination/execution
3. standardization
4. residual equivalence
5. . . .



The λ -calculus, 2-dimensionally

$M, N ::= x \mid \lambda x.M \mid MN$	terms
$\beta ::= M(x \leftarrow N)$	basic steps
$\rho, \tau ::= C\{\beta_1, \dots, \beta_n\} \mid \rho; \tau$	reduction terms

C is a multi-hole context, n may be 0.

$$\frac{}{M(x \leftarrow N) : (\lambda x.M)N \rightarrow^* M\{N/x\}} \beta$$

$$\frac{\beta_1 : M_1 \rightarrow^* M'_1 \quad \dots \quad \beta_n : M_n \rightarrow^* M'_n}{C\{\beta_1, \dots, \beta_n\} : C\{M_1, \dots, M_n\} \rightarrow^* C\{M'_1, \dots, M'_n\}} \text{ctxt}$$

$$\frac{\rho : M \rightarrow^* P \quad \tau : P \rightarrow^* N}{\rho; \tau : M \rightarrow^* N} \text{comp}$$

Basic equivalences

Structural equivalence:

$$\frac{\rho : M \rightarrow^* N \quad \tau : N \rightarrow^* P \quad \varphi : P \rightarrow^* Q}{(\rho; \tau); \varphi \equiv \rho; (\tau; \varphi)} \text{assoc}$$

$$\frac{\rho : M \rightarrow^* M'}{M; \rho \equiv \rho} \text{lunit}$$

$$\frac{\rho : M' \rightarrow^* M}{\rho; M \equiv \rho} \text{runit}$$

Permutation equivalence:

$$\frac{\alpha : M \rightarrow^* M' \quad \beta : N \rightarrow^* N'}{\mathbf{C}\{M, \beta\}; \mathbf{C}\{\alpha, N'\} \sim \mathbf{C}\{\alpha, \beta\} \sim \mathbf{C}\{\alpha, N\}; \mathbf{C}\{M', \beta\}} \text{par}$$

$$\frac{\alpha : \mathbf{C}\{x\} \rightarrow^* M' \quad \beta : N \rightarrow^* N'}{\mathbf{C}\{\beta\}; \alpha\{N'/x\} \sim \alpha\{N/x\}; M'\{\beta/x\}} \text{unnest}$$

An operadic take on syntax

- The λ -calculus with β -reduction may be presented as a 2-operad Λ :
 0. one color;
 1. multimorphisms of $\Lambda(n)$: terms M with $\text{fv}(M) \subseteq \{x_1, \dots, x_n\}$;
 2. 2-arrows $M \Rightarrow N$: reduction terms $\rho : M \rightarrow^* N$ modulo \sim ;operadic composition is substitution.
- Church-style (simple) types = more than one color.
- Curry-style types = 2-operad morphism $\mathcal{E} \rightarrow \Lambda$ (Melliès-Zeilberger).
- This generalizes to linear logic terms $\Lambda_!$, polyadic affine terms Λ_a^p . . .

Approximation order

- Polyadic affine reduction terms are endowed with an *approximation order*.
- The definition is inductive; the key case is

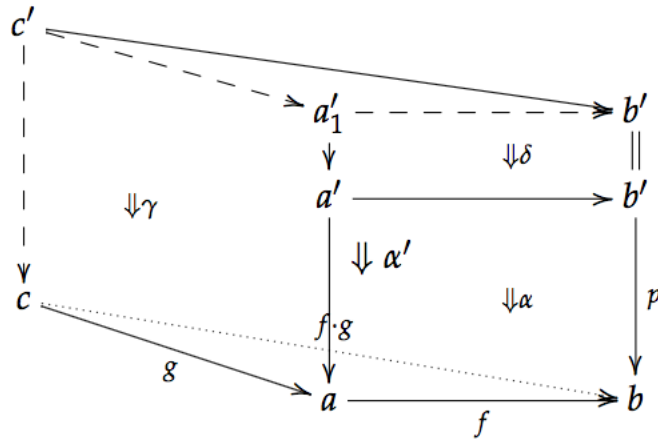
$$\frac{\rho_1 \sqsubseteq \rho'_1 \quad \dots \quad \rho_n \sqsubseteq \rho'_n \quad n \leq m}{\langle \rho_1, \dots, \rho_n \rangle \sqsubseteq \langle \rho'_1, \dots, \rho'_m \rangle} \text{ box}$$

- This defines a *posetal double category*:

$$\begin{array}{ccc} t & \xrightarrow{\rho} & u \\ \sqcap & & \sqcap \\ t' & \xrightarrow[\rho']{} & u' \end{array}$$

Ideal completion

- The forgetful functor $\mathbf{Cat}(\mathbf{Dcpo}) \rightarrow \mathbf{Cat}(\mathbf{Pos})$ has no left adjoint.
- *Cartesian liftings* in double categories:



- A posetal dbl. cat. \mathcal{D} is **monotonic** if $\mathcal{D}^{\text{tcoop}}$ has cartesian liftings.
- The forgetful functor $\mathbf{MntDblDcpo} \rightarrow \mathbf{MntDblPos}$ has a left adjoint.

Recovering linear logic

- Let $\Lambda_a^\infty := \text{Hor}(\widehat{\Lambda_a^{\square}})$.

Theorem (Girard's approximation theorem, computational version)

There is an isomorphism of 2-operads

$$\Lambda_a^{\infty \text{fu}} / \approx \cong \Lambda_!$$

where $\Lambda_a^{\infty \text{fu}} / \approx$ is a suitable quotient of the finitary, uniform sub-operad of Λ_a^∞ .

- This yields (affine) **polyadic approximations**.

Modulus of continuity

- Reduction enjoys a continuity property: if $M \rightarrow^* N$, then

$$\forall u \sqsubset N, \exists t \sqsubset N \text{ such that } t \rightarrow^* u.$$

- What's the dependency of $|t|$ on the length of the reduction?
- We informally call this the “modulus of continuity”.
- **Bad news:** the modulus of continuity is **exponential!**

A polynomial modulus of continuity?

- Why is exponential bad?
 1. It is morally wrong (cf. abstract machines).
 2. We would like to be able to use the equation

$$\frac{\text{affine } \lambda\text{-terms}}{\lambda\text{-terms}} = \frac{\text{Boolean circuits}}{\text{Turing machines}}$$

Theorem. *Polytime TMs induce polysize Boolean circuits.*

3. No λ -calculus approach to non-uniform computation.

Parsimonious logic

- A logic/calculus with a polynomial modulus of continuity.
- Categorically:
 - a SMCC \mathcal{C} with terminal unit;
 - a lax monoidal functor $! : \mathcal{C} \rightarrow \mathcal{C}$;
 - a natural isomorphism $!A \cong A \otimes !A$ (*Milner's law*).
- Good complexity properties (alternative to “light” logics):
 - simple types = L (deterministic logspace);
 - linear polymorphism = P (deterministic polytime).
- Extends to non-uniformity (L/poly, P/poly).

The Cook-Levin Theorem

Theorem. SAT is NP-complete.

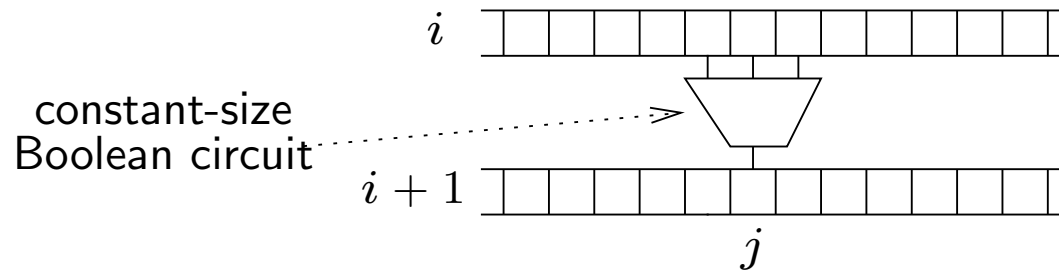
Implied by

Theorem. CIRCUIT SAT is NP-complete.

Essentially implied by

Theorem. Polyttime TMs induce polysize Boolean circuits.

Key to the proof: “computation is local”.



A more essential proof?

- Everyone believes that a circuit can encode the transition of a TM, but **nobody wants to actually do it**: textbooks sketch the idea, no-one wants to see the full details (they are irrelevant for the essence of the proof).
- Maybe there is a proof in which **those details are simply not needed**?
- Also, maybe the essence of the Cook-Levin theorem (**computation is local**) can take a **more precise mathematical form**?
- Can we prove the Cook-Levin theorem in “theory B” style?

A first-order while language (Mowl)

- Types: Bool, Str.
- Programs:

$M, N, P, Q ::= x \mid M[x \leftarrow N]$ vars and let
| $0 \mid 1 \mid \text{if } M \text{ then } N \text{ else } P$ booleans
| $\varepsilon \mid 0M \mid 1M \mid \text{case } M \text{ of } \varepsilon.N \mid 0x.P \mid 1x.Q$ binary strings
| $\text{while } M \text{ do } N \text{ to } x := P$ while loop

- Typing and evaluation rules are as expected.

The class NP

Definition/Proposition. NP is the class of decision problems $L \subseteq \{0, 1\}^*$ such that there exists a Mowl program

$$x : \text{Str}, y : \text{Str} \vdash M : \text{Bool}$$

and two polynomials p, q such that, for all $w, w' \in \{0, 1\}^*$

$$M[x \leftarrow \underline{w}][y \leftarrow \underline{w'}] \rightarrow^{l(w, w')} b_{w, w'}$$

with $l(w, w') \leq p(|w| + |w'|)$ and, moreover, there exists $m \leq q(|w|)$ and $w' \in \{0, 1\}^m$ such that $b_{w, w'} = 1$ iff $w \in L$.

Boolean circuits

- Types: Bool.
- Programs:

$t, u, v ::= x \mid t[x \leftarrow u] \mid \bullet$	vars, let, undef
$\mid 0 \mid 1 \mid \text{if } M \text{ then } N \text{ else } P$	booleans
$\mid \langle t_1, \dots, t_n \rangle \mid t[\langle x_1, \dots, x_n \rangle := u]$	tuples

- Typing and evaluation rules are as expected.

Approximation order for Boolean circuits

- The key rules:

$$\frac{}{\bullet \sqsubseteq t} \text{undef} \qquad \frac{t_1 \sqsubseteq t'_1 \quad \dots \quad t_n \sqsubseteq t'_n}{\langle t_1, \dots, t_n \rangle \sqsubseteq \langle t'_1, \dots, t'_m \rangle} \text{box } m \geq n$$

- The same methodology used for affine polyadic terms applies here:
 - Boolean circuits form a monotonic posetal double category \mathcal{C} ;
 - Mowl programs embed in the ideal completion of \mathcal{C} ;
 - hence we may approximate Mowl programs by Boolean circuits;
 - hence we have an **approximation presheaf** $\text{Mowl} \rightarrow \mathfrak{Rel}$;
 - by the Grothendieck construction, this is a **type system for Mowl**.

Intersection types for Mowl

See my HdR thesis.

Key properties: monotonicity

Lemma. If $t \rightarrow u$ and $t \sqsubseteq t'$, then $t' \rightarrow u'$ such that $u \sqsubseteq u'$.

Key properties: quantitative subject expansion

Lemma. Let δ be an intersection types derivation of $\Gamma \vdash M : A$ and let

$$M' \rightarrow M.$$

Then, there exists a derivation δ' of $\Gamma \vdash M' : A$ such that

$$(\delta')^- \rightarrow^* \delta^-.$$

Moreover, $\text{rk}(\delta') \leq \text{rk}(\delta) + 1$ and $\text{tw}(\delta') \leq \text{tw}(\delta) + 1$.

Key properties: uniform typings

There is a notion of *uniform typing* $\llbracket M \rrbracket_{k,m}^\Gamma$ such that

Lemma. For a fixed Mowl program M , the Boolean circuit $(\llbracket M \rrbracket_{k,m}^\Gamma)^-$ may be computed in polynomial time in k and m .

Lemma. Let δ be an intersection types derivation of the judgment $\Gamma \vdash M : A$, with M containing c binary successors. Then, for all $k \geq \text{rk}(\delta)$ and $m \geq \text{tw}(\delta) + c$, we have

$$\delta^- \sqsubseteq (\llbracket M \rrbracket_{k,m}^{\Gamma'})^-,$$

where Γ' is Γ in which every type is replaced by Str_m .