

Formal verification of a static analyzer: abstract interpretation in type theory

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Jacques-Henri Jourdan, Vincent Laporte,
David Pichardie, Sandrine Blazy

and all the participants in the ANR Verasco project.

Plan

- 1 An overview of static analysis
- 2 Naive abstract interpretation
- 3 Scaling up: the Verasco project
- 4 Technical zoom: the abstract interpreter and its proof
- 5 Conclusions and perspectives

Static analysis in a nutshell

Statically infer properties of a program that hold for all its executions.

At this program point, $0 < x \leq y$ and pointer p is not NULL.

Emphasis on **infer**: no help from the programmer.
(E.g. loop invariants are not written in the source.)

Emphasis on **statically**:

- The inputs to the program are not known.
- The analysis must terminate.
- The analysis must run in reasonable time and space.

Example of properties that can be inferred

Properties of the value of one variable: (value analysis)

$x = a$	constant propagation
$x > 0$ ou $x = 0$ ou $x < 0$	signs
$x \in [a, b]$	intervalles
$x = a \pmod{b}$	congruences
<code>valid(p[a...b])</code>	memory validity
$p \text{ pointsTo } x$ or $p \neq q$	(non-) aliasing between pointers

(a, b, c are constants inferred by the analyzer.)

Example of properties that can be inferred

Properties of several variables: (relational analysis)

$\sum a_i x_i \leq c$	convex polyhedra
$\pm x_1 \pm x_2 \leq c$	octogons
$expr_1 = expr_2$	Herbrand equivalences
<i>doubly-linked-list(p)</i>	shape analysis

Non-functional properties:

- Memory consumption.
- Worst-case execution time (WCET).

Using static analysis for code optimization

Apply algebraic identities when their conditions are met:

$x / 4 \rightarrow x \gg 2$ if analysis says $x \geq 0$

$x + 1 \rightarrow 1$ if analysis says $x = 0$

Optimize array accesses and pointer dereferences:

$a[i]=1; a[j]=2; x=a[i]; \rightarrow a[i]=1; a[j]=2; x=1;$
if analysis says $i \neq j$

$*p = a; x = *q; \rightarrow x = *q; *p = a;$
if analysis says $p \neq q$

Automatic parallelization:

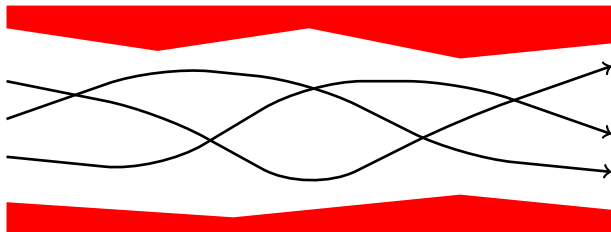
$loop_1; loop_2 \rightarrow loop_1 \parallel loop_2$ if $polyh(loop_1) \cap polyh(loop_2) = \emptyset$

Using static analysis for verification

Use the results of static analysis to prove the absence of certain run-time errors:

$$y \in [a, b] \wedge 0 \notin [a, b] \implies x/y \text{ cannot fail}$$
$$\text{valid}(p[a \dots b]) \wedge i \in [a, b] \implies p[i] \text{ cannot fail}$$

Report an **alarm** otherwise.

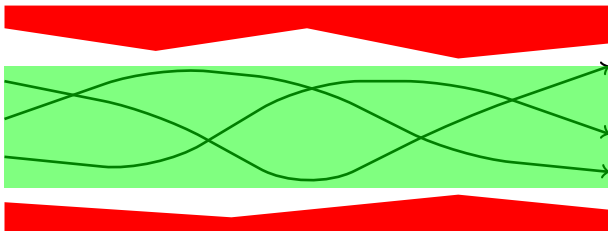


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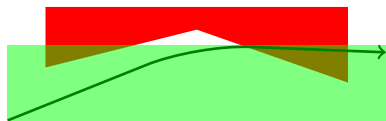
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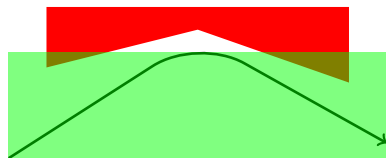
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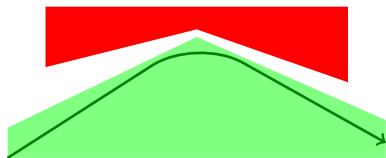
True alarms, false alarms, unsoundness



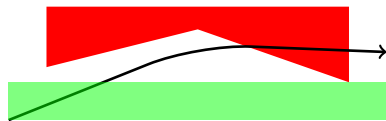
True alarm
(wrong behavior)



False alarm
(analysis too imprecise)



More precise analysis:
the false alarm goes away.



Unsound analyzer:
fails to account for all behaviors

Some properties verifiable by static analysis

Absence of run-time errors:

- Arrays and pointers:
 - ▶ No out-of-bound accesses.
 - ▶ No dereferencing the null pointer.
 - ▶ No access after a `free`.
 - ▶ Alignment constraints are respected.
- Integer arithmetic:
 - ▶ No division by zero.
 - ▶ No (signed) arithmetic overflows.
- Floating-point arithmetic:
 - ▶ No arithmetic overflows (result is $\pm\infty$)
 - ▶ No undefined operations (result *Not a Number*)
 - ▶ No catastrophic cancellation.

Information flow: e.g. “tainting”.

Simple programmer-inserted assertions:

e.g. `assert (0 <= x && x < sizeof(tbl))`.

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Basic idea:
analyzing a program is
executing it with a nonstandard semantics

Abstract interpretation in a nutshell

Execute (“interpret”) the program with a semantics that:

- Computes over an **abstract domain** of the desired properties (e.g. “ $x \in [a, b]$ ” for interval analysis) instead of computing with **concrete** values and states (e.g. numbers).
- Handle Boolean conditions even if they cannot be resolved statically:
 - ▶ The `then` and `else` branches of an `if` are both taken \rightarrow joins.
 - ▶ Loops and recursions execute arbitrarily many times \rightarrow fixpoints.
- Always terminates.

Examples of abstract interpretation

In the concrete

In the abstract

$\{ x = 3, y = 1 \}$

$\{ x^\# = [0, 9], y^\# = [-1, 1] \}$

$z = x + 2 * y;$

$\{ z = 3 + 2 * 1 = 5 \}$

$\{ z^\# = [0, 9] +^\# 2 *^\# [-1, 1] = [-2, 11] \}$

$\{ b = \text{true}, x = 3, y = 1 \}$

$\{ b^\# = \top, x^\# = [0, 9], y^\# = [-1, 1] \}$

$z = (\text{if } b \text{ then } x \text{ else } y);$

$\{ z = 3 \}$

$\{ z^\# = [0, 9] \sqcup [-1, 1] = [-1, 9] \}$

Examples of abstract interpretation

In the concrete

In the abstract

$\{ x = 3, y = 1 \}$

$\{ x^\# = [0, 9], y^\# = [-1, 1] \}$

$z = x + 2 * y;$

$\{ z = 3 + 2 \times 1 = 5 \}$

$\{ z^\# = [0, 9] +^\# 2 \times^\# [-1, 1] = [-2, 11] \}$

$\{ b = \text{true}, x = 3, y = 1 \}$

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$z = (\text{if } b \text{ then } x \text{ else } y);$

$\{ z = 3 \}$

$\{ z^\# = [0, 9] \sqcup [-1, 1] = [-1, 9] \}$

Idea #2:
a variable can have different abstractions
at different program points

Sensitivity to control flow

Imperative variable assignment:

<code>x = x + 1;</code>	$\{ x^\# = [0, 9] \}$
	$\{ x^\# = [1, 10] \}$

Refining the abstraction at conditionals:

<code>if (x == 0) {</code>	$\{ x^\# = [0, 9] \}$
<code>...</code>	
<code>} else {</code>	$\{ x^\# = [0, 0] \}$
<code>...</code>	
<code>}</code>	$\{ x^\# = [1, 9] \}$

Idea #3:
we can also infer relations
between the values of several variables

Non-relational / relational analysis

Non-relational analysis:

abstract environment = variable \mapsto abstract value

(Like simple typing environments.)

Relational analysis:

abstract environments are a domain of their own, featuring:

- a semi-lattice structure: $\perp, \top, \sqsubset, \sqcup$
- an abstract operation for assignment / binding.

Example: convex polyhedra, i.e. conjunctions of linear inequalities

$$\sum a_j x_j \leq c.$$

Idea # 4: widening
fixpoints can be computed
even in non-well-founded domains

Fixpoints – the recurring problem

Static analysis of a loop:

```
while (...) {
    ...
}
```

$\{ e^\# = X_0 \}$
 $\{ e^\# = X \}$
 $\{ e^\# = \Phi(X) \}$

Given X_0 (the abstract state before the loop)
and Φ (the transfer function for the loop body),
find X (the loop invariant).

$X \sqsupseteq X_0$ (first iteration) $X \sqsupseteq \Phi(X)$ (next iterations)

X is, ideally, the smallest fixpoint of $F = X \mapsto X_0 \sqcup \Phi(X)$
or at least any post-fixpoint of F ($X \sqsupseteq F(X)$).

Paradise

Theorem (Kleene)

Let (A, \sqsubseteq, \perp) a partially ordered set such that \sqsubseteq is well founded (no infinite increasing sequences).

Let $F : A \rightarrow A$ an increasing, continuous function.

Then F has a smallest fixpoint, obtained by finite iteration from \perp :

$$\exists n, \perp \sqsubseteq F(\perp) \sqsubseteq \dots \sqsubseteq F^n(\perp) = F^{n+1}(\perp)$$

Paradise lost

Most abstract domains are not well founded. Examples:

- Integer intervals: $[0, 0] \sqsubset [0, 1] \sqsubset [0, 2] \sqsubset \dots \sqsubset [0, n] \sqsubset \dots$
- Environments: *variable* \mapsto *abstract values*.

Moreover, even when Kleene iteration converges, it converges too slowly:

```
x = 0; while (x <= 10000) { x = x + 1; }
```

(Starting with $x^\# = [0, 0]$, it takes 10000 iterations to reach the fixpoint $x^\# = [0, 10000]$.)

Paradise regained: widening

A widening operator $\nabla : A \rightarrow A \rightarrow A$ computes a majorant of its second argument in such a way that the following iteration converges always and quickly:

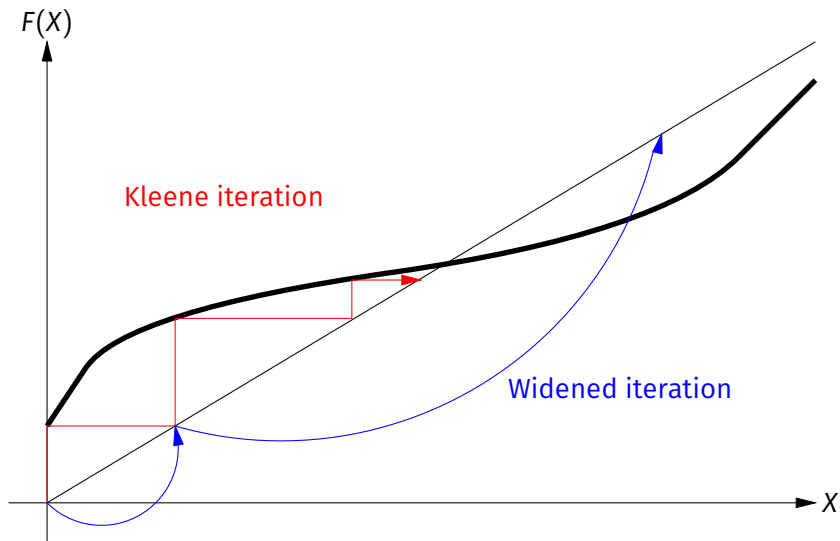
$$X_0 = \perp \quad X_{i+1} = \begin{cases} X_i & \text{if } F(X_i) \sqsubseteq X_i \\ X_i \nabla F(X_i) & \text{otherwise} \end{cases}$$

The limit X of this sequence is a post-fixpoint: $F(X) \sqsubseteq X$.

Example: widening for intervals:

$$[l_1, u_1] \nabla [l_2, u_2] = \begin{cases} \text{if } l_2 < l_1 \text{ then } -\infty \text{ else } l_1, \\ \text{if } u_2 > u_1 \text{ then } \infty \text{ else } u_1 \end{cases}$$

Widening in action



Narrowing the post-fixpoint

The quality of the post-fixpoint can be improved by iterating F some more:

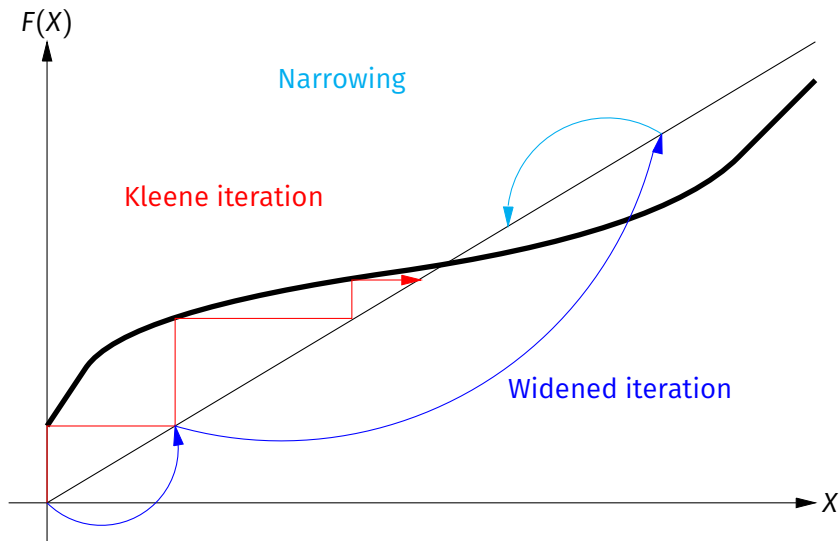
$$Y_0 = \text{a post-fixpoint} \quad Y_{i+1} = F(Y_i)$$

If F is increasing, each Y_i is a post-fixpoint: $F(Y_i) \sqsubseteq Y_i$.

Often, $Y_i \sqsubset Y_0$, improving the analysis quality.

Iteration can be stopped when Y_i is a fixpoint, or at any time.

Widening plus narrowing in action

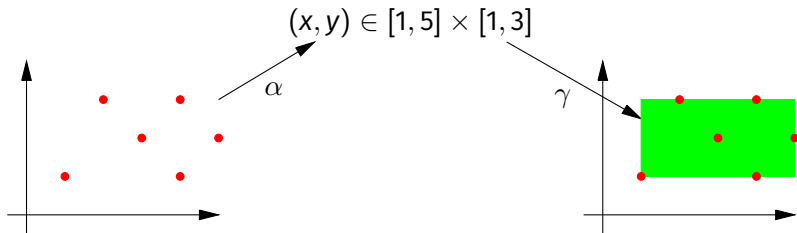


Idea #6: Galois connections:
abstract operators can be calculated
in a systematic, sound, and optimal manner

A Galois connection

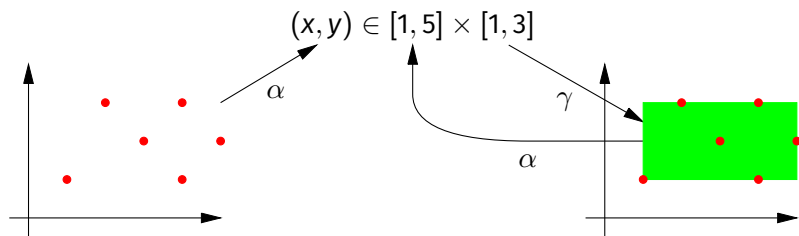
A semi-lattice \mathcal{A}, \sqsubseteq of abstract states and two functions:

- **Abstraction** α : set of concrete states \rightarrow abstract state
- **Concretization** γ : abstract state \rightarrow set of concrete states



For intervals, $\alpha(S) = [\inf S, \sup S]$ and $\gamma([a, b]) = \{x \mid a \leq x \leq b\}$.

Axioms of Galois connections



The adjunction property:

$$\forall a, S, \quad \alpha(S) \sqsubseteq a \iff S \subseteq \gamma(a)$$

or, equivalently:

$$\begin{aligned} & \alpha, \gamma \text{ increasing} \\ \wedge \quad & \forall S, \quad S \subseteq \gamma(\alpha(S)) \quad (\text{soundness}) \\ \wedge \quad & \forall a, \quad \alpha(\gamma(a)) \sqsubseteq a \quad (\text{optimality}) \end{aligned}$$

Calculating abstract operators

For any concrete operator $F : C \rightarrow C$ we define its abstraction $F^\# : A \rightarrow A$ by

$$F^\#(a) = \alpha\{F(x) \mid x \in \gamma(a)\}$$

This abstract operator is:

- **Sound:** if $x \in \gamma(a)$ then $F(x) \in \gamma(F^\#(a))$.
- **Optimally precise:** every a' such that $x \in \gamma(a) \Rightarrow F(x) \in \gamma(a')$ is such that $F^\#(a) \sqsubseteq a'$.

Moreover, an algorithmic definition of $F^\#$ can be **calculated** from the definition above.

Calculating $+^\#$ for intervals

$$\begin{aligned} & [a_1, b_1] +^\# [a_2, b_2] \\ &= \alpha\{x_1 + x_2 \mid x_1 \in \gamma[a_1, b_1], x_2 \in \gamma[a_2, b_2]\} \\ &= [\inf\{x_1 + x_2 \mid a_1 \leq x_1 \leq b_1, a_2 \leq x_2 \leq b_2\}, \\ &\quad \sup\{x_1 + x_2 \mid a_1 \leq x_1 \leq b_1, a_2 \leq x_2 \leq b_2\}] \\ &= [+\infty, -\infty] \text{ if } a_1 > b_1 \text{ or } a_2 > b_2 \\ &= [a_1 + b_1, a_2 + b_2] \text{ otherwise} \end{aligned}$$

Note: the intuitive definition $[a_1, b_1] +^\# [a_2, b_2] = [a_1 + b_1, a_2 + b_2]$ is sound but not optimal.

Trouble ahead:
Galois connections in type theory

Type-theoretic difficulties

Minor issue: the calculations of abstract operators are poorly supported by interactive theorem provers such as Coq:

$$F^\# a = \alpha(\lambda x.P) \quad = \quad \alpha(\lambda x.P') = \dots$$

\uparrow
because $\forall x, P \Leftrightarrow P'$

Either:

- use setoid equalities everywhere, or
- add extensionality axioms (functional, propositional).

Type-theoretic difficulties

Major issue: γ is easily modeled as

$$\gamma : A \rightarrow (C \rightarrow \text{Prop}) \quad (\text{two-place predicate})$$

but α is generally **not computable** as soon as C is infinite:

$\alpha : (C \rightarrow \text{Prop}) \rightarrow A$ morally constant functions only?

$\alpha : (C \rightarrow \text{bool}) \rightarrow A$ can only query a finite number of C 's

(E.g. $\alpha(S) = [\inf S, \sup S]$, no more computable than inf and sup.)

→ Need more axioms (description, Hilbert's epsilon).

Fundamental difficulty

For some domains, the abstraction function α does not exist!
(The optimality condition $a \sqsubseteq \alpha(\gamma(a))$ cannot be satisfied.)

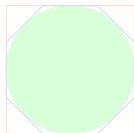
Example 1: rational intervals.

$$\alpha\{x \mid x^2 \leq 2\} = ???$$

There is no best rational approximation of $[-\sqrt{2}, \sqrt{2}]$.

Example 2: polyhedra

$$\alpha\{(x, y) \mid x^2 + y^2 \leq 1\} = ???$$



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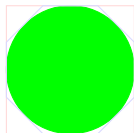
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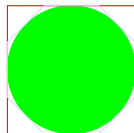
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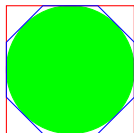
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Example 2: polyhedra

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Plan B:
soundness (γ) is essential,
optimality (α) is optional

Getting rid of α

Remember the two properties of abstract operators $F^\#$ calculated from $F^\#(a) = \alpha\{F(x) \mid x \in \gamma(a)\}$:

- 1 **Soundness:** if $x \in \gamma(a)$ then $F(x) \in \gamma(F^\#(a))$.
- 2 **Optimality:** every a' such that $x \in \gamma(a) \Rightarrow F(x) \in \gamma(a')$ is such that $F^\#(a) \sqsubseteq a'$.

Instead of **calculating** $F^\#$, we can **guess** a definition for $F^\#$, then **verify**

- property 1: soundness (mandatory!)
- possibly property 2: optimality (optional sanity check).

These proofs only need the concretization relation γ , which is unproblematic.

Soundness first!

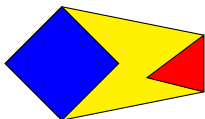
Having made optimality entirely optional, we can further simplify the analyzer and its soundness proof, while increasing its algorithmic efficiency:

- Abstract operators that return over-approximations (or just \top) in difficult / costly cases.
- Join operators \sqcup that return an upper bound for their arguments but not necessarily the least upper bound.
- “Fixpoint” iterations that return a post-fixpoint but not necessarily the smallest (widening + return \top when running out of fuel).
- Validation a posteriori of algorithmically-complex operations, performed by an untrusted external oracle. (Next slide.)

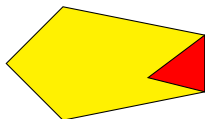
Validation a posteriori

Some abstract operations can be implemented by unverified code if it is easy to validate the results a posteriori by a validator. Only the validator needs to be proved correct.

Example: the join operator \sqcup over polyhedra.



Computing the join
(convex hull)



vs. Inclusion test
(Presburger formula)

The inclusion test can itself use validation a posteriori via Farkas certificates.

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The Verasco project

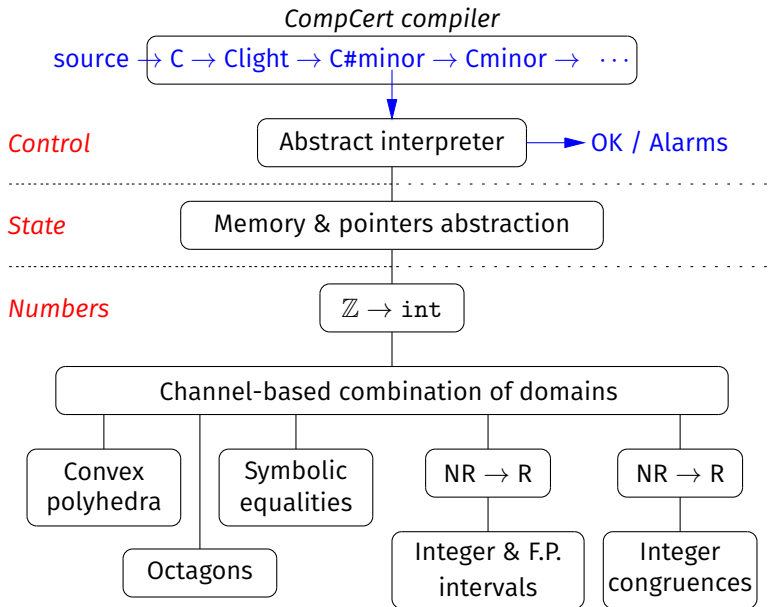
Inria Celtique, Gallium, Antique, Toccata + Verimag + Airbus

Goal: develop and verify in Coq a realistic static analyzer by abstract interpretation:

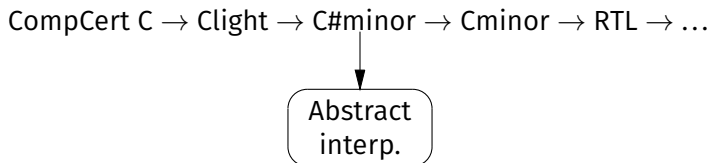
- Language analyzed: the CompCert subset of C.
- Goal: proving the absence of run-time errors.
- Nontrivial abstract domains, including relational domains.
- Modular architecture inspired from Astrée's.
- Decent alarm reporting.

Slogan: if “CompCert = 1/10th of GCC but formally verified”,
likewise “Verasco = 1/10th of Astrée but formally verified”.

Architecture



Upper layer: the abstract interpreter



Connected to the C#minor intermediate language of the CompCert compiler (\approx C without types).

Parameterized by a relational abstract domain for execution states (environment + memory state + call stack).

Local fixpoints for each loop + per-function fixpoint for `goto` + unrolling of functions at call point.

Lower layer: numerical domains

Non-relational:

- Integer intervals (over \mathbb{Z}).
- Floating-point intervals (on top of the Flocq library).
- Integer congruences (over \mathbb{Z}).

Relational:

- Symbolic equalities $var = expr$ and facts $expr = true$ or $false$.
- The VPL library (Fouilhé, Monniaux, Périn, SAS 2013):
polyhedra with rational coefficients, implemented in OCaml,
producing certificates verifiable in Coq.
- Octagons (Jourdan, NSAD 2016):
direct Coq implementation.

Side contribution: a clean, generic interface for relational domains.

What is a generic interface for a numerical domain?

For a non-relational domain:

- A semilattice (A, \sqsubseteq) of abstract values.
- A concretization relation $\gamma : A \rightarrow \mathbb{Z} \rightarrow \text{Prop}$
- “Forward” abstract operators such as

`forward_unop: unary_operation → A → A+⊥;`

`forward_unop_sound: ∀ op x a,`

`x ∈ γ a -> eval_unop op x ⊆ γ (forward_unop op x);`

- “Backward” abstract operators (to refine abstractions based on the results of conditionals) such as

`backward_unop: unary_operation → A → A → A+⊥;`

`backward_unop_sound: ∀ op x a res b,`

`x ∈ γ a -> res ∈ γ b -> res ∈ eval_unop op x ->`

`x ∈ γ (backward_unop op a b);`

What is a generic interface for a numerical domain?

For a relational domain, the main abstract operations are:

- `assign` $var = expr$
- `forget` $var = any\text{-}value$
- `assume` $expr$ is true or $expr$ is false

var are program variables or abstract memory locations.

$expr$ are simple expressions ($+$ $-$ \times `div` `mod` \dots) over variables and constants.

To report alarms, we also need to query the domain, e.g.

“is $x < y$?” or “is $x \bmod 4 = 0$?”. The basic query is

- `get_itv` $expr \rightarrow variation\ interval$

(Next slide: Coq interface.)

The abstract operations

```
Class ab_machine_env (t var: Type): Type :=
  { leb: t -> t -> bool
  ; top: t
  ; join: t -> t -> t
  ; widen: t -> t -> t
  ; forget: var -> t -> t+⊥
  ; assign: var -> nexpr var -> t -> t+⊥
  ; assume: nexpr var -> bool -> t -> t+⊥
  ; nonblock: nexpr var -> t -> bool
  ; get_itv: nexpr var -> t -> num_val_itv+T+⊥
```

... and their specifications

```
;  $\gamma : t \rightarrow \wp(\text{var} \rightarrow \text{num\_val})$ 
; gamma_monotone: forall x y,
  leb x y = true  $\rightarrow \gamma x \subseteq \gamma y$ ;
; gamma_top: forall x,  $x \in \gamma \text{ top}$ ;
; join_sound: forall x y,
   $\gamma x \cup \gamma y \subseteq \gamma (\text{join } x \ y)$ 
; forget_correct: forall x  $\rho$  n ab,
   $\rho \in \gamma \text{ ab} \rightarrow (\text{upd } \rho \ x \ n) \in \gamma (\text{forget } x \ \text{ab})$ 
; assign_correct: forall x e  $\rho$  n ab,
   $\rho \in \gamma \text{ ab} \rightarrow n \in \text{eval\_nexpr } \rho \ e \rightarrow$ 
   $(\text{upd } \rho \ x \ n) \in \gamma (\text{assign } x \ e \ \text{ab})$ 
; assume_correct: forall e  $\rho$  ab b,
   $\rho \in \gamma \text{ ab} \rightarrow \text{of\_bool } b \in \text{eval\_nexpr } \rho \ e \rightarrow$ 
   $\rho \in \gamma (\text{assume } e \ b \ \text{ab})$ 
; nonblock_correct: forall e  $\rho$  ab,
   $\rho \in \gamma \text{ ab} \rightarrow \text{nonblock } e \ \text{ab} = \text{true} \rightarrow \text{block\_nexpr } \rho \ e \rightarrow \text{False}$ 
; get_itv_correct: forall e  $\rho$  ab,
   $\rho \in \gamma \text{ ab} \rightarrow (\text{eval\_nexpr } \rho \ e) \subseteq \gamma (\text{get\_itv } e \ \text{ab})$ 
```

};

The middle layer: domain transformers

Communications between numerical domains.

From mathematical integers to N -bit machine integers
(accounts for overflow and wrap-around).

Memory and pointer domain:

1 abstract memory cell = 1 variable of the numerical domains

Plus: points-to information and type information.

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Abstract interpretation of structured control

For a simple imperative language like IMP:

$$F(s, \text{abstract state "before" } s) = \text{abstract state "after" } s + \text{alarm}$$

Follows the structure of statement s .

No need to talk about program points (unlike in dataflow analysis).

Some cases of the abstract interpreter F

$$F((s_1; s_2), A) = F(s_2, F(s_1, A))$$

$$F((\text{IF } b \text{ THEN } s_1 \text{ ELSE } s_2), A) = F(s_1, A \wedge b) \sqcup F(s_2, A \wedge \neg b)$$

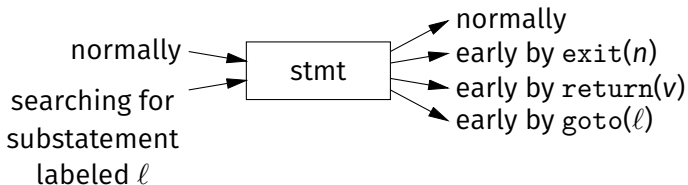
$$F((\text{WHILE } b \text{ DO } s \text{ DONE}), A) = \text{pfp } (\lambda X. A \sqcup F(s, X \wedge b)) \wedge \neg b$$

Note: taking a post-fixpoint pfp at every loop.

Notation: $A \wedge b$ is A where we assert that b is true.

Control flow in the C#minor language

Unlike in IMP, a C#minor statement can terminate in several different ways, and can also be entered in several ways:



The abstract interpreter becomes:

$$F(s, A_i, A_l) = (A_o, A_r, A_e, A_g) + \text{alarm}$$

A_i : abstract state (normal entry)

A_l : label \rightarrow abstract state (incoming goto)

A_o : abstract state (normal termination)

A_r : abstract value \times abstract state (early return)

A_e : exit level \rightarrow abstract state

A_g : label \rightarrow abstract state (outgoing goto)

Proving the soundness of an abstract interpreter

For IMP, a simple soundness property:

*If $F(s, A) \neq \text{alarm}$ and $m \in \gamma(A)$,
statement s , started in memory m , does not go wrong;
moreover, if it terminates with memory m' , then $m' \in \gamma(F(s, A))$.*

Can be stated formally and proved directly using big-step operational semantics with error rules:

$m \vdash s \Rightarrow m'$ safe termination on state m'
 $m \vdash s \Rightarrow \text{err}$ termination by going wrong

*If $F(s, A) \neq \text{alarm}$ and $m \in \gamma(A)$,
then $m \vdash s \not\Rightarrow \text{err}$,
and $m \vdash s \Rightarrow m'$ implies $m' \in \gamma(F(s, A))$.*

The C#minor operational semantics

A big-step semantics for C#minor is painful to define, owing to goto statements. Instead, we use CompCert's small-step semantics with continuations:

$$(s, k, m) \rightarrow (s', k', m') \rightarrow \dots$$

where s statement under focus
 k continuation term (what to do after s terminates)
 m current memory state and environment

Representative rules for IMP:

$$\begin{aligned} ((s_1; s_2), k, m) &\rightarrow (s_1, \text{Kseq } s_2 \ k, m) \\ ((\text{IF } b \ \text{THEN } s_1 \ \text{ELSE } s_2), k, m) &\rightarrow (s_1, k, m) \quad \text{if } b \Rightarrow \text{true} \\ ((\text{IF } b \ \text{THEN } s_1 \ \text{ELSE } s_2), k, m) &\rightarrow (s_2, k, m) \quad \text{if } b \Rightarrow \text{false} \\ (\text{skip}, \text{Kseq } s \ k, m) &\rightarrow (s, k, m) \end{aligned}$$

Using a Hoare logic

(Yves Bertot, 2005)

Proving the abstract interpreter sound w.r.t. the small-step semantics is feasible but painful. Instead, we break the proof in two steps, using a weak Hoare logic:

- Step 1: “Hoare soundness” of the abstract interpreter:
If $F(s, A) = A'$ (and not `alarm`),
then the weak Hoare triple $\{\gamma(A)\} s \{\gamma(A')\}$ is derivable.
- Step 2: soundness of the Hoare logic w.r.t. the operational semantics.

NB: for C#, we need Hoare “7-tuples”
 $\{\gamma(A_i), \gamma(A_l)\} s \{\gamma(A_o), \gamma(A_r), \gamma(A_e), \gamma(A_g)\}$.

Small-step soundness of a Hoare logic

(Andrew Appel and Sandrine Blazy, 2007)

Definitions:

- A configuration (s, k, m) is safe for n steps if no sequence of at most n transitions starting with (s, k, m) reaches a “going wrong” state.
- A continuation k is safe for n steps w.r.t. postcondition Q if, for all memory states m satisfying Q , the configuration (skip, k, m) is safe for n steps.

Theorem (soundness of a weak Hoare logic)

If the Hoare triple $\{P\} s \{Q\}$ holds, then for all n , all continuations k safe for n steps w.r.t. Q , and all memory states m satisfying P , the configuration (s, k, m) is safe for n steps.

Two ways to define the Hoare logic

Shallow embedding: (Appel and Blazy)

- use the soundness theorem as the definition of $\{P\} s \{Q\}$;
- show the usual Hoare logic rules as lemmas.

Deep embedding: (what we use in CompCert)

- define $\{P\} s \{Q\}$ as a **coinductive** predicate, with each rule as a constructor;
- prove the soundness theorem by induction on the number n of steps.

(The coinductive definition helps to handle function calls just by unrolling of the function definition.)

Conjunction and disjunction rules

The Verasco abstract interpreter contains some heuristics (unrolling of the last N iterations of a loop) whose soundness proof makes use of unusual Hoare logic rules:

$$\frac{\{P_1\} s \{Q\} \quad \{P_2\} s \{Q\}}{\{P_1 \vee P_2\} s \{Q\}}$$

$$\frac{\{P\} s \{Q_1\} \quad \{P\} s \{Q_2\}}{\{P\} s \{Q_1 \wedge Q_2\}}$$

These rules are admissible in the deep embedding approach (with the coinductive predicate), but we could not prove the rule on the right (conjunction) in the shallow embedding approach.

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Status of Verasco

It works!

- Fully proved (30 000 lines of Coq)
- Executable analyzer obtained by extraction.
- Able to show absence of run-time errors in small but nontrivial C programs.

It needs improving!

- Some loops need manual unrolling (to show that an array is fully initialized at the end of a loop).
- Analysis is slow (up to one minute for 100 LOC).

Future work

- Improve algorithmic efficiency, esp. sharing between representations of abstract states (hash-consing?).
- More precise and more efficient abstractions of memory states. (Cf. Antoine Miné's memory domain, LCTES 2006.)
- More (combinations of) abstract domains, e.g. trace partitioning, array-specific domains.
- Debugging the precision of the analyses.

Conclusions

Trying to bridge elegant foundations and nitty-gritty details (low-level language, algorithmic efficiency).

Abstract interpretation is an effective guideline once we forget about optimality of the analysis.

The modular architecture of the analyzer and its well-specified interfaces are essential.

One step at a time...

... we get closer to the formal verification of the tools that participate in the production and verification of critical embedded software.

