

# Effectfully gardening with the Pythia

*Continuity in a dependent setting*

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Chocola

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# Introduction

## Theorem

Any function  $\vdash_{\text{BTT}} f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$  is continuous

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What is BTT ?

What does it mean to be continuous ?

How do we prove it ?

# Introduction

At the end of this talk, you will know :

- what continuity is, and why it is linked to effects
- why it is difficult to mix MLTT (Coq) with effects
- how BTT solves some problems (but not all)
- how to prove continuity for BTT

# I. Continuity

*Simple example*

$$\begin{aligned} \textcolor{brown}{f} & : \Pi(\alpha : \mathbb{N} \rightarrow \mathbb{N}). \mathbb{N} \\ \textcolor{brown}{f} \ \alpha & := 2 \times (\alpha (1 + (\alpha 0))) \end{aligned}$$

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$$\begin{array}{ll} \textcolor{brown}{f} & : \quad \prod(\alpha : \mathbb{N} \rightarrow \mathbb{N}). \mathbb{N} \\ \textcolor{brown}{f} \ \alpha & := \quad 2 \times (\alpha (1 + (\alpha 0))) \end{array}$$

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0

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0  
—  
0  
↓  
1

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0  
—  
0  
↓  
1  
—  
1  
↓  
2

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$$\begin{aligned} \alpha &:= \lambda(n : \mathbb{N}). n \\ \beta 0 &\equiv 0 \\ \beta 1 &\equiv 1 \\ \beta n &\equiv \text{underspecified} \end{aligned}$$

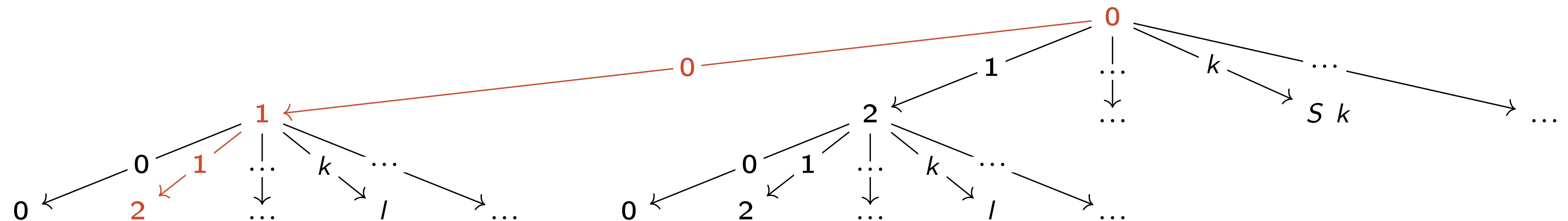
0  
—  
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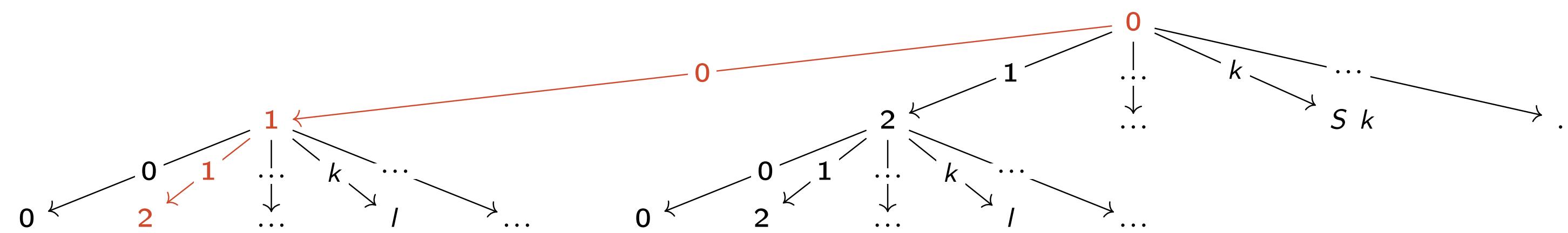


# I. Continuity

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A function  $f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow A$  is said **continuous** if there exists such a tree.

# I. Continuity

*Talking trees*

We consider the following Dialogue operator :

$$\begin{aligned} \text{Inductive } \mathfrak{D} (A : \square) : \square &:= \\ | \quad \eta : A \rightarrow \mathfrak{D} A \\ | \quad \beta : (\mathbb{N} \rightarrow \mathfrak{D} A) \rightarrow \mathbb{N} \rightarrow \mathfrak{D} A. \end{aligned}$$

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$\downarrow a$

$\downarrow b$

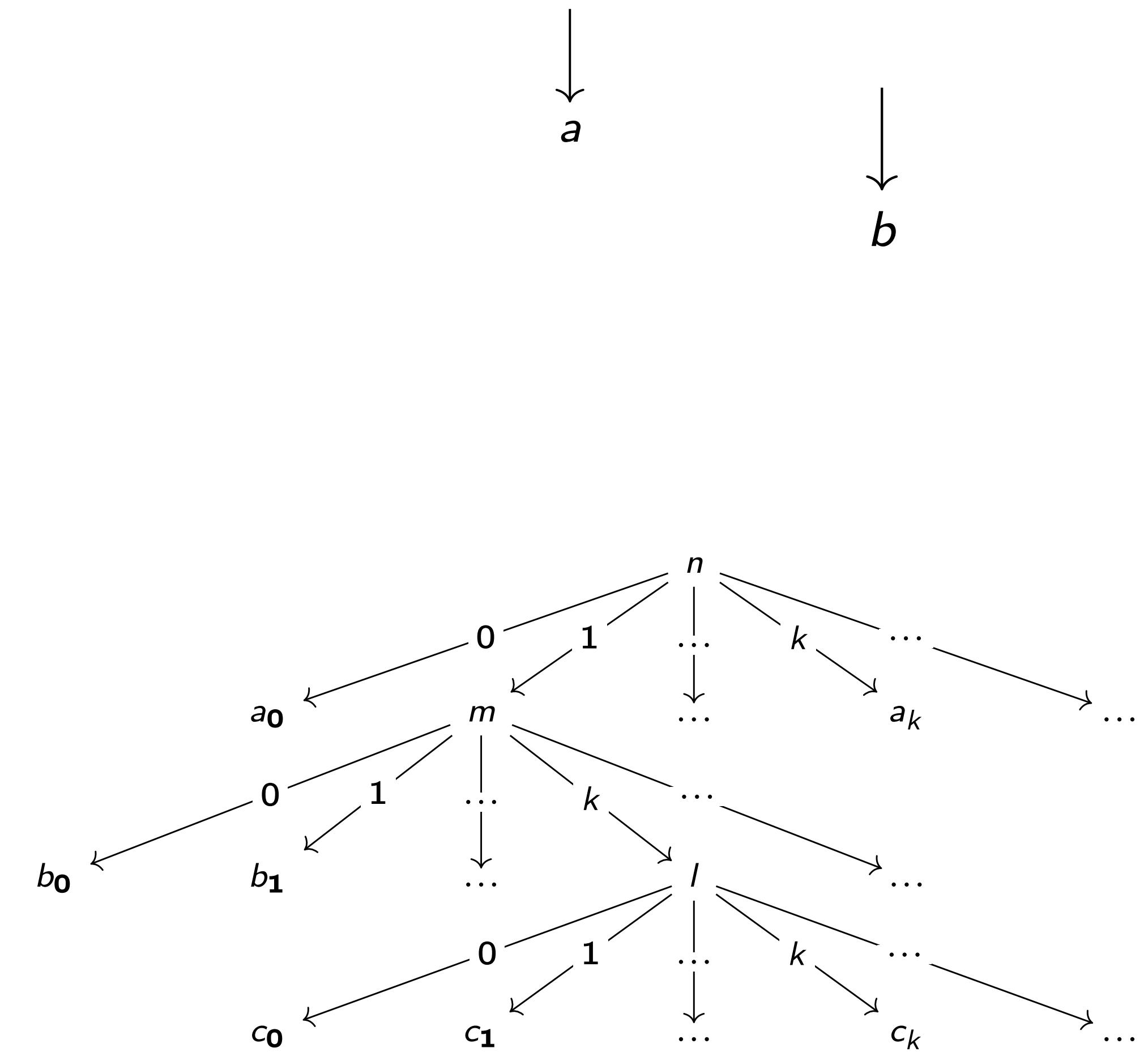
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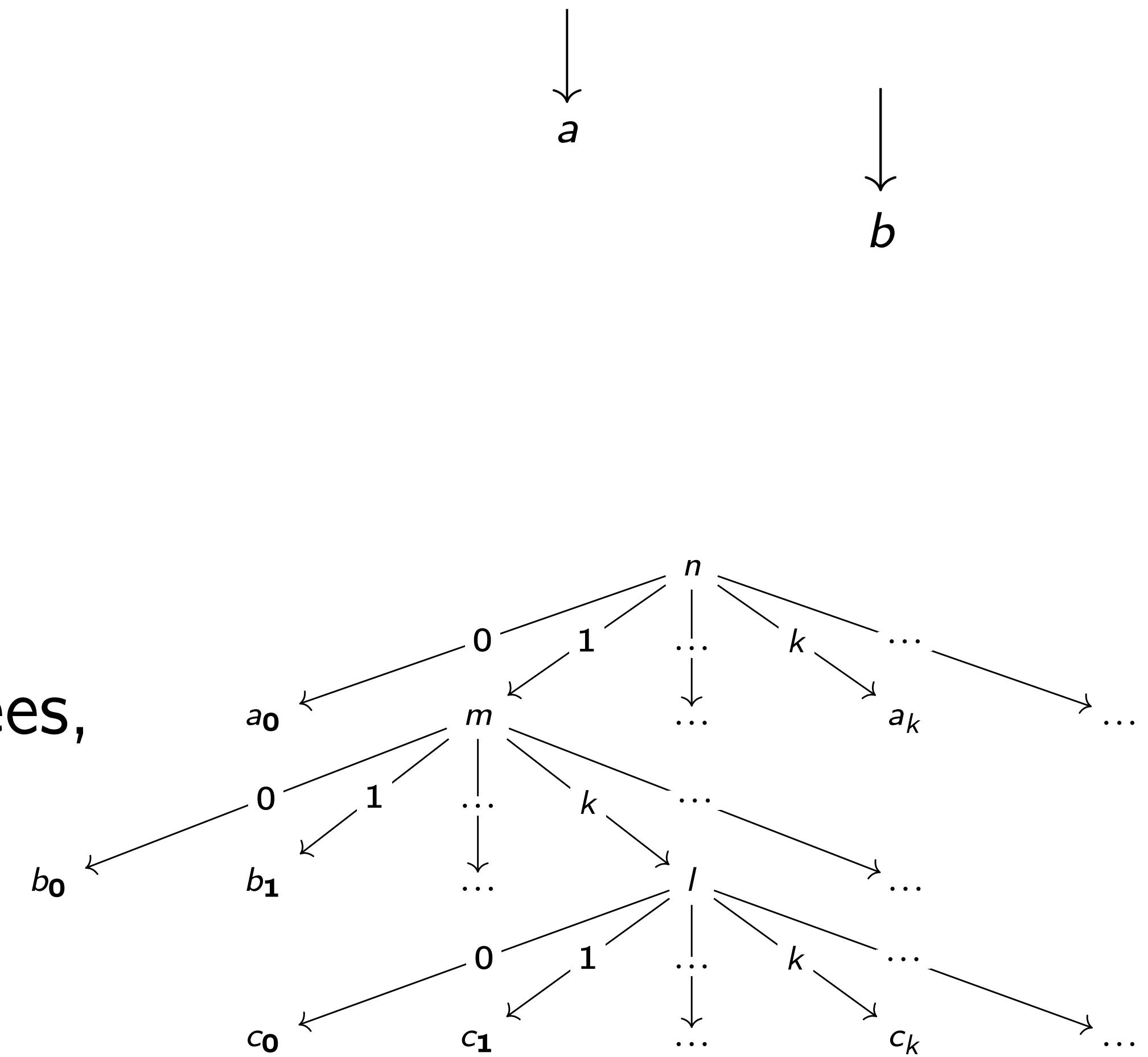
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$\mathfrak{D} A$  is the type of well-founded,  $\mathbb{N}$ -branching trees,  
with inner nodes labeled in  $\mathbb{N}$  and leaves in  $A$ .

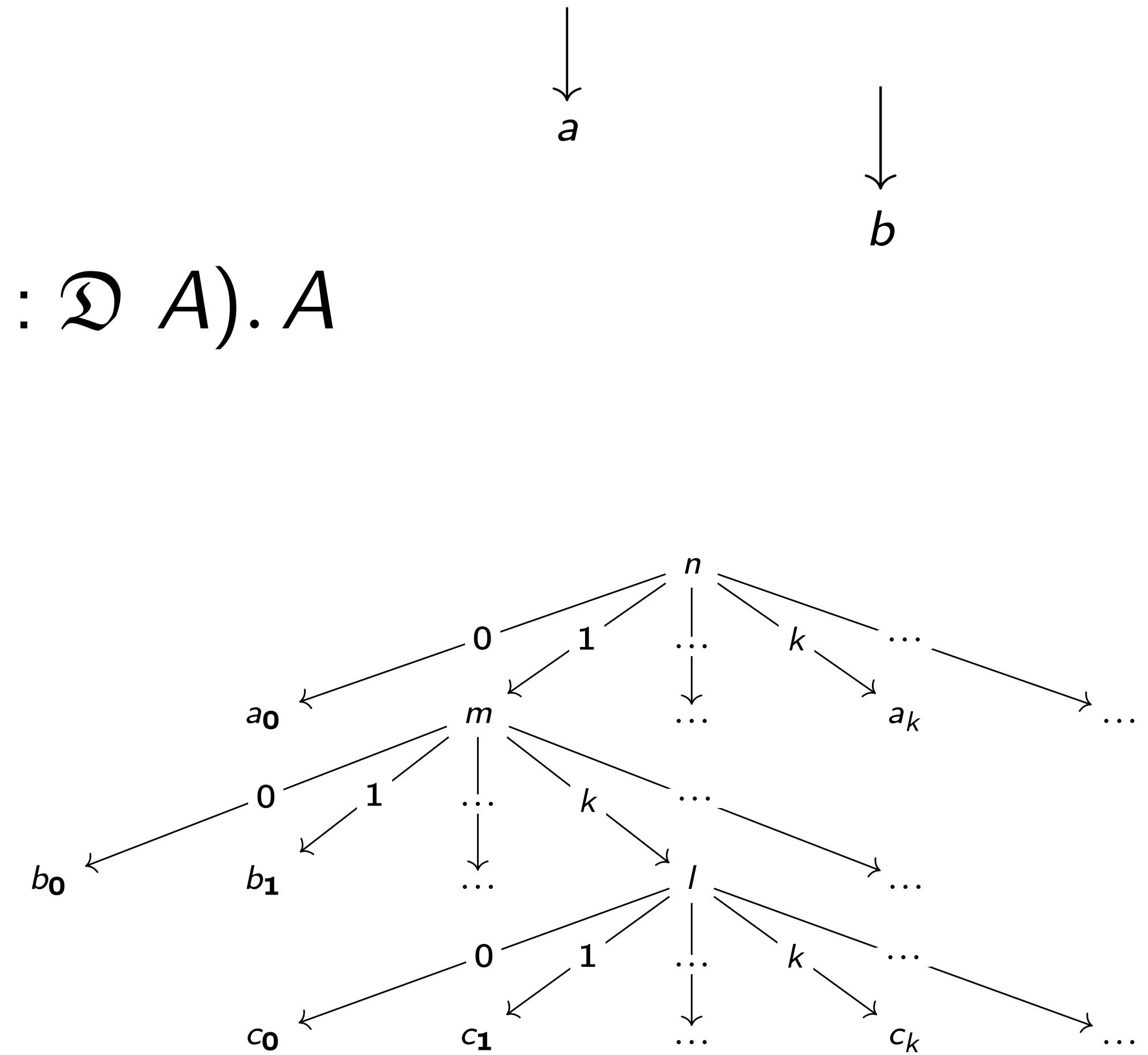


# I. Continuity

*For further information please ask the oracle*

We consider the following decode function :

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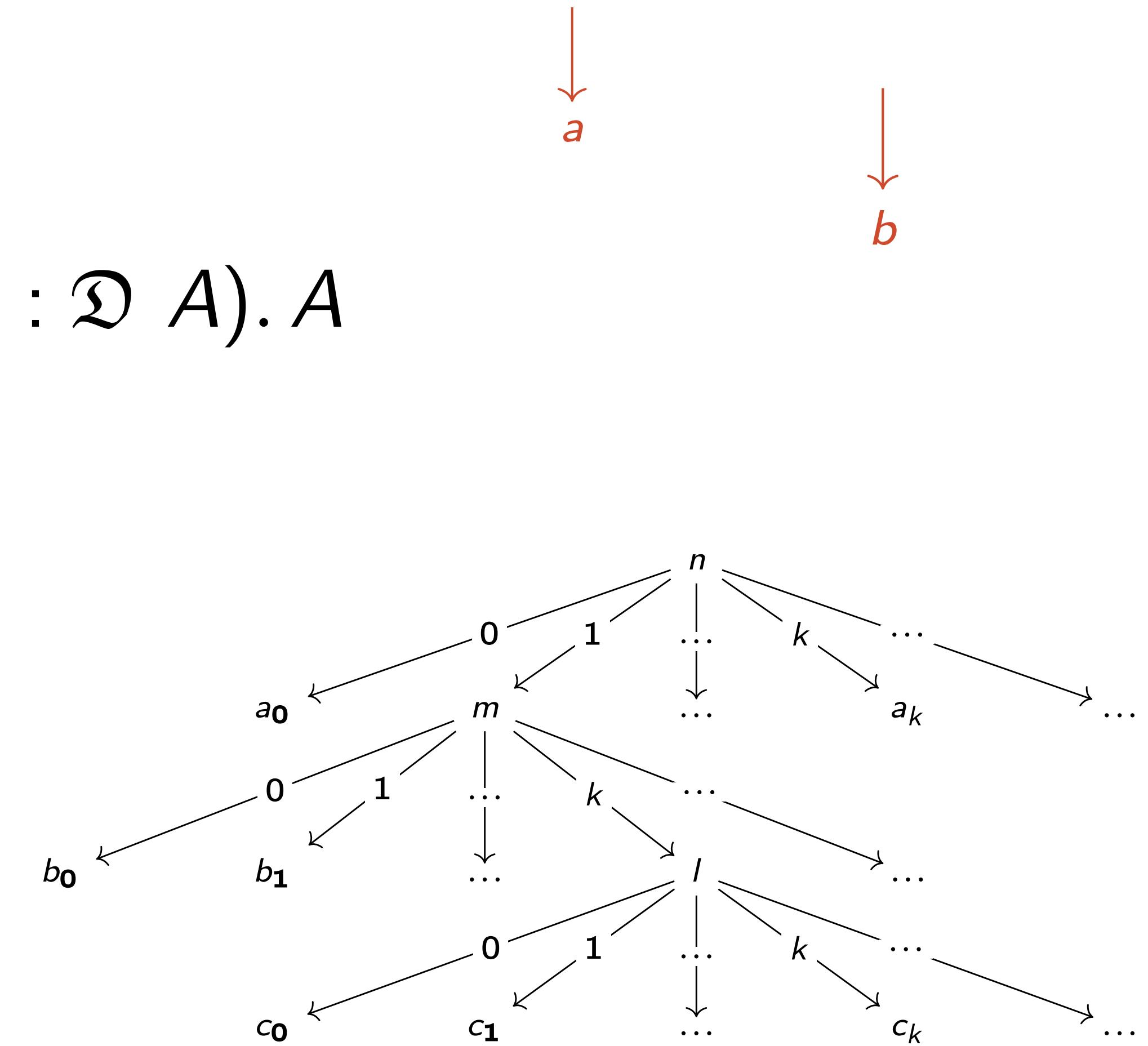


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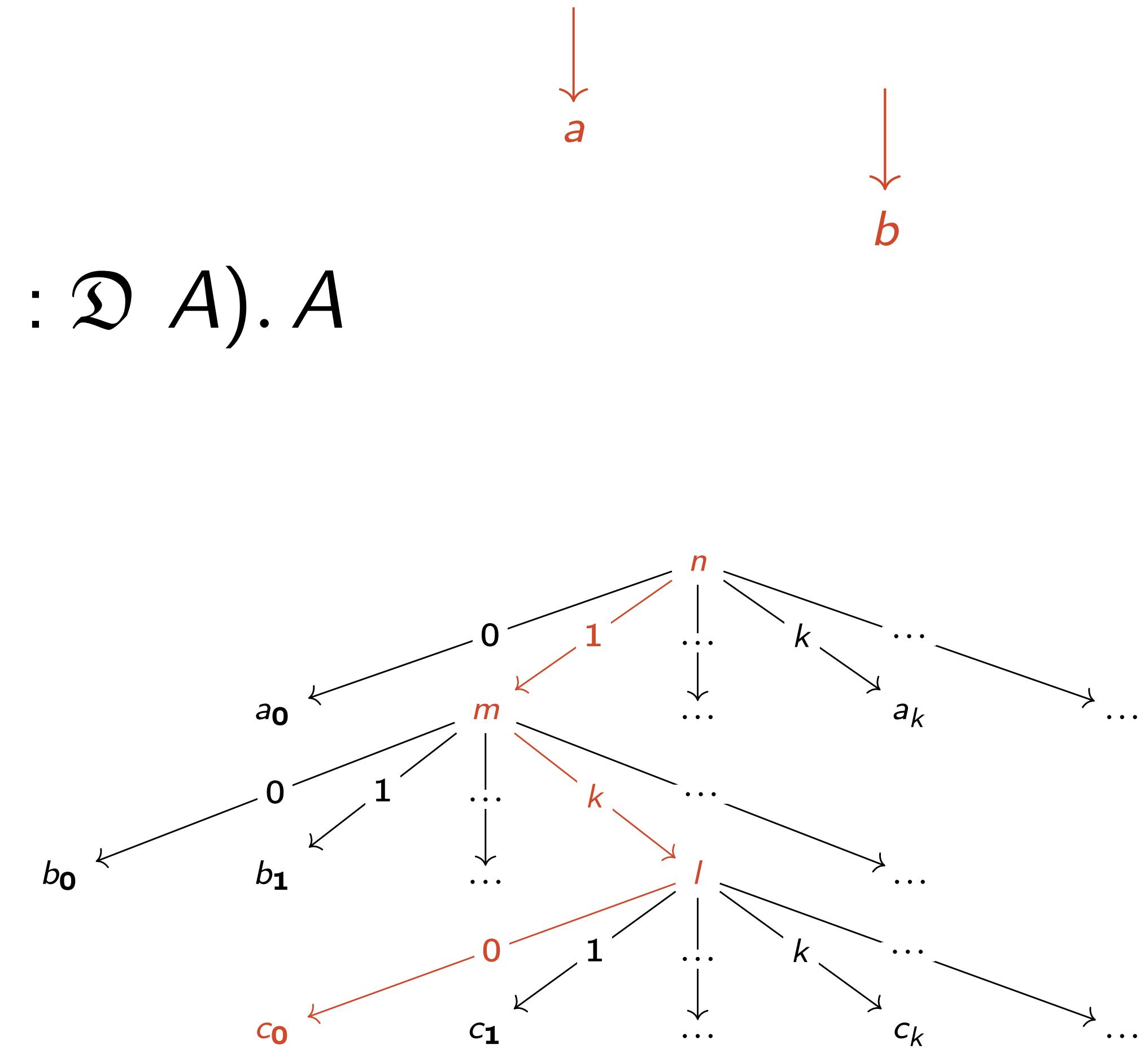
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$$\alpha n = 1$$



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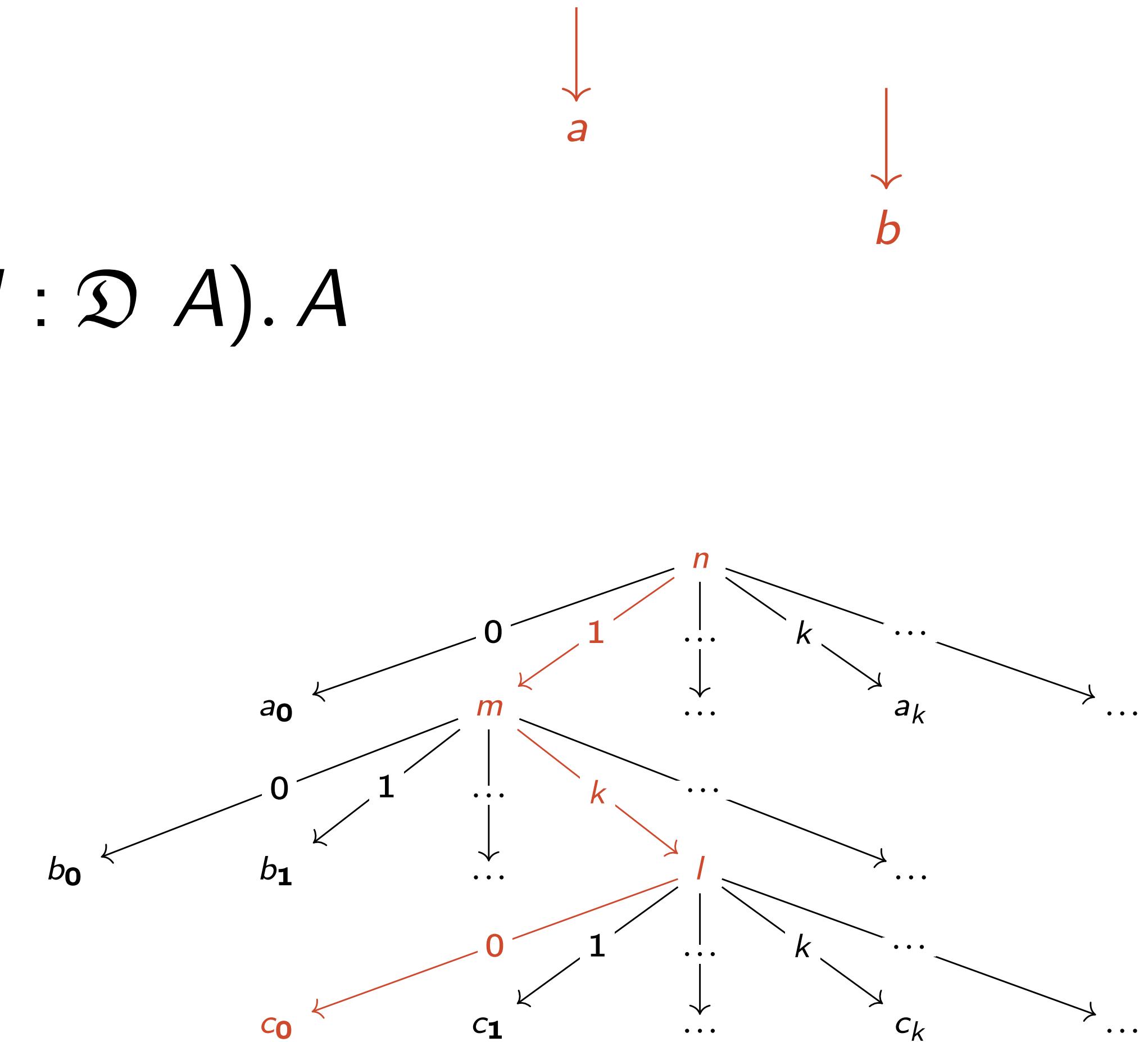
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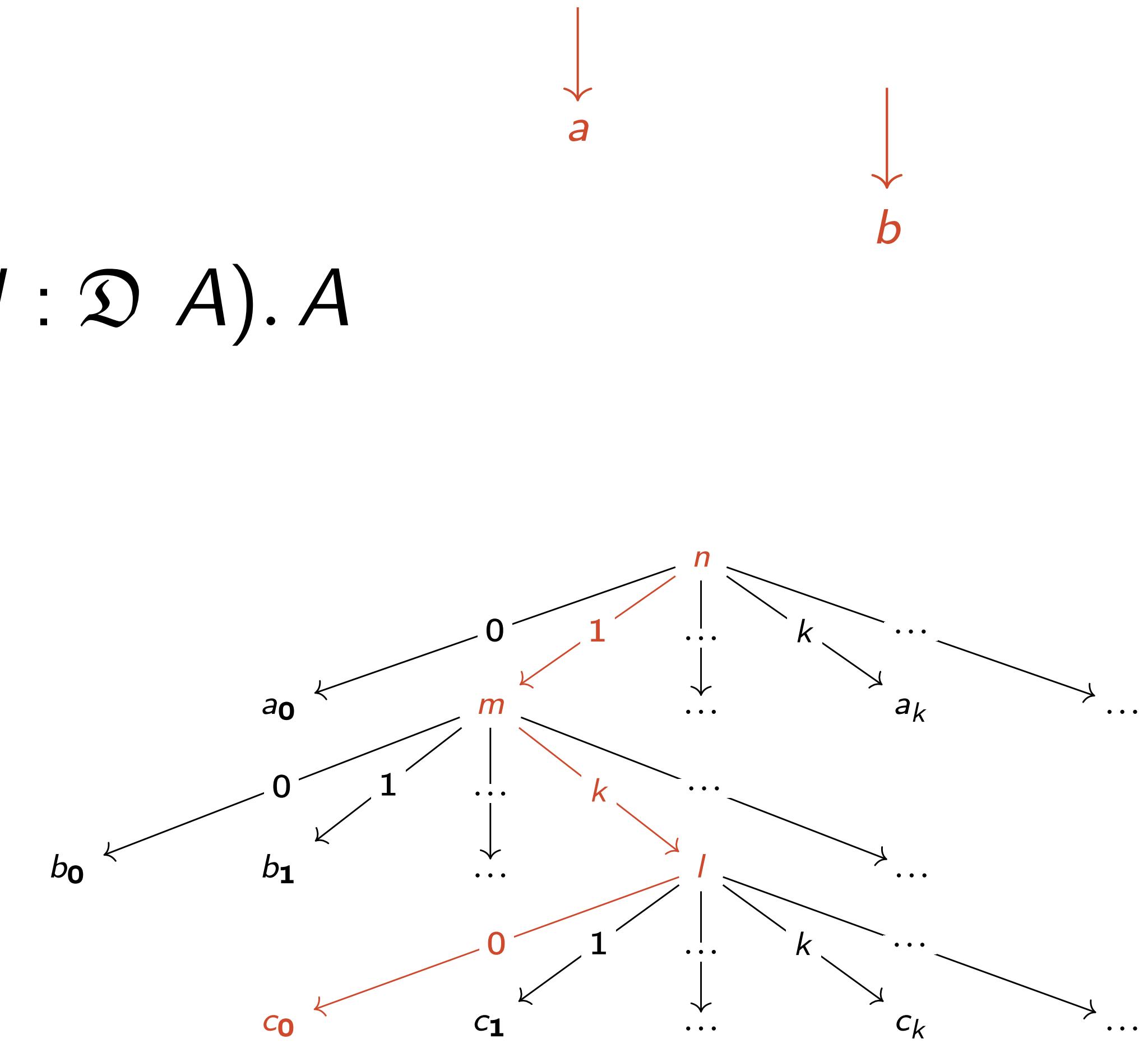
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$$\alpha n = 1$$

$$\alpha m = k$$

$$\alpha l = 0$$



# I. Continuity

## *Talking trees*

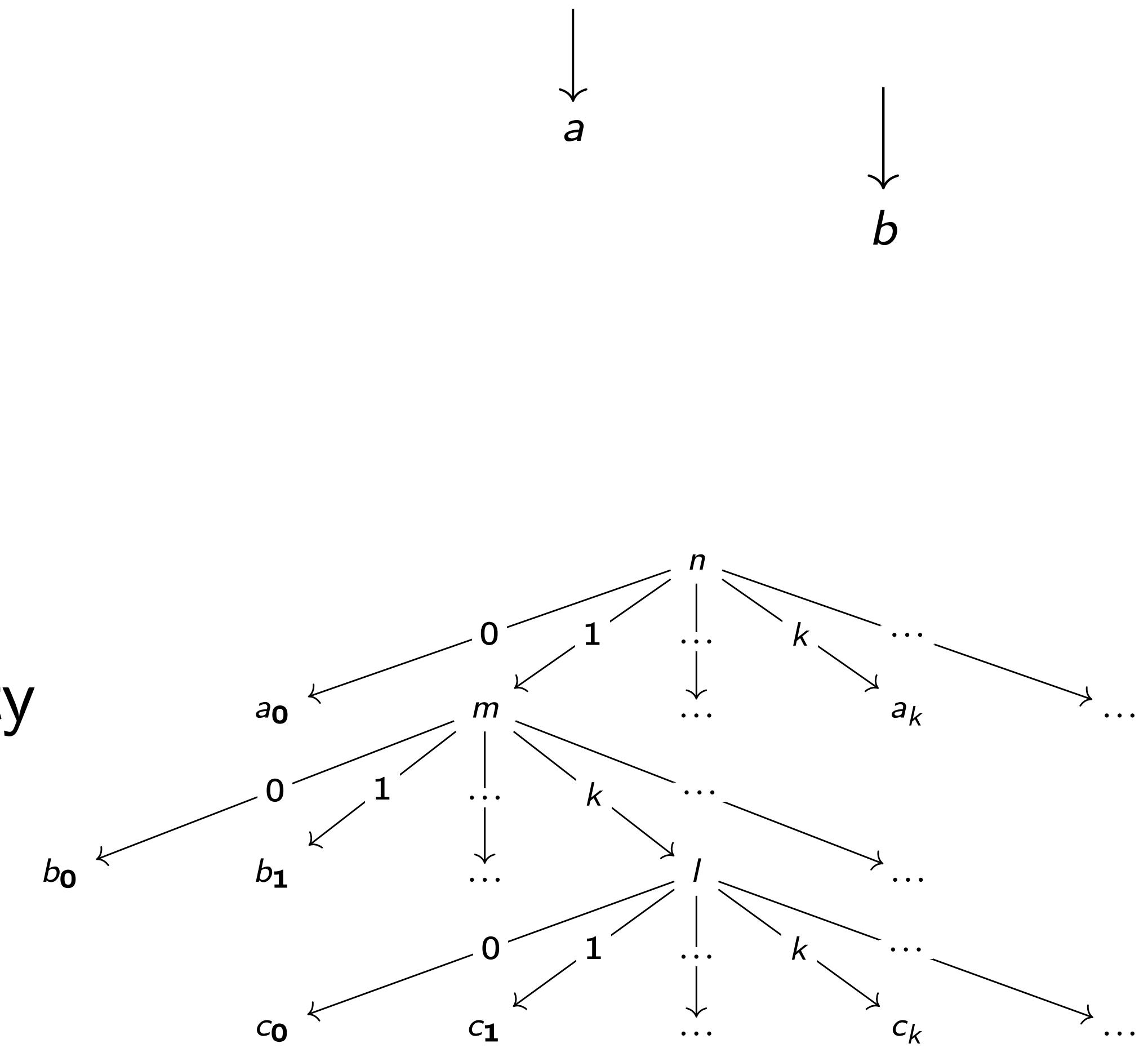
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$(\mathfrak{D}, \eta, \text{bind})$  is a

monad up to extensionality



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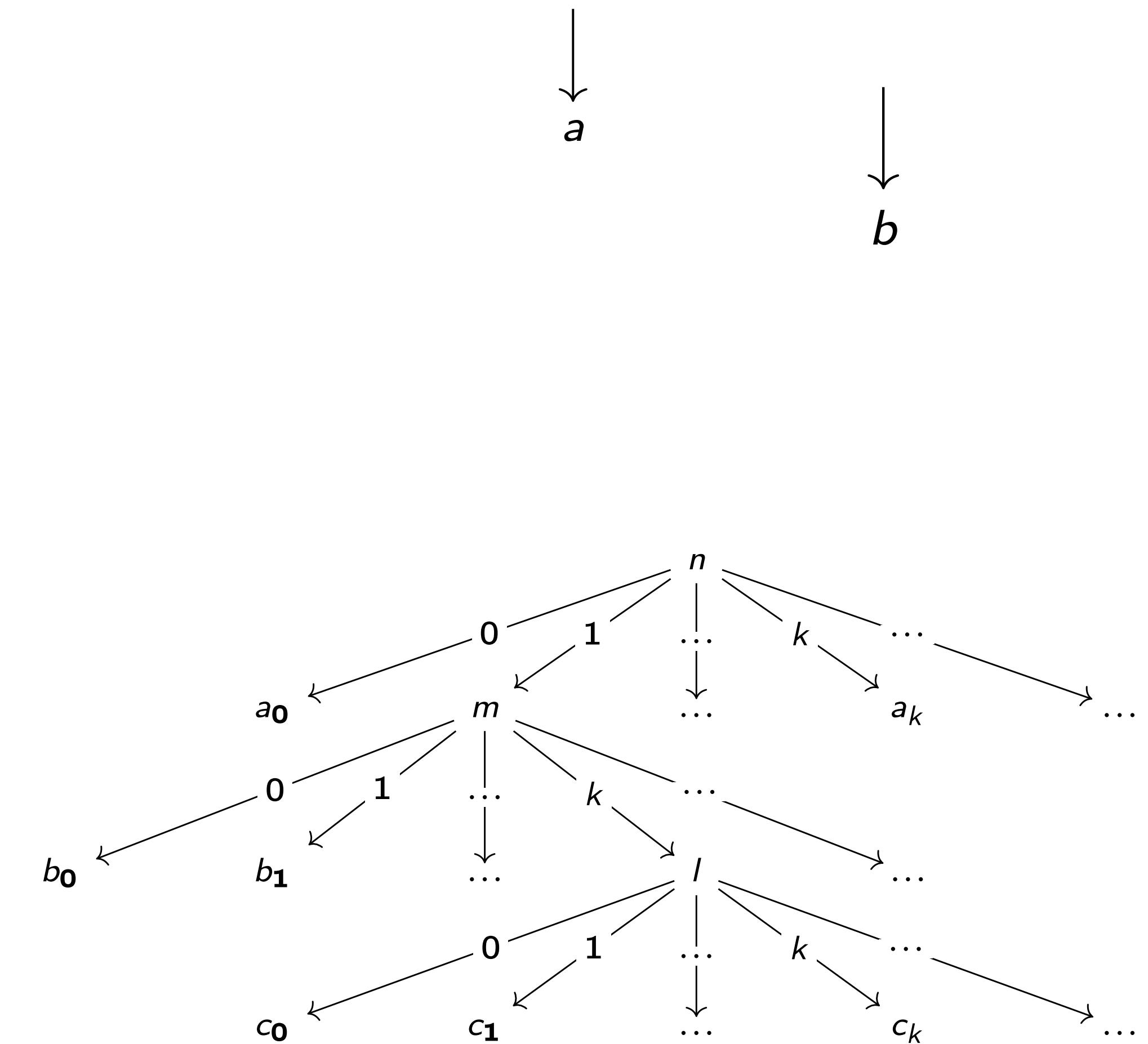
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$(\mathfrak{D}, \eta, \text{bind})$  is a "moral" monad



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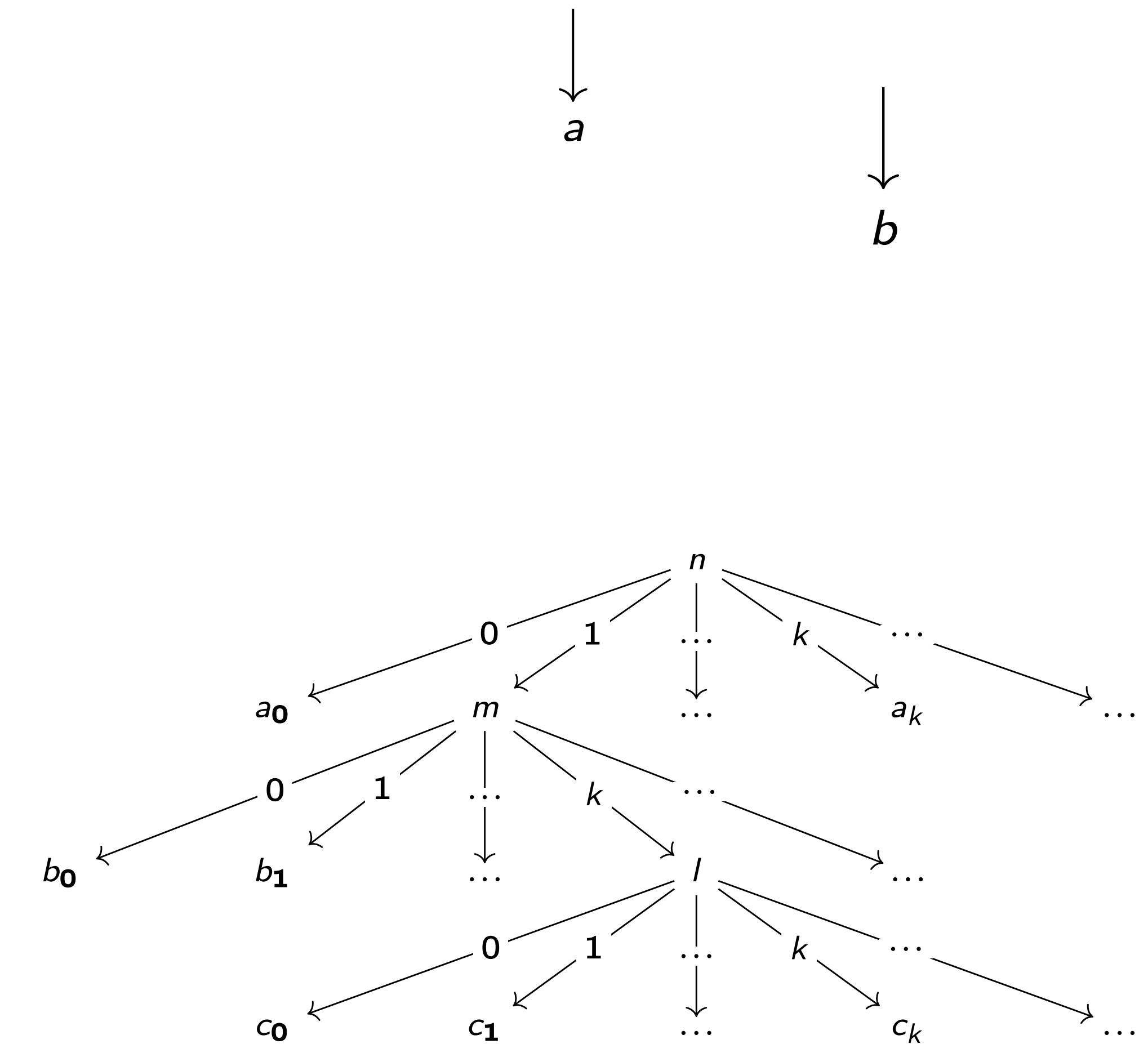
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$(\mathfrak{D}, \eta, \text{bind})$  is a "moral" monad



*It is an effect!*



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## Definition

A function  $f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow A$  is said **continuous** if :

$$\exists d : \mathfrak{D} A. \forall \alpha : \mathbb{N} \rightarrow \mathbb{N}. f \alpha = \partial d \alpha$$

# I. Continuity

*Continuity... Continuity everywhere*

Folklore result

Any computable function is continuous

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Theorem

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Theorem

Any function  $\vdash_{\text{BTT}} f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$  is continuous

Smaller theorem

Any function  $\vdash_{\text{T}} f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$  is continuous

## II. The case of System T

*Type theory for beginners*

## II. The case of System T

*System T*

$$\frac{}{\Gamma, x : A \vdash_T x : A}$$

$$\frac{}{\Gamma \vdash_T z : N}$$

$$\frac{\Gamma \vdash_T t : N}{\Gamma \vdash_T \text{succ } t : N}$$

$$\frac{\Gamma \vdash_T t : A \rightarrow B \quad \Gamma \vdash_T u : A}{\Gamma \vdash_T t \ u : B}$$

$$\frac{\Gamma, x : A \vdash_T t : B}{\Gamma \vdash_T \lambda x. \ t : A \rightarrow B}$$

$$\frac{\Gamma \vdash_T t : N \quad \Gamma \vdash_T u : A \quad \Gamma \vdash_T v : A \rightarrow N \rightarrow A}{\Gamma \vdash_T \text{rec } t \ u \ v : A}$$

## II. The case of System T

*System T + oracle*

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$$\frac{}{\Gamma \vdash_T \alpha : N \rightarrow N}$$

## II. The case of System T

*System T + oracle*

$$\alpha : N \rightarrow N \vdash_T t : N$$

↓  
???

$$\vdash_{\text{metatheory}} d_t : \mathcal{D} N$$

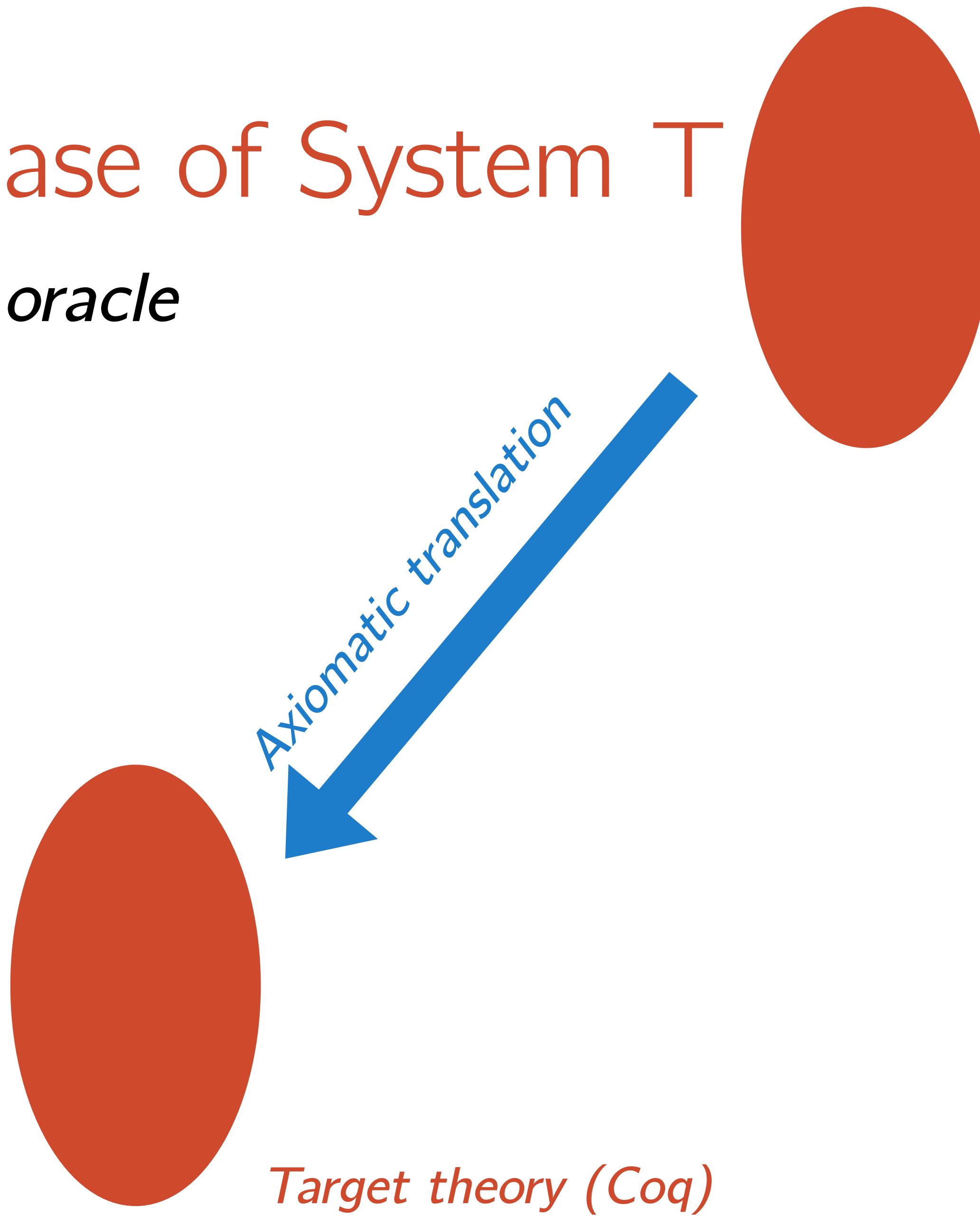
## II. The case of System T

*System T + oracle*

*Source theory (System T)*

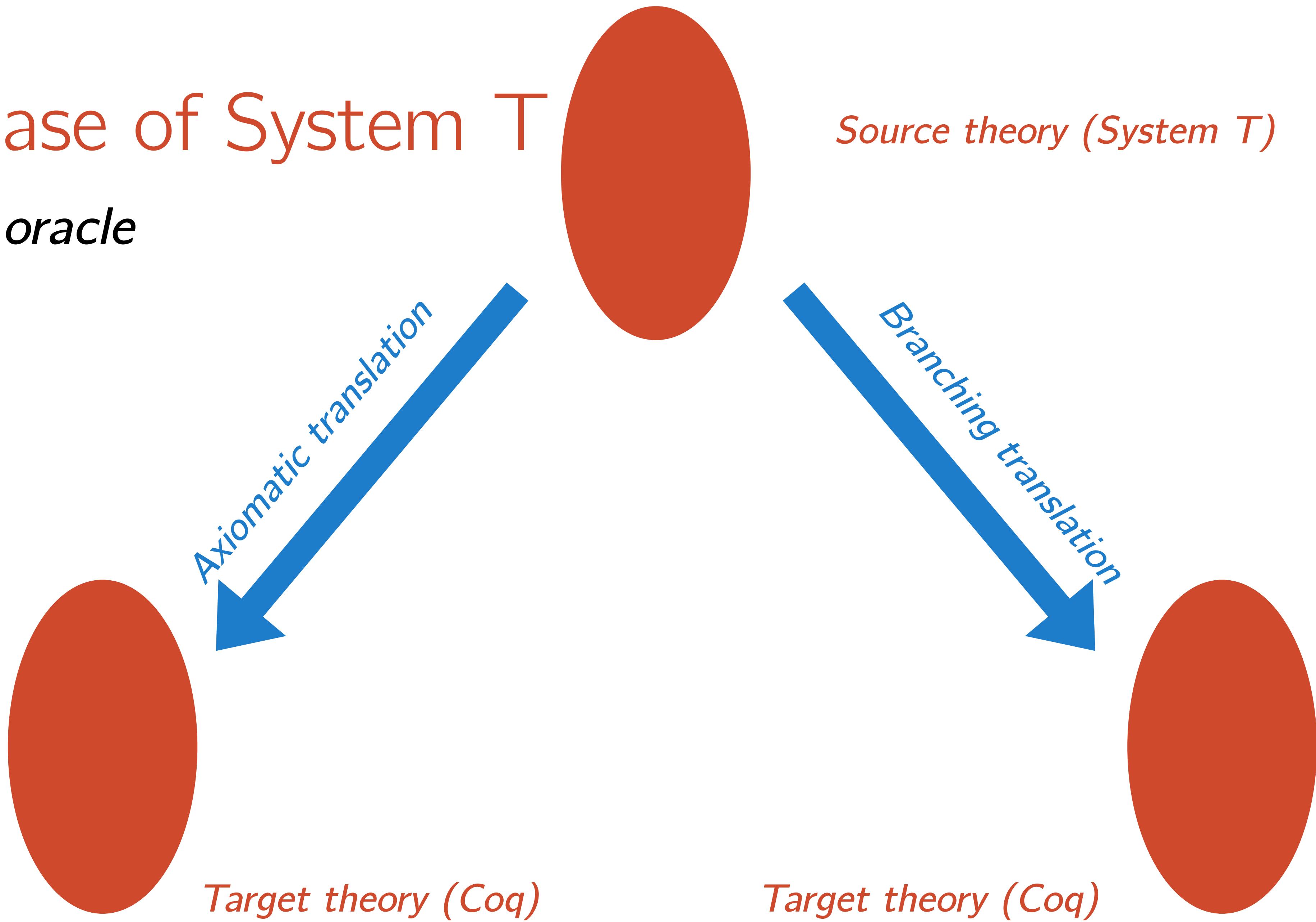
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*System T + oracle*



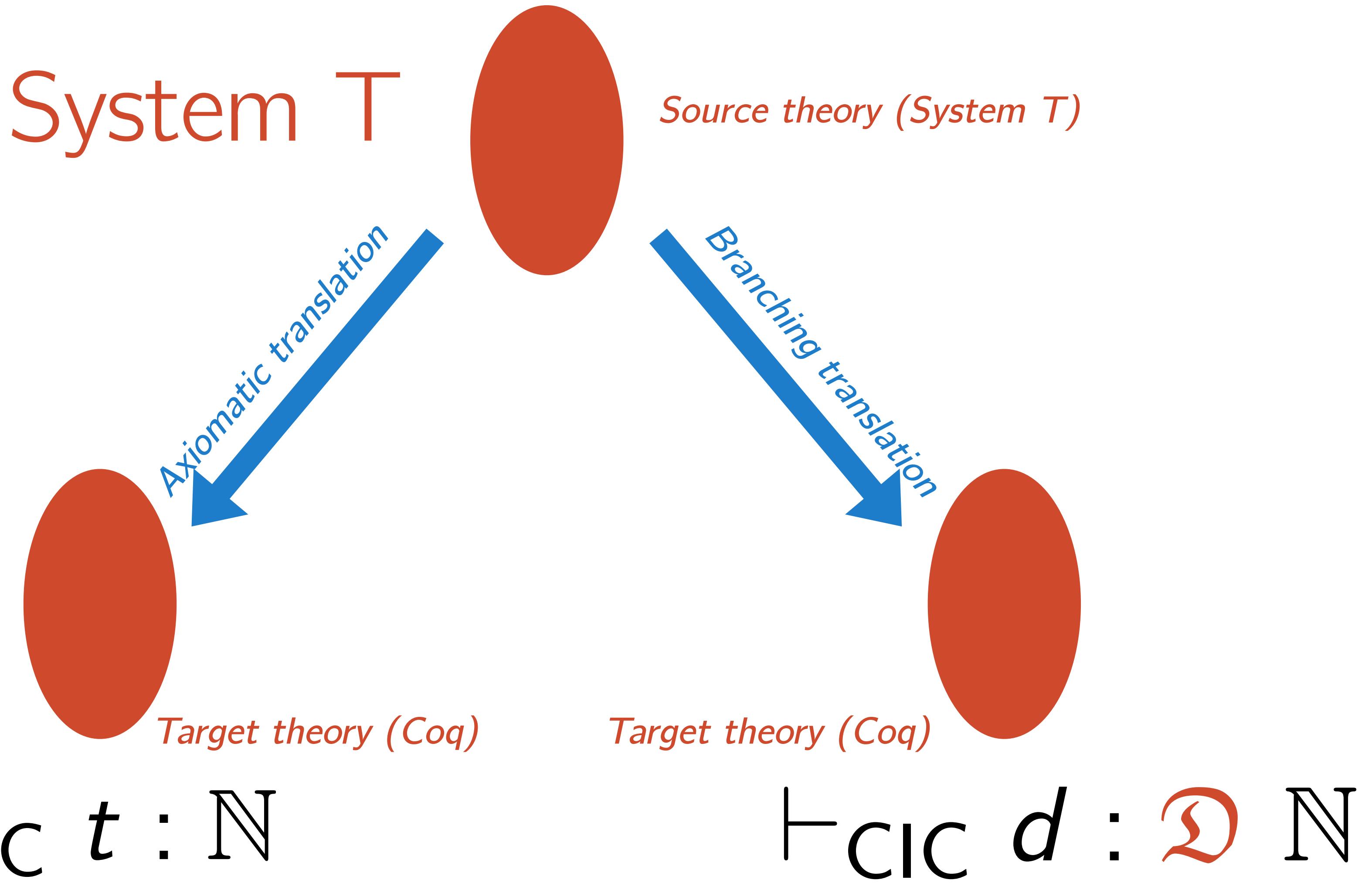
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*System T + oracle*



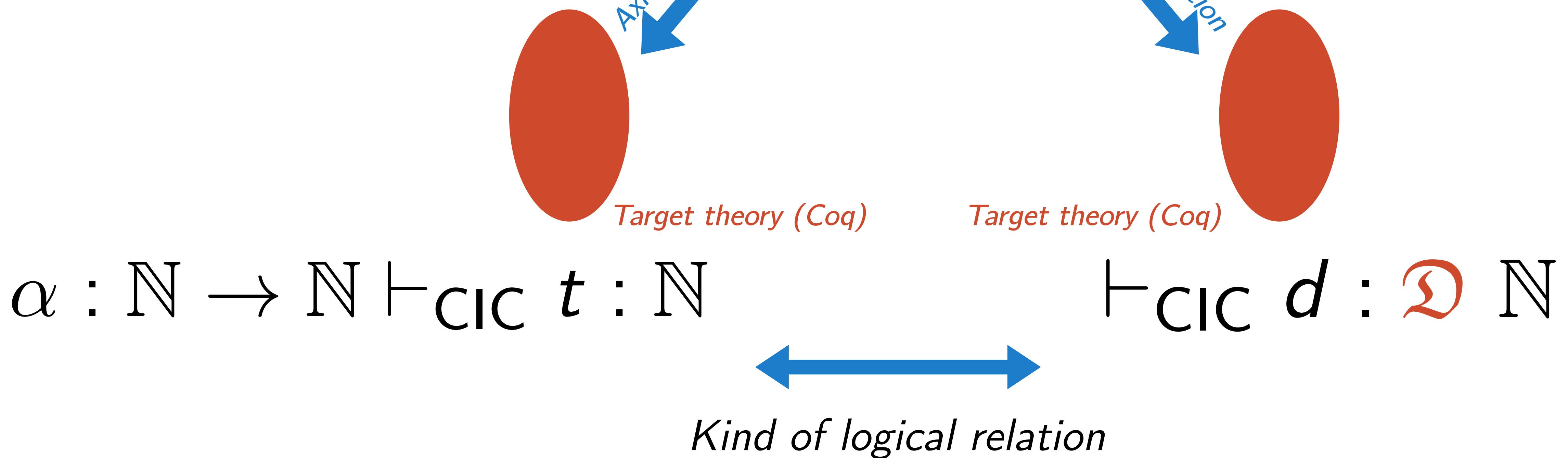
## II. The case of System T

*System T + oracle*



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*System T + oracle*



## II. The case of System T

### Definition

For  $\mathcal{S}$  and  $\mathcal{T}$  two type theories, a **syntactic model** of  $\mathcal{S}$  in  $\mathcal{T}$  is:

- ▶ a translation  $[-]$  of terms of  $\mathcal{S}$  into terms of  $\mathcal{T}$ ;
- ▶ a translation  $\llbracket - \rrbracket$  of types of  $\mathcal{S}$  into types of  $\mathcal{T}$ ;
- ▶ a translation  $\llbracket - \rrbracket$  of contexts of  $\mathcal{S}$  into contexts of  $\mathcal{T}$ ;

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In our setting,  $\mathcal{S} := \text{T}$ ,  $\mathcal{T} := \text{CIC}$  and the translations will be defined by induction on the syntax of T

## II. The case of System T

### *The Axiomatic Translation*

*Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the Axiomatic Translation:*

$$\Gamma \vdash_T t : A$$

## II. The case of System T

### *The Axiomatic Translation*

Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the *Axiomatic Translation*:

$$\Gamma \vdash_T t : A \xrightarrow{\begin{array}{c} \text{Axiomatic} \\ \text{Translation} \end{array}}$$

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Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the *Axiomatic Translation*:

$$\Gamma \vdash_T t : A \xrightarrow[\textit{Translation}]{\textit{Axiomatic}} \llbracket \Gamma \rrbracket_a^\alpha \vdash_{\text{CIC}} [t]_a^\alpha : \llbracket A \rrbracket_a^\alpha$$

## II. The case of System T

*The Axiomatic Translation*

$$\Gamma \vdash_T t : A \xrightarrow[\text{Translation}]{\text{Axiomatic}} \llbracket \Gamma \rrbracket_a^\alpha \vdash_{\text{CIC}} [t]_a^\alpha : \llbracket A \rrbracket_a^\alpha$$

Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the Axiomatic Translation:

$\mathbb{N}$	$\longrightarrow$	$\llbracket \mathbb{N} \rrbracket_a^\alpha := \mathbb{N}$
$A \rightarrow B$	$\longrightarrow$	$\llbracket A \rrbracket_a^\alpha \rightarrow \llbracket B \rrbracket_a^\alpha$
$x : A$	$\longrightarrow$	$x : \llbracket A \rrbracket_a^\alpha$
$\lambda x. t : A \rightarrow B$	$\longrightarrow$	$\lambda x. [t]_a^\alpha : \llbracket A \rrbracket_a^\alpha \rightarrow \llbracket B \rrbracket_a^\alpha$
$t u$	$\longrightarrow$	$[t]_a^\alpha [u]_a^\alpha$
$z : \mathbb{N}$	$\longrightarrow$	$0 : \mathbb{N}$
$\text{succ} : \mathbb{N} \rightarrow \mathbb{N}$	$\longrightarrow$	$S : \mathbb{N} \rightarrow \mathbb{N}$
$\text{rec} : \mathbb{N} \rightarrow A \rightarrow (A \rightarrow \mathbb{N} \rightarrow A) \rightarrow A$	$\longrightarrow$	$\mathbb{N}_{\text{rect}} (\lambda_. \llbracket A \rrbracket_a^\alpha)$

## II. The case of System T

$$\text{The Axiomatic Translation} \quad \Gamma \vdash_T t : A \xrightarrow[\text{Translation}]{\text{Axiomatic}} \llbracket \Gamma \rrbracket_a^\alpha \vdash_{\text{CIC}} [t]_a^\alpha : \llbracket A \rrbracket_a^\alpha$$

Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the Axiomatic Translation:

$$\begin{array}{ccc} . & \longrightarrow & \alpha : \mathbb{N} \rightarrow \mathbb{N} \\ \Gamma, x : A & \longrightarrow & \llbracket \Gamma \rrbracket_a^\alpha, x : \llbracket A \rrbracket_a^\alpha \\ \alpha : \mathbb{N} \rightarrow \mathbb{N} & \longrightarrow & \alpha \end{array}$$

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*The Axiomatic Translation*

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### Theorem

We have the following properties:

- ▶ **Computational soundness:**  $M \equiv N$  implies  $[M]_a^\alpha \equiv [N]_a^\alpha$
- ▶ **Typing soundness:**  $\Gamma \vdash_T M : A$  implies  $\llbracket \Gamma \rrbracket_a^\alpha \vdash_{\text{CIC}} [M]_a^\alpha : \llbracket A \rrbracket_a^\alpha$

## II. The case of System T

### *System Tree*

We define the *Branching Translation*:

$$\Gamma \vdash_T t : A \xrightarrow[\substack{\text{Translation}}]{\substack{\text{Branching}}} \llbracket \Gamma \rrbracket_b \vdash_{\text{CIC}} [t]_b : \llbracket A \rrbracket_b$$

## II. The case of System T

### System Tree

$$\Gamma \vdash_T t : A \xrightarrow[\text{Translation}]{\text{Branching}} \llbracket \Gamma \rrbracket_b \vdash_{\text{CIC}} [t]_b : \llbracket A \rrbracket_b$$

We define the *Branching Translation*:

$\mathbb{N}$	$\rightarrow$	$\mathfrak{D} \mathbb{N}$
$A \rightarrow B$	$\rightarrow$	$\llbracket A \rrbracket_b \rightarrow \llbracket B \rrbracket_b$
$x : A$	$\rightarrow$	$x_b : \llbracket A \rrbracket_b$
$\lambda x. t : A \rightarrow B$	$\rightarrow$	$\lambda x. [t]_b : \llbracket A \rrbracket_b \rightarrow \llbracket B \rrbracket_b$
$t u$	$\rightarrow$	$[t]_b [u]_b$
$z : \mathbb{N}$	$\rightarrow$	$\eta 0 : \mathfrak{D} \mathbb{N}$
$\text{succ} : \mathbb{N} \rightarrow \mathbb{N}$	$\rightarrow$	$\text{map S} : \mathfrak{D} \mathbb{N} \rightarrow \mathfrak{D} \mathbb{N}$
$\text{rec} : \mathbb{N} \rightarrow A \rightarrow (A \rightarrow \mathbb{N} \rightarrow A) \rightarrow A$	$\rightarrow$	
$\lambda(u : \llbracket A \rrbracket_b)(v : \llbracket A \rrbracket_b \rightarrow \llbracket \mathbb{N} \rrbracket_b \rightarrow \llbracket A \rrbracket_b).$		
$\text{bind } (\mathbb{N}_{\text{rect}} (\lambda_. \llbracket A \rrbracket_b) u (\lambda n a. v_{+,} a (\eta n)))$		

## II. The case of System T

*System Tree*

We define the *Branching Translation*:

.

$\Gamma, x : A$

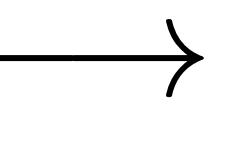
$\alpha : \mathbb{N} \rightarrow \mathbb{N}$

$$\Gamma \vdash_T t : A \xrightarrow[\text{Translation}]{\text{Branching}}$$

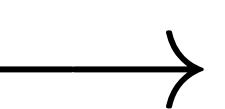
$\llbracket \Gamma \rrbracket_b \vdash_{\text{CIC}} [t]_b : \llbracket A \rrbracket_b$



.



$\llbracket \Gamma \rrbracket_b, x : \llbracket A \rrbracket_b$



???

## II. The case of System T

*System Tree*

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$\Gamma, x : A$

$\alpha : \mathbb{N} \rightarrow \mathbb{N}$

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$[\![\Gamma]\!]_b \vdash_{\text{CIC}} [t]_b : [\![A]\!]_b$

$\longrightarrow$

.

$\longrightarrow$

$[\![\Gamma]\!]_b, x : [\![A]\!]_b$

$\longrightarrow$

$\gamma$

## II. The case of System T

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$$\alpha : N \rightarrow N \vdash_T t : N$$

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$$\alpha : N \rightarrow N \vdash_{\text{CIC}} [t]_a^\alpha : N \quad \vdash_{\text{CIC}} [t]_b : \mathcal{D} N$$


## II. The case of System T

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$$\alpha : N \rightarrow N \vdash_{\text{CIC}} [t]_a^\alpha : N \quad \vdash_{\text{CIC}} [t]_b : \mathcal{D} N$$

We want to guarantee:  $\forall \alpha : N \rightarrow N. [t]_a^\alpha = \partial [t]_b \alpha$

## II. The case of System T

*A translation to bind them all*

Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the *Parametricity Translation*:

$$\text{A type} \xrightarrow[\text{Translation}]{\text{Parametricity}} \llbracket A \rrbracket_\epsilon^\alpha : \llbracket A \rrbracket_a^\alpha \rightarrow \llbracket A \rrbracket_b \rightarrow \square$$

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$$\Gamma \vdash_T t : A \xrightarrow[\text{Translation}]{\text{Parametricity}} \llbracket \Gamma \rrbracket_{\epsilon}^{\alpha} \vdash_{\text{CIC}} [t]_{\epsilon}^{\alpha} : \llbracket A \rrbracket_{\epsilon}^{\alpha} [t]_a^{\alpha} [t]_b$$

## II. The case of System T

*A translation to bind them all*

*A type*

$\Gamma \vdash_T t : A$

$$\frac{\text{Parametricity} \atop \text{Translation}}{\frac{\text{Parametricity} \atop \text{Translation}}{\text{CIC}}}$$

$$[\![A]\!]_\epsilon^\alpha : [\![A]\!]_a^\alpha \rightarrow [\![A]\!]_b \rightarrow \square$$

$$[\![\Gamma]\!]_\epsilon^\alpha \vdash_{\text{CIC}} [t]_\epsilon^\alpha : [\![A]\!]_\epsilon^\alpha \quad [t]_a^\alpha \quad [t]_b^\alpha$$

Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the *Parametricity Translation*:

$$\begin{aligned} [\![\mathbf{N}]\!]_\epsilon^\alpha \ n \ \textcolor{brown}{n}_b &:= n = \partial \ n_b \ \alpha \\ [\![A \rightarrow B]\!]_\epsilon f \ \textcolor{brown}{f}_b &:= \forall x \ \textcolor{brown}{x}_b. ([\![A]\!]_\epsilon^\alpha \times \textcolor{brown}{x}_b) \rightarrow [\![B]\!]_\epsilon^\alpha (f \ x) (\textcolor{brown}{f}_b \ x_b) \\ [\![x : A]\!]_\epsilon^\alpha &:= \textcolor{blue}{x}_\epsilon : [\![A]\!]_\epsilon^\alpha \times \textcolor{brown}{x}_b \\ [\![\lambda x. \ t : A \rightarrow B]\!]_\epsilon^\alpha &:= \lambda(x : [\![A]\!]_a^\alpha)(\textcolor{brown}{x}_b : [\![A]\!]_b) (\textcolor{blue}{x}_\epsilon : [\![A]\!]_\epsilon^\alpha \times \textcolor{brown}{x}_b). [t]_\epsilon^\alpha \\ [\![t \ u : B]\!]_\epsilon^\alpha &:= [t]_\epsilon^\alpha \ [u]_a^\alpha \ [u]_b \ [u]_\epsilon^\alpha \\ [\![z : \mathbf{N}]\!]_\epsilon^\alpha &:= \text{refl } 0 \\ [\![\text{succ} : \mathbf{N} \rightarrow \mathbf{N}]\!]_\epsilon^\alpha &:= \text{succ\_lemma} \\ [\![\text{rec}]\!]_\epsilon^\alpha &:= \text{rec\_lemma} \end{aligned}$$

## II. The case of System T

*A translation to bind them all*

$$\begin{array}{c}
 A \text{ type} \\
 \Gamma \vdash_T t : A
 \end{array}
 \xrightarrow{\begin{array}{c} \text{Parametricity} \\ \text{Translation} \end{array}}
 \boxed{[A]_\epsilon^\alpha : [[A]]_a^\alpha \rightarrow [[A]]_b^\alpha \rightarrow \square}$$

$$\xrightarrow{\begin{array}{c} \text{Parametricity} \\ \text{Translation} \end{array}}
 \boxed{[[\Gamma]]_\epsilon^\alpha \vdash_{\text{CIC}} [t]_\epsilon^\alpha : [[A]]_\epsilon^\alpha \quad [t]_a^\alpha \quad [t]_b^\alpha}$$

Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the **Parametricity Translation**:

$$\begin{aligned}
 [[\cdot]]_\epsilon^\alpha &:= \alpha : \mathbb{N} \rightarrow \mathbb{N} \\
 [[\Gamma, x : A]]_\epsilon^\alpha &:= [[\Gamma]]_\epsilon^\alpha, \quad x : [[A]]_a^\alpha, \quad x_b : [[A]]_b^\alpha, \quad x_\epsilon : [[A]]_\epsilon^\alpha \times x_b \\
 [\alpha : \mathbb{N} \rightarrow \mathbb{N}]_\epsilon^\alpha &:= \gamma_\epsilon
 \end{aligned}$$

## II. The case of System T

*A translation to bind them all*

$$\begin{array}{c}
 A \text{ type} \\
 \Gamma \vdash_T t : A
 \end{array}
 \xrightarrow{\begin{array}{c} \text{Parametricity} \\ \text{Translation} \end{array}}
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$$\xrightarrow{\begin{array}{c} \text{Parametricity} \\ \text{Translation} \end{array}}
 \boxed{[[\Gamma]]_\epsilon^\alpha \vdash_{\text{CIC}} [t]_\epsilon^\alpha : [[A]]_\epsilon^\alpha [[t]]_a^\alpha [[t]]_b^\alpha}$$

Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$  in CIC, we define the **Parametricity Translation**:

$$\begin{aligned}
 [[\cdot]]_\epsilon^\alpha &:= \alpha : \mathbb{N} \rightarrow \mathbb{N} \\
 [[\Gamma, x : A]]_\epsilon^\alpha &:= [[\Gamma]]_\epsilon^\alpha, x : [[A]]_a^\alpha, \textcolor{brown}{x}_b : [[A]]_b^\alpha, x_\epsilon : [[A]]_\epsilon^\alpha \times \textcolor{brown}{x}_b \\
 [\alpha : \mathbb{N} \rightarrow \mathbb{N}]_\epsilon^\alpha &:= \gamma_\epsilon
 \end{aligned}$$

### Theorem

We have the following properties:

- ▶ **Computational soundness:**  $M \equiv N$  implies  $[M]_\epsilon^\alpha \equiv [N]_\epsilon^\alpha$
- ▶ **Typing soundness:**  $\Gamma \vdash_T M : A$  implies  
 $[[\Gamma]]_\epsilon^\alpha \vdash_{\text{CIC}} [M]_\epsilon^\alpha : [[A]]_\epsilon^\alpha [[M]]_a^\alpha [[M]]_b^\alpha$

## II. The case of System T

### *First Theorem*

We have the following:

#### Theorem

Any function  $\vdash_T f : (N \rightarrow N) \rightarrow N$  is continuous

## II. The case of System T

### *First Theorem*

We have the following:

$$\vdash_T f : (N \rightarrow N) \rightarrow N$$

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### *First Theorem*

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$$\vdash_T f : (N \rightarrow N) \rightarrow N$$
$$\alpha : N \rightarrow N \vdash_T f \quad \alpha : N$$

## II. The case of System T

### *First Theorem*

We have the following:

$$\begin{array}{c} \vdash_T f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N} \\ \xrightarrow{\hspace{1cm}} \alpha : \mathbb{N} \rightarrow \mathbb{N} \vdash_T f \alpha : \mathbb{N} \end{array}$$

For any  $\vdash_{\text{CIC}} \alpha : \mathbb{N} \rightarrow \mathbb{N}$ :

$$[f \alpha]_\epsilon^\alpha : \llbracket \mathbb{N} \rrbracket_\epsilon^\alpha \quad [f \alpha]_a^\alpha \quad [f \alpha]_b$$

## II. The case of System T

### *First Theorem*

We have the following:

$$\begin{array}{c} \vdash_T f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N} \\ \xrightarrow{\hspace{1cm}} \alpha : \mathbb{N} \rightarrow \mathbb{N} \vdash_T f \alpha : \mathbb{N} \end{array}$$

For any  $\vdash_{\text{CIC}} \alpha : \mathbb{N} \rightarrow \mathbb{N}$ :

$$\begin{array}{c} [f \alpha]_\epsilon^\alpha : [\mathbb{N}]_\epsilon^\alpha \quad [f \alpha]_a^\alpha \quad [f \alpha]_b \\ \xrightarrow{\hspace{1cm}} [f \alpha]_\epsilon^\alpha : [f]_a^\alpha \quad \alpha = \partial ([f]_b \gamma) \alpha \end{array}$$

## II. The case of System T

*What about  $\alpha$ ?*

*In the axiom translation*

$$\alpha : (\mathbb{N} \rightarrow \mathbb{N}) \vdash \alpha : (\mathbb{N} \rightarrow \mathbb{N})$$



*In the branching translation*

$$\vdash_{\text{CIC}}^? \gamma : (\mathcal{D} \mathbb{N} \rightarrow \mathcal{D} \mathbb{N})$$

$$\alpha : \mathbb{N} \rightarrow \mathbb{N} \vdash_{\text{CIC}}^? \gamma_\varepsilon : [\![\mathbb{N} \rightarrow \mathbb{N}]\!]_\epsilon^\alpha \alpha \quad \gamma$$

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We want:

$$[\![\mathbb{N} \rightarrow \mathbb{N}]\!]_\epsilon^\alpha \alpha \ \gamma$$

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We want:

$$[\![\mathbb{N} \rightarrow \mathbb{N}]\!]_\epsilon^\alpha \alpha \ \gamma := \forall n \ n_b. (n = \partial \ n_b \ \alpha) \longrightarrow \alpha \ n = \partial (\gamma \ n_b) \ \alpha$$

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$$\begin{aligned} \text{Inductive } \mathfrak{D} (A : \square) : \square &:= \\ | \quad \eta : A \rightarrow \mathfrak{D} A \\ | \quad \beta : (\mathbb{N} \rightarrow \mathfrak{D} A) \rightarrow \mathbb{N} \rightarrow \mathfrak{D} A. \end{aligned}$$

$$\begin{aligned} \partial &: \prod \{A : \square\} (\alpha : \mathbb{N} \rightarrow \mathbb{N}) (d : \mathfrak{D} A). A \\ \partial \alpha (\eta \ x) &:= x \\ \partial \alpha (\beta \ k \ i) &:= \partial \alpha (k (\alpha i)) \end{aligned}$$

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*For any numeral  $n$ :*

$$\forall \alpha. \ n = \partial (\eta \ n) \ \alpha$$

## II. The case of System T

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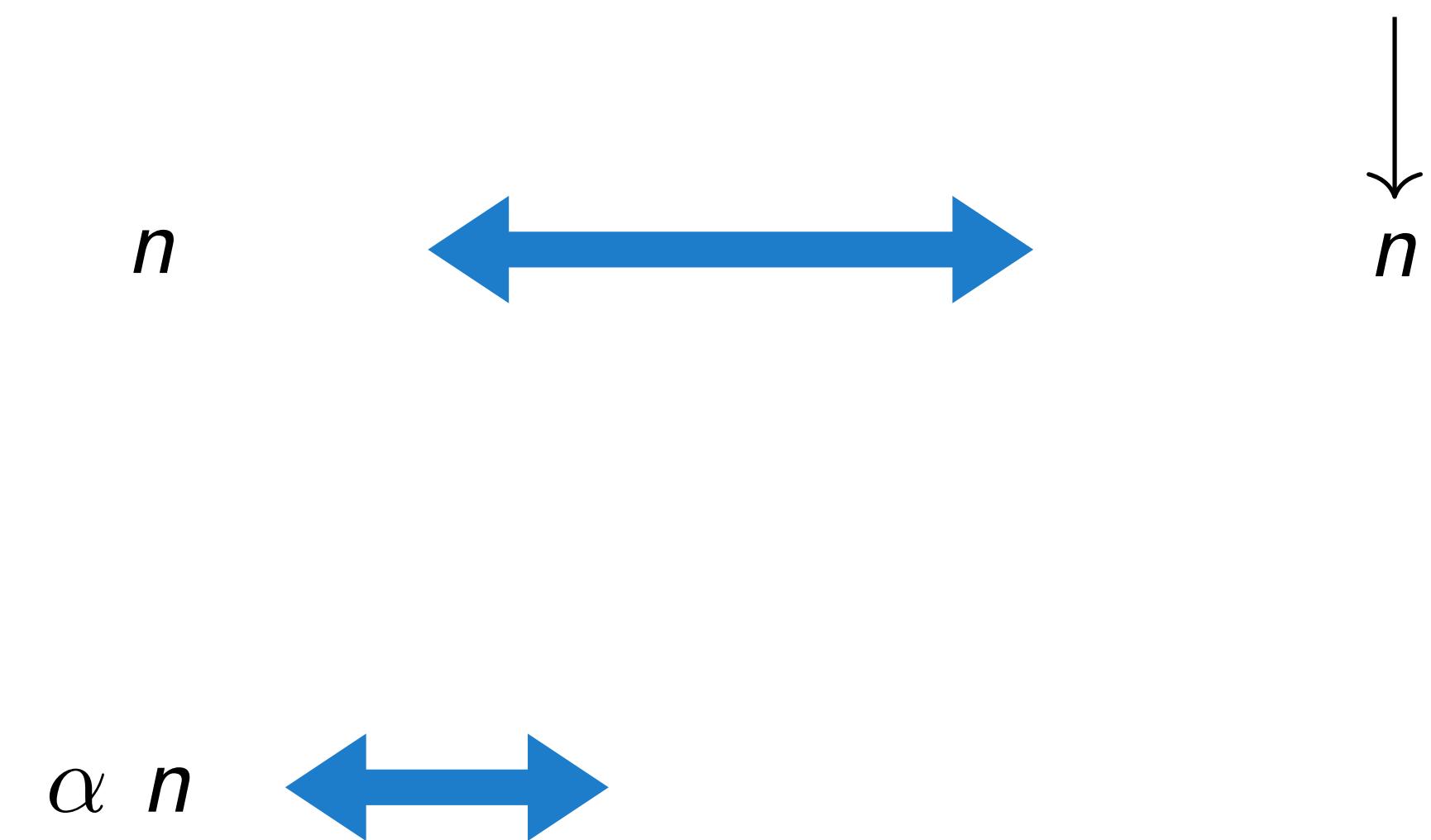
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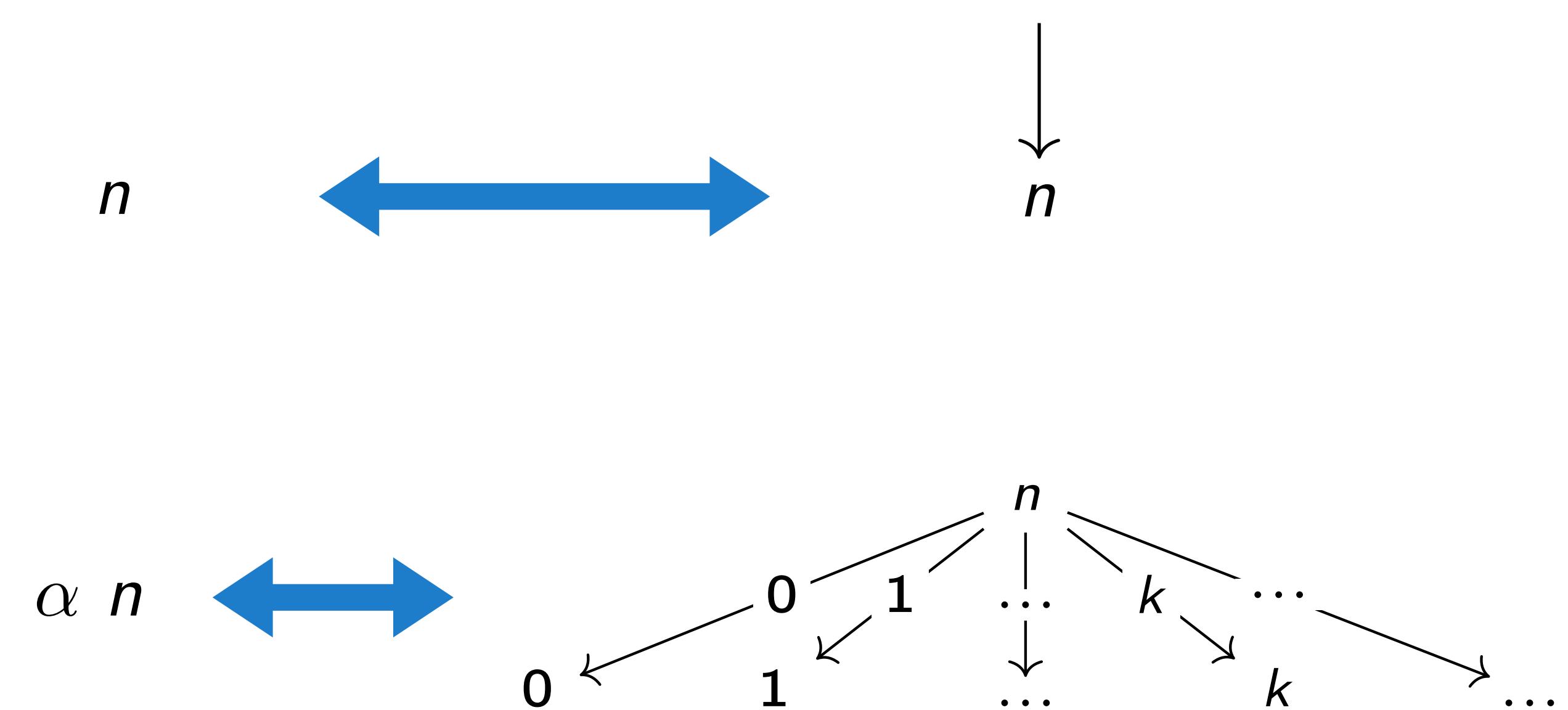
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We define

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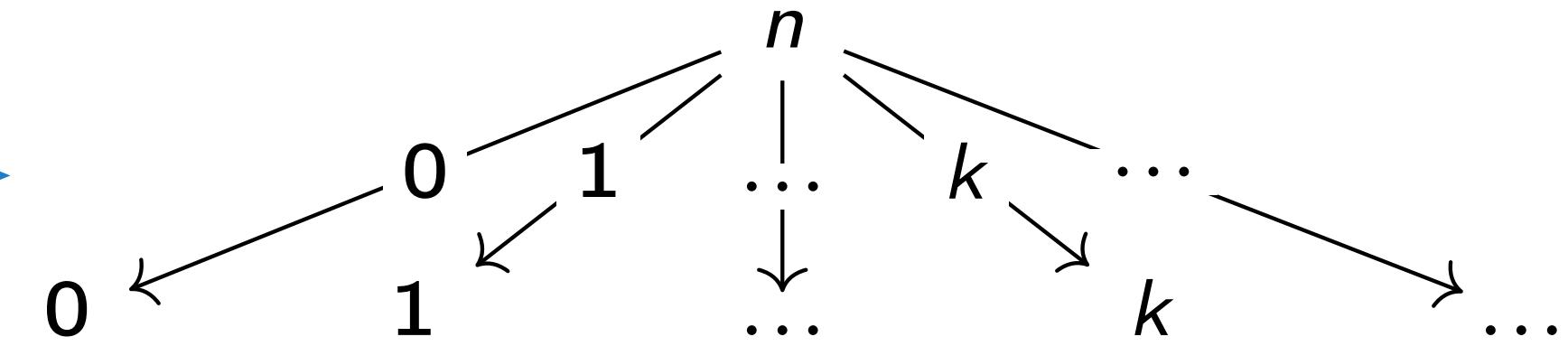
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$$n \quad \xrightarrow{\hspace{2cm}}$$



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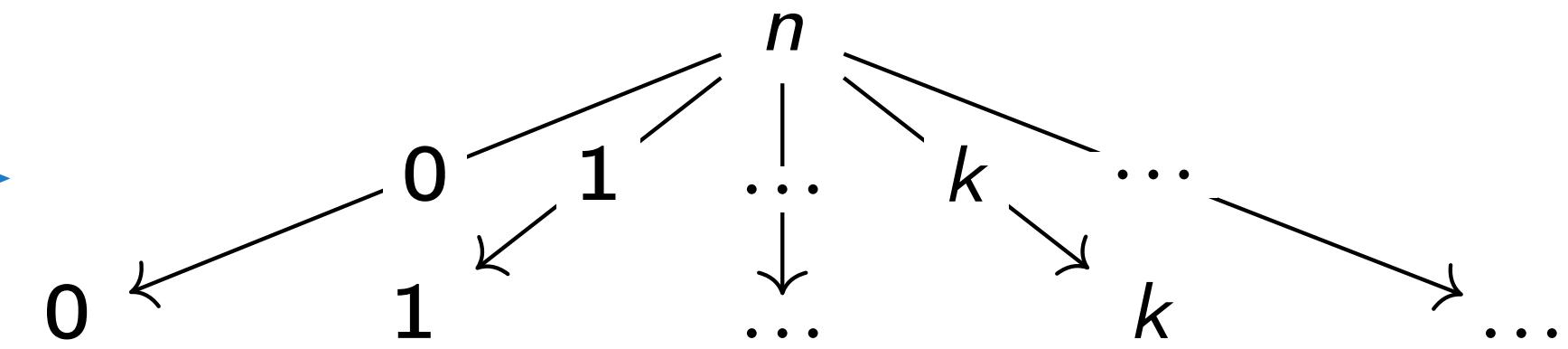
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$$n \quad \xrightarrow{\hspace{2cm}}$$



$$\gamma : \mathfrak{D} \mathbb{N} \rightarrow \mathfrak{D} \mathbb{N} := \text{bind } \delta$$

# III. Baclofen Type Theory

*The effect of effects*

$$A, B, M, N ::= \square_i \mid x \mid M\ N \mid \lambda x : A. \ M \mid \Pi x : A. \ M$$

$$\Gamma, \Delta ::= \cdot \mid \Gamma, x : A$$

$$\begin{array}{c}
 \frac{}{\vdash \cdot} \quad \frac{\Gamma \vdash A : \square_i}{\vdash \Gamma, x : A} \quad \frac{\vdash \Gamma \quad (x : A) \in \Gamma}{\Gamma \vdash x : A} \quad \frac{\vdash \Gamma \quad i < j}{\Gamma \vdash \square_i : \square_j} \\
 \\ 
 \frac{\Gamma \vdash A : \square_i \quad \Gamma \vdash M : B}{\Gamma, x : A \vdash M : B} \quad \frac{\Gamma \vdash A : \square_i \quad \Gamma, x : A \vdash B : \square_j}{\Gamma \vdash \Pi x : A. \ B : \square_{\max(i,j)}} \\
 \\ 
 \frac{\Gamma \vdash M : \Pi x : A. \ B \quad \Gamma \vdash N : A}{\Gamma \vdash M\ N : B\{x := N\}} \\
 \\ 
 \frac{\Gamma, x : A \vdash M : B \quad \Gamma \vdash \Pi x : A. \ B : \square_i}{\Gamma \vdash \lambda x : A. \ M : \Pi x : A. \ B} \\
 \\ 
 \frac{\Gamma \vdash M : A \quad \Gamma \vdash B : \square_i \quad \Gamma \vdash A \equiv B}{\Gamma \vdash M : B}
 \end{array}$$

# III. Baclofen Type Theory

## *The effect of effects*

Inductive  $\mathbb{B} := \text{true} : \mathbb{B} \mid \text{false} : \mathbb{B}$

$$\frac{\Gamma \vdash}{\Gamma \vdash \mathbb{B} : \square; \quad \Gamma \vdash \text{true} : \mathbb{B}} \quad \frac{\Gamma \vdash}{\Gamma \vdash \text{false} : \mathbb{B}}$$
$$\frac{\Gamma \vdash P : \mathbb{B} \rightarrow \square; \quad \Gamma \vdash t_{\text{true}} : P \text{ true} \quad \Gamma \vdash t_{\text{false}} : P \text{ false}}{\Gamma \vdash \mathbb{B}\text{-rect } P \ t_{\text{true}} \ t_{\text{false}} : \prod b : \mathbb{B}. P \ b}$$

$\mathbb{B}\text{-rect } P \ t_{\text{true}} \ t_{\text{false}} \ \text{true} \equiv t_{\text{true}}$

$\mathbb{B}\text{-rect } P \ t_{\text{true}} \ t_{\text{false}} \ \text{false} \equiv t_{\text{false}}$

# III. Baclofen Type Theory

*The effect of effects*

Inductive  $\mathbb{N} := O : \mathbb{N} \mid S : \mathbb{N} \rightarrow \mathbb{N}$

$$\frac{\Gamma \vdash}{\Gamma \vdash \mathbb{N} : \square; \quad \Gamma \vdash O : \mathbb{N}} \quad \frac{\Gamma \vdash}{\Gamma \vdash S : \mathbb{N} \rightarrow \mathbb{N}}$$
$$\frac{\Gamma \vdash P : \mathbb{N} \rightarrow \square; \quad \Gamma \vdash t_O : P \ O \quad \Gamma \vdash t_S : \prod_{n:\mathbb{N}} P \ n \rightarrow P \ (S \ n)}{\Gamma \vdash \mathbb{N}\text{-rect } P \ t_O \ t_S : \prod_{n:\mathbb{N}} P \ n}$$

$$\mathbb{N}\text{-rect } P \ t_O \ t_S \ O \equiv t_O$$

$$\mathbb{N}\text{-rect } P \ t_O \ t_S \ (S \ n) \equiv t_S \ n \ (\mathbb{N}\text{-ind } P \ t_O \ t_S \ n)$$

# III. Baclofen Type Theory

## *The effect of effects*

$$\begin{array}{c}
 \text{Inductive } \mathbb{N} := O : \mathbb{N} \mid S : \mathbb{N} \rightarrow \mathbb{N} \\
 \frac{\Gamma \vdash}{\Gamma \vdash \mathbb{N} : \square_i} \quad \frac{\Gamma \vdash}{\Gamma \vdash O : \mathbb{N}} \qquad \frac{\Gamma \vdash}{\Gamma \vdash S : \mathbb{N} \rightarrow \mathbb{N}}
 \\[10pt]
 \frac{\Gamma \vdash P : \mathbb{N} \rightarrow \square_i \quad \Gamma \vdash t_O : P \circ O \quad \Gamma \vdash t_S : \prod_{n:\mathbb{N}} P n \rightarrow P(S n)}{\Gamma \vdash \mathbb{N}_{\text{rect}} P t_O t_S : \prod_{n:\mathbb{N}} P n}
 \end{array}$$

$$\mathbb{N}_{\text{rect}} P t_O t_S O \equiv t_O$$

$$\mathbb{N}_{\text{rect}} P t_O t_S (S n) \equiv t_S n (\mathbb{N}_{\text{ind}} P t_O t_S n)$$

$$\begin{array}{lll}
 \text{tuple} & : & \prod \{A : \square\} (n : \mathbb{N}). \square \\
 \text{tuple } A \circ O & := & \text{unit} \\
 \text{tuple } A (S k) & := & A \times (\text{tuple } A k)
 \end{array}$$

$$\text{tuple}(A : \square)(n : \mathbb{N}) : \square := \mathbb{N}_{\text{rect}} (\lambda m. \square) \text{unit } (\lambda k K. A \times K) n$$

# III. Baclofen Type Theory

*The effect of effects*

Inductive  $\mathbb{N} := O : \mathbb{N} \mid S : \mathbb{N} \rightarrow \mathbb{N}$

$$\frac{\Gamma \vdash}{\Gamma \vdash \mathbb{N} : \square; \quad \Gamma \vdash O : \mathbb{N}} \quad \frac{\Gamma \vdash}{\Gamma \vdash S : \mathbb{N} \rightarrow \mathbb{N}}$$
$$\frac{\Gamma \vdash P : \mathbb{N} \rightarrow \square; \quad \Gamma \vdash t_O : P \ O \quad \Gamma \vdash t_S : \prod_{n:\mathbb{N}} P \ n \rightarrow P \ (S \ n)}{\Gamma \vdash \mathbb{N}\text{-rect } P \ t_O \ t_S : \prod_{n:\mathbb{N}} P \ n}$$

# III. Baclofen Type Theory

## *The effect of effects*

### Theorem

A dependent type theory that features

1. Dependent elimination
2. Substitution
3. An observable effect

is inconsistent.

# III. Baclofen Type Theory

BTT

## An Effectful Way to Eliminate Addiction to Dependence

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*Abstract*—We define a monadic translation of type theory, called weaning translation, that allows for a large range of effects in dependent type theory—such as exceptions, non-termination, non-determinism or writing operation. Through the light of a call-by-push-value decomposition, we explain why the traditional approach fails with type dependency and justify our approach. Crucially, the construction requires that the universe of algebras of the monad forms itself an algebra. The weaning translation applies to a version of the Calculus of Inductive Constructions (CIC) with a restricted version of dependent elimination. Finally, we show how to recover a translation of full CIC by mixing parametricity techniques with the weaning translation. This provides the first effectful version of CIC.

### I. INTRODUCTION

The gap between type theories such as CIC and mainstream programming languages comes to a large extend from the absence of effects in type theories, because of its complex interaction with dependency. For instance, it has already been noticed that inductive types and dependent elimination do not scale well to CPS translations and classical logic [1], [2]. Furthermore, the traditional way to integrate effects in functional programming using monads does not scale to dependency because the monad leaks in the type during substitution.

In this paper, we propose Baclofen Type Theory, a stripped-down version<sup>83</sup> of CIC, and we

Plan of the paper.  
In Section II we explain the main points of the construction through the CBPV decomposition. Then, Section III and IV describe the weaning translation for self-algebraic proto-monads on BTT. Section V describes various instances of self-algebraic proto-monads and their associated effects. Section VI presents a linearity condition to ease the use of BTT on non-recursive inductive types and finally Section VII explains how a mild modification of the weaning translation using parametricity techniques allows one to recover a translation of full CIC.

Plugin implementation.  
As it is the case for other syntactic models [4], [3], it is possible to implement the weaning translation as a Coq plugin. The plugin is available at [https://github.com/Coq-effects](https://github.com/Coq-coq-effects).

II. GENESIS OF THE TRANSLATION

# III. Baclofen Type Theory

*BTT: bad pun*

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### II. GENESIS OF THE TRANSLATION

This section pre-

# III. Baclofen Type Theory

*But good theory nonetheless*

BTT = Dependent Type Theory  
*with restricted dependent elimination  
to accommodate effects*

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# III. Baclofen Type Theory

*But good theory nonetheless*

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*Exceptions*

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BTT = Dependent Type Theory  
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*Exceptions*

*Non-termination*

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*Exceptions*

*Non-termination*

*Non-determinism*

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*II. GENESIS OF THE TRANSLATION*

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$$\text{CIC} \quad \frac{\vdash P : \mathbb{B} \rightarrow \square \quad \vdash u_t : P \text{ true} \quad \vdash u_f : P \text{ false}}{\vdash \mathbb{B}\text{-rect } P \ u_t \ u_f : \prod(b : \mathbb{B}). P \ b}$$

$$\text{BTT} \quad \frac{\vdash P : \mathbb{B} \rightarrow \square \quad \vdash u_t : P \text{ true} \quad \vdash u_f : P \text{ false}}{\vdash \mathbb{B}\text{-rect } P \ u_t \ u_f : \prod(b : \mathbb{B}). \mathbb{B}\text{-store } P \ b}$$

$$\mathbb{B}\text{-store } P \text{ true} \equiv P \text{ true}$$

$$\mathbb{B}\text{-store } P \text{ false} \equiv P \text{ false}$$

$$\mathbb{B}\text{-store } P \beta \text{ underspecified}_{89} \text{ for any } \beta \text{ non standard inhabitant of } \mathbb{B}$$

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CIC

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BTT

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`tuple(A : □)(n : N) : □ := N_rect (λm. □) unit (λk K. A × K) n`

$$\begin{array}{lcl} \text{tuple} & : & \Pi\{A : \square\} (n : \mathbb{N}). \square \\ \text{tuple } A \text{ O} & := & \text{unit} \\ \text{tuple } A (S k) & := & A \times (\text{tuple } A k) \\ \text{tuple } A \beta & := & \text{underspecified} \end{array}$$

## IV. The Dialogue Model of BTT

*Big Tree Theory*

# IV. The Dialogue Model of BTT

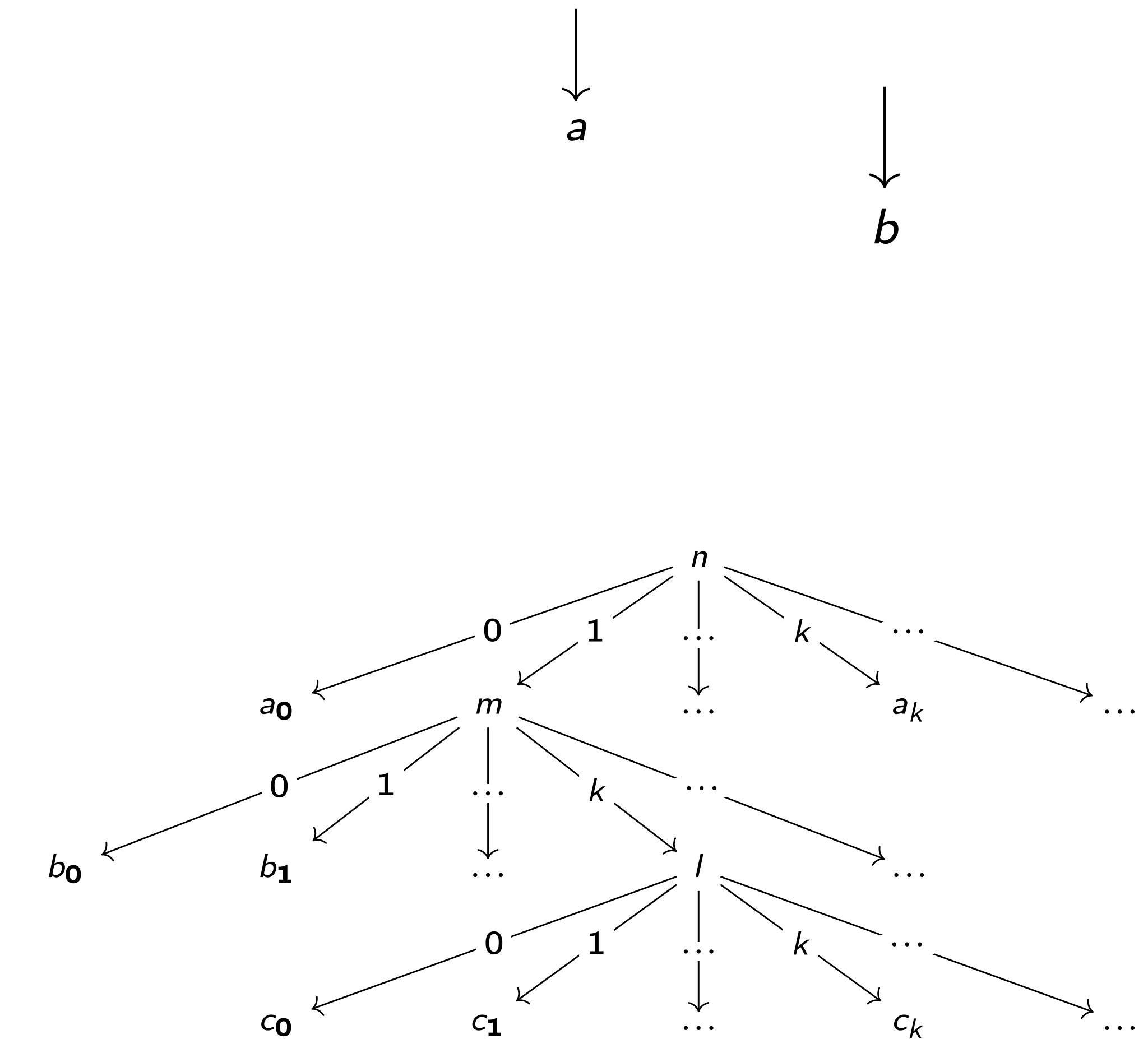
## *Talking trees*

We consider the following Dialogue operator :

Inductive  $\mathfrak{D} (A : \square) : \square :=$

$$\begin{array}{l} | \quad \eta : A \rightarrow \mathfrak{D} A \\ | \quad \beta : (\mathbb{N} \rightarrow \mathfrak{D} A) \rightarrow \mathbb{N} \rightarrow \mathfrak{D} A. \end{array}$$

$(\mathfrak{D}, \eta, \text{bind})$  is a "moral" monad



# IV. The Dialogue Model of BTT

## *Talking trees*

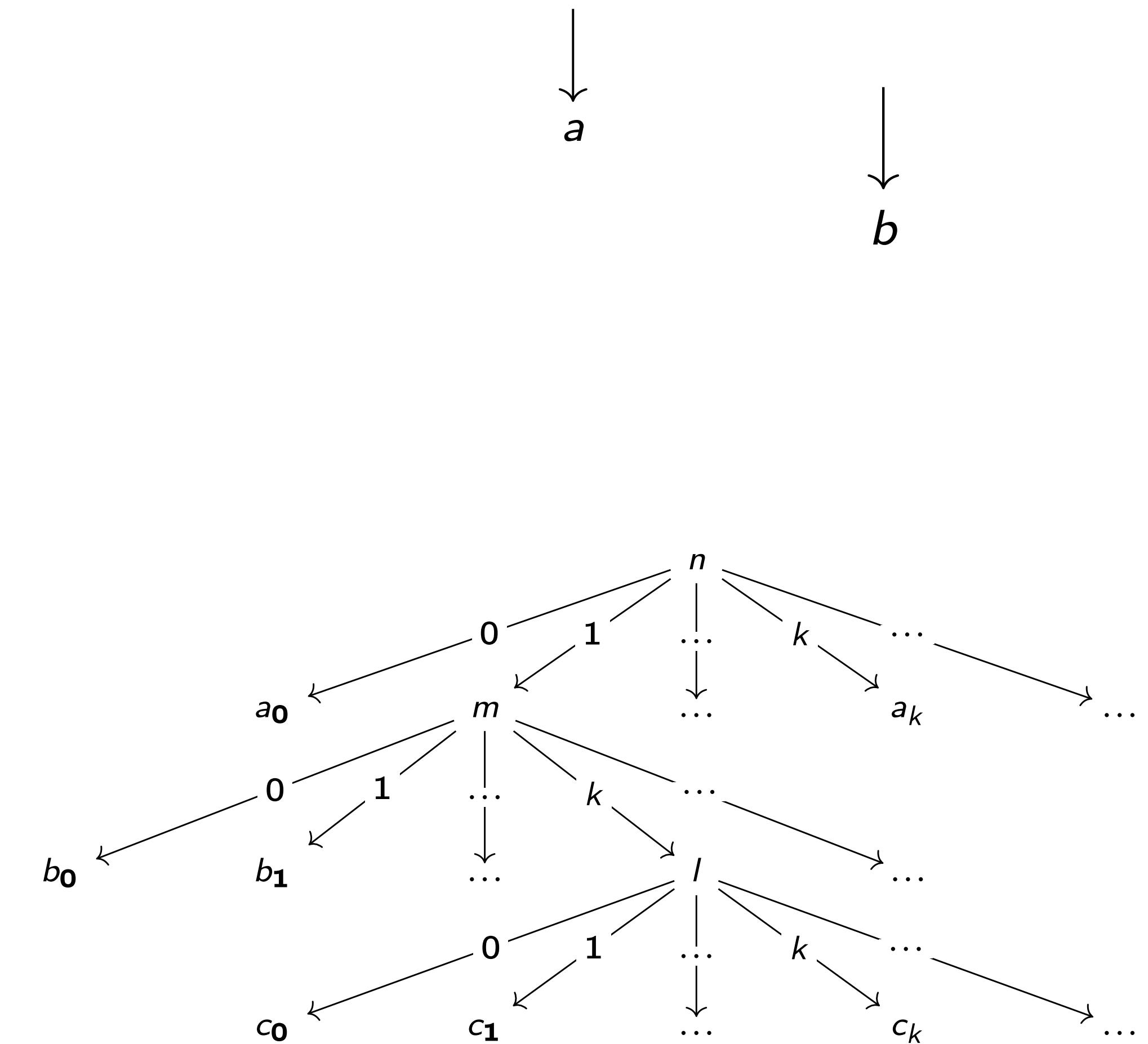
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$(\mathfrak{D}, \eta, \text{bind})$  is a "moral" monad

 We can build a BTT Model where types are interpreted as "moral" algebras of  $\mathfrak{D}$



# IV. The Dialogue Model of BTT

## *Moral-blablabla*

We define the type of "moral" algebras of the Dialogue "moral" monad:

$$\square^b \approx \Sigma(A : \square). \text{isAlg}_{\mathcal{D}}(A)$$

# IV. The Dialogue Model of BTT

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We define the type of "moral" algebras of the Dialogue "moral" monad:

$$\Box^b \approx \Sigma(A : \Box). \text{isAlg}_{\mathcal{D}}(A)$$

$$\Box^b := \Sigma(A : \Box). \Pi(i : \mathbb{N}). (\mathbb{N} \rightarrow A) \rightarrow A$$

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We call such types *Branching types*

# IV. The Dialogue Model of BTT

## *The Branching translation*

$$\frac{\Gamma \vdash t : A}{\begin{array}{c} \text{Branching} \\ \hline \text{Translation} \end{array}} \quad \llbracket \Gamma \rrbracket_b \vdash [t]_b : \llbracket A \rrbracket_b$$
$$\llbracket \square \rrbracket_b := \square^b$$

# IV. The Dialogue Model of BTT

## *The Branching translation*

Inductive  $\mathbb{B}_b :=$

- |  $true_b : \mathbb{B}_b$
- |  $false_b : \mathbb{B}_b$
- |  $\beta_{\mathbb{B}_b} : (\mathbb{N} \rightarrow \mathbb{B}_b) \rightarrow \mathbb{N} \rightarrow \mathbb{B}_b.$

Inductive  $\mathbb{N}_b :=$

- |  $0_b : \mathbb{N}_b$
- |  $S_b : \mathbb{N}_b \rightarrow \mathbb{N}_b$
- |  $\beta_{\mathbb{N}_b} : (\mathbb{N} \rightarrow \mathbb{N}_b) \rightarrow \mathbb{N} \rightarrow \mathbb{N}_b.$

# IV. The Dialogue Model of BTT

*The Branching translation*

$$[A]_b := ([\![A]\!]_b, \beta_A)$$

# IV. The Dialogue Model of BTT

*The Branching translation*

$$[A]_b := (\llbracket A \rrbracket_b, \beta_A)$$

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*The Branching translation*

$$[A]_b := (\llbracket A \rrbracket_b, \beta_A)$$

$$\llbracket \mathbb{N} \rrbracket_b := \mathbb{N}_b$$

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$$\llbracket \square \rrbracket_b := \square^b$$

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*The Branching translation*

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$$\begin{aligned} \llbracket \mathbb{N} \rrbracket_b &:= \mathbb{N}_b \\ \beta_{\mathbb{N}} &:= \beta_{\mathbb{N}_b} \end{aligned}$$

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$$\begin{aligned} \llbracket \Pi x : A. B \rrbracket_b &:= \Pi x_b : \llbracket A \rrbracket_b. \llbracket B \rrbracket_b \\ \beta_{\Pi x : A. B} &:= \lambda(i : \mathbb{N})(k : \mathbb{N} \rightarrow \Pi x : \llbracket A \rrbracket_b. \llbracket B \rrbracket_b)(x : \llbracket A \rrbracket_b). \\ &\quad \beta_B i (\lambda n : \mathbb{N}. k n x) \end{aligned}$$

# IV. The Dialogue Model of BTT

*The Branching translation*

$$[x]_b := x_b$$

$$[\lambda x : A. M]_b := \lambda x_b : [A]_b. [M]_b$$

$$[M\ N]_b := [M]_b\ [N]_b$$

$$[\cdot]_b := \cdot$$

$$[\Gamma, x : A]_b := [\Gamma]_b, x_b : [A]_b$$

## V. The full model

*3 syntactic translations for the price of 1*

## V. The full model

*A sense of déjà-vu*

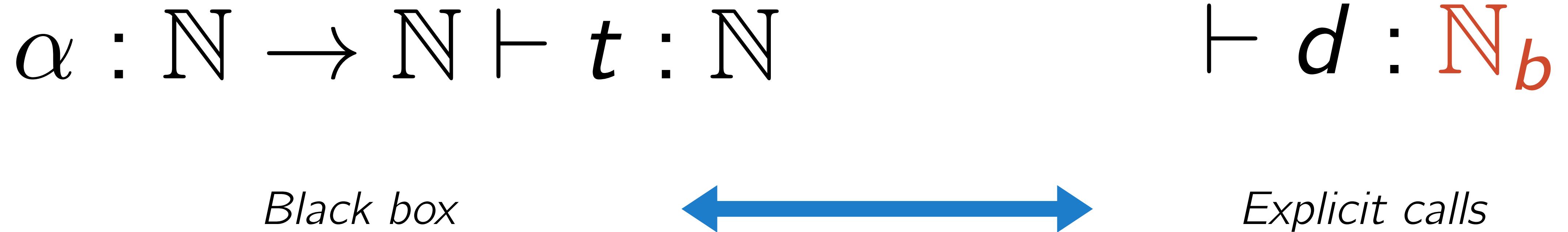
$$\alpha : \mathbb{N} \rightarrow \mathbb{N} \vdash t : \mathbb{N} \qquad \vdash d : \mathbb{N}_b$$

*Black box*

*Explicit calls*

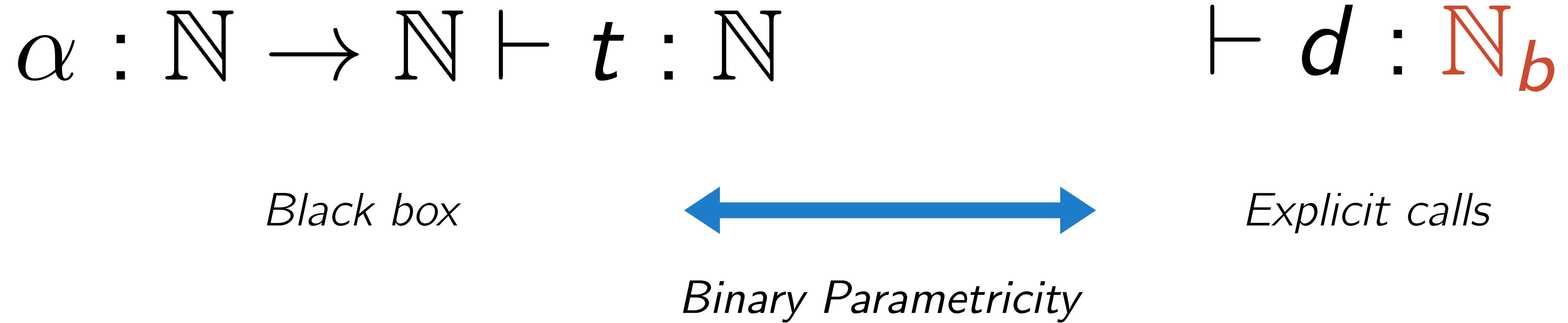
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## V. The full model

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Given  $\alpha : \mathbb{N} \rightarrow \mathbb{N}$ ,  $\Gamma \vdash t : A$  will be translated as :

$$\llbracket \Gamma \rrbracket_a^\alpha \vdash [t]_a^\alpha : \llbracket A \rrbracket_a^\alpha$$

*Axiom translation*

$$\llbracket \Gamma \rrbracket_b \vdash [t]_b : \llbracket A \rrbracket_b$$

*Branching translation*

$$\llbracket \Gamma \rrbracket_\epsilon^\alpha \vdash [t]_\epsilon^\alpha : \llbracket A \rrbracket_\epsilon^\alpha \quad [t]_a^\alpha \quad [t]_b$$

*Parametricity  
translation*

## V. The full model

*The example of booleans*

$([\![\mathbb{B}]\!]_a^\alpha, [\![\mathbb{B}]\!]_b, [\![\mathbb{B}]\!]_\epsilon^\alpha) \equiv (\mathbb{B}, \mathbb{B}_b, \mathbb{B}_\epsilon^\alpha)$  where :

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*The example of booleans*

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Inductive  $\mathbb{B} :=$

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Inductive  $\mathbb{B}_\epsilon^\alpha : \mathbb{B} \rightarrow \mathbb{B}_b \rightarrow \square ; :=$   
|  $true_\epsilon^\alpha : \mathbb{B}_\epsilon^\alpha \text{ true } true_b$   
|  $false_\epsilon^\alpha : \mathbb{B}_\epsilon^\alpha \text{ false } false_b$   
|  $\beta_{\mathbb{B}_\epsilon^\alpha} : \forall (b_a : \mathbb{B})$   
     $(f : \mathbb{N} \rightarrow \mathbb{B}_b)$   
     $(n : \mathbb{N})$   
     $(b_\epsilon : \mathbb{B}_\epsilon^\alpha b_a (f (\alpha n))),$   
     $\mathbb{B}_\epsilon^\alpha b_a (\beta_{\mathbb{B}_b} f n)$ .

# V. The full model

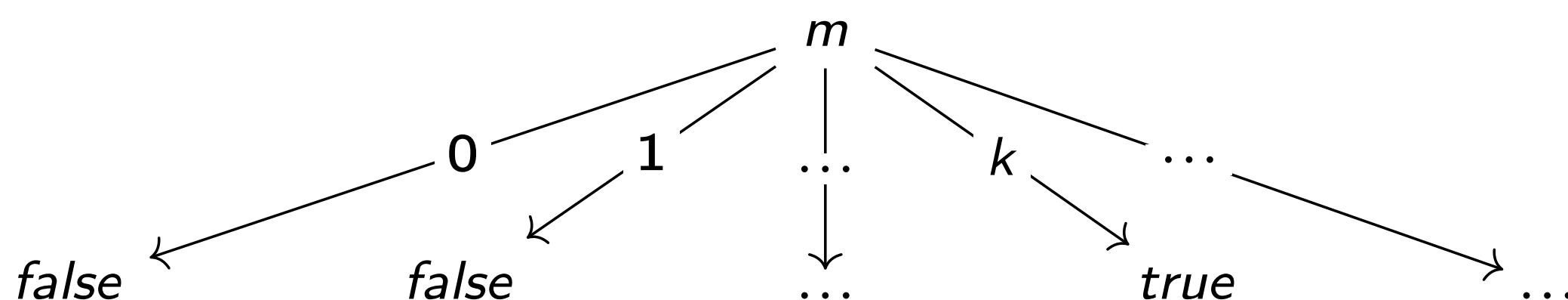
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# V. The full model

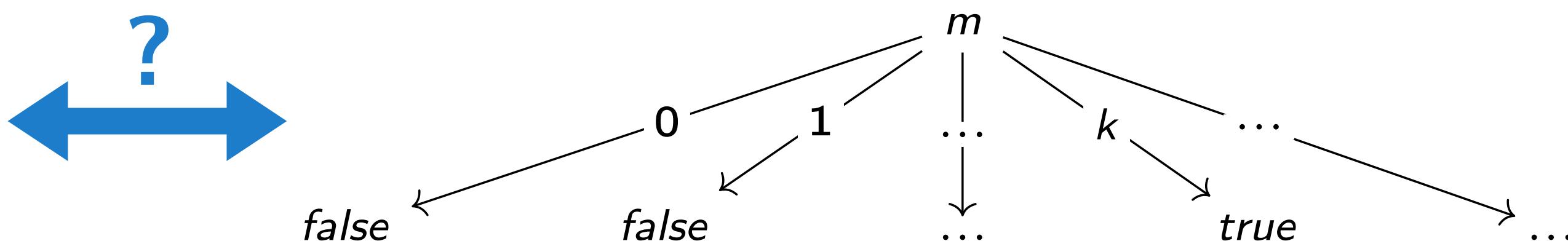
## *The example of booleans*

$([\![\mathbb{B}]\!]_a^\alpha, [\![\mathbb{B}]\!]_b, [\![\mathbb{B}]\!]_\epsilon^\alpha) \equiv (\mathbb{B}, \mathbb{B}_b, \mathbb{B}_\epsilon^\alpha)$  where :

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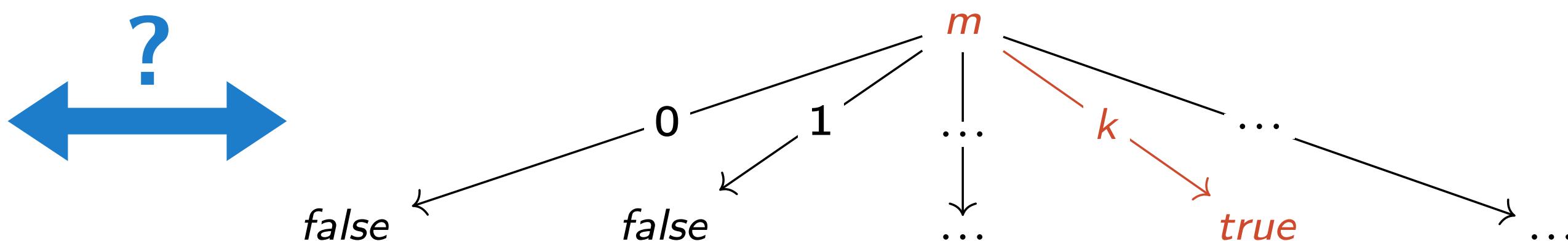
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$$\alpha \ m = k$$

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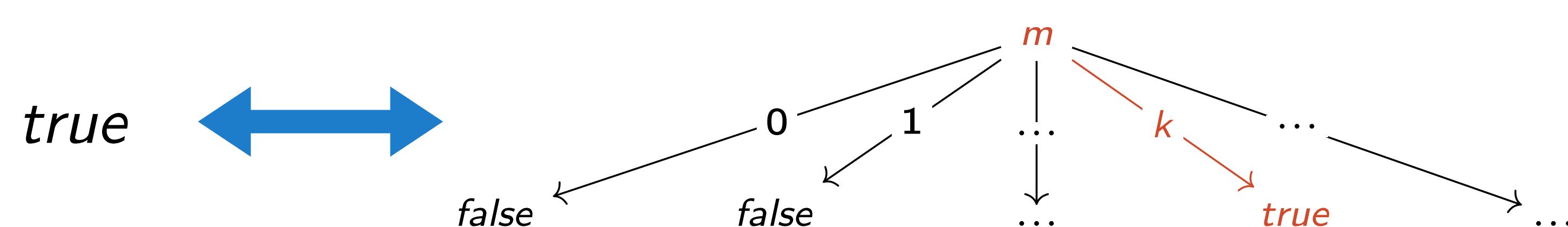
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Fundamental property :  $\Pi(b_a : \mathbb{B})(b_b : \mathbb{B}_b)(b_\epsilon : \mathbb{B}_\epsilon^\alpha b_a b_b). b_a = \partial \alpha b_b$

# V. The full model

*Final theorem*

Theorem

Given

$$\vdash_{\text{BTT}} f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$$

in the source theory, then

$$\lambda\alpha. [f]_a^\alpha \alpha$$

is continuous in the target theory.

# V. The full model

*A sense of déjà-vu (this subtitle is part of it)*

$$\vdash_{\text{BTT}} f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$$

## V. The full model

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$$\vdash_{\text{BTT}} f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$$

$$\alpha : \mathbb{N} \rightarrow \mathbb{N} \vdash [f]_a^\alpha \alpha : \mathbb{N} \qquad \vdash [f]_b \gamma_b : \mathbb{N}_b$$

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$$\alpha : \mathbb{N} \rightarrow \mathbb{N} \vdash [f]_\epsilon^\alpha \quad \gamma_\epsilon : \mathbb{N}_\epsilon \quad ([f]_a^\alpha \quad \alpha)([f]_b \quad \gamma_b)$$

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$$[f]_a^\alpha \alpha = \partial \alpha ([f]_b \gamma_b)$$

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$$\Pi(\alpha : \mathbb{N} \rightarrow \mathbb{N}). \quad f \alpha = \partial \alpha ([f]_b \gamma_b)$$

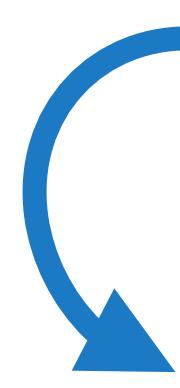
# Future work

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*Going internal ?*

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*Going internal ?*

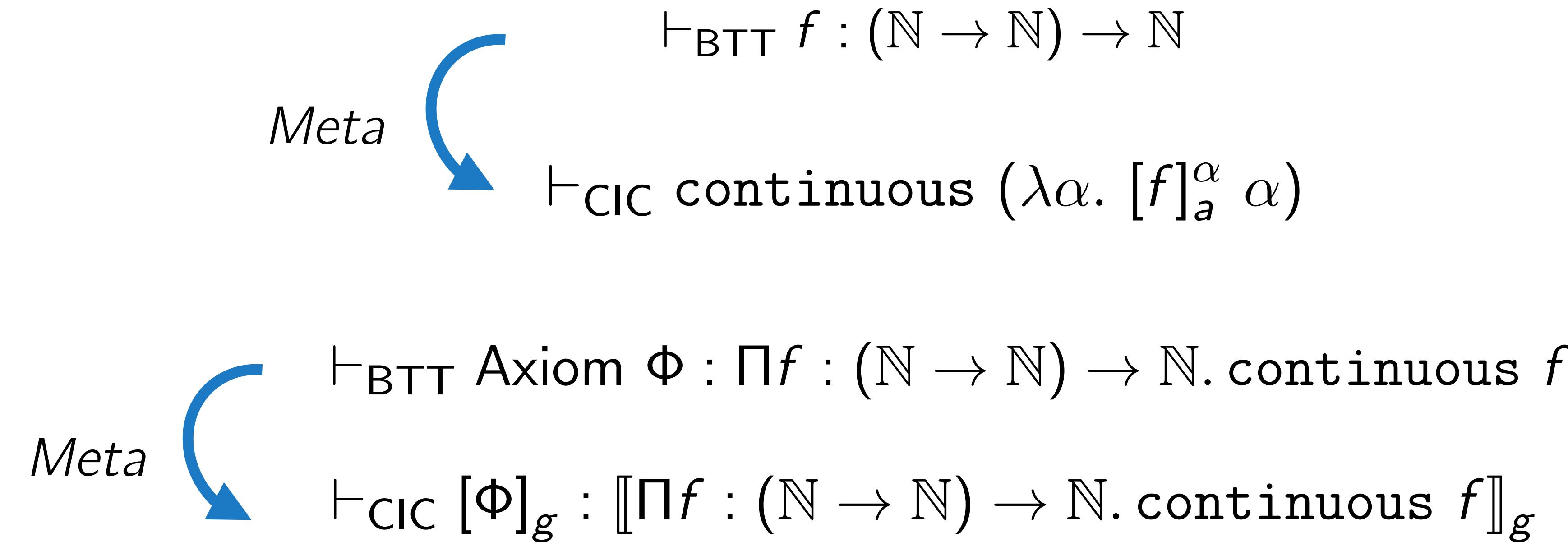
Meta  


$$\vdash_{\text{BTT}} f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}$$

$$\vdash_{\text{CIC}} \text{continuous } (\lambda \alpha. [f]_a^\alpha \alpha)$$

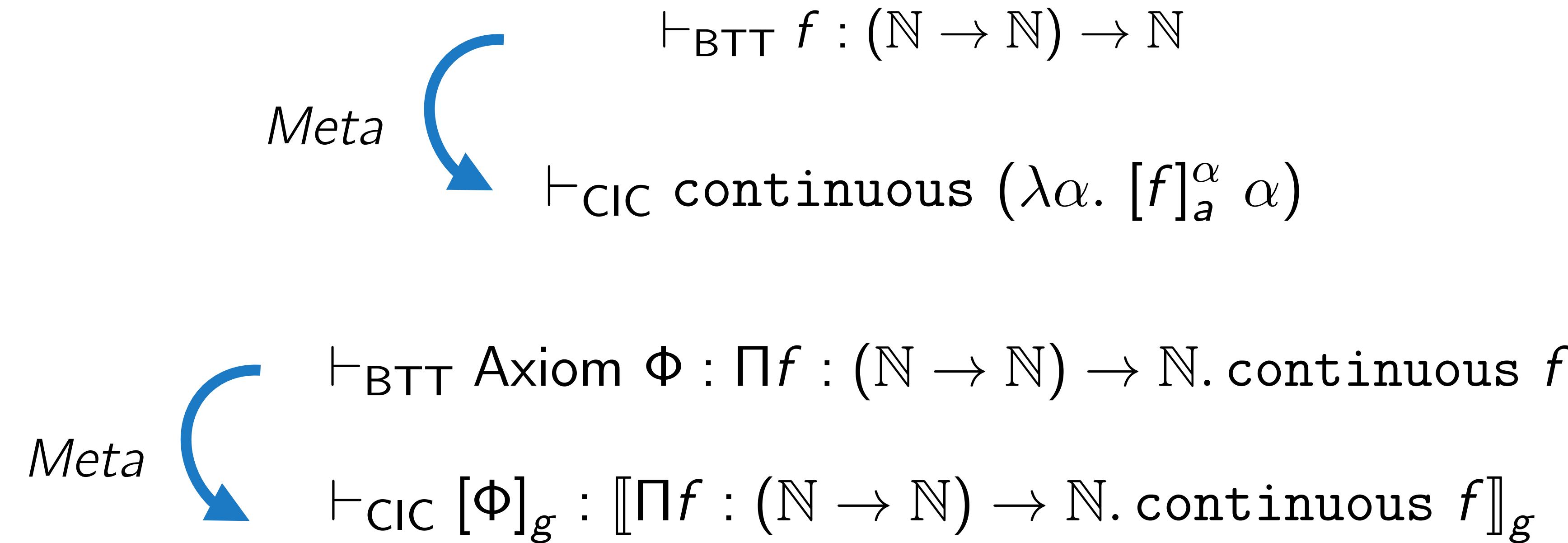
# Future work

*Going internal ?*



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No-go theorem for CIC:

$\vdash_{\text{CIC}} (\Pi f : (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow \mathbb{N}. \text{continuous } f) \rightarrow 0 = 1$

# Future work

## *Going CIC ?*

BTT = Dependent Type Theory

*with restricted dependent elimination to accommodate effects*

$$\text{CIC} \quad \frac{\vdash P : \mathbb{B} \rightarrow \square \quad \vdash u_t : P \text{ true} \quad \vdash u_f : P \text{ false}}{\vdash \mathbb{B}\text{-rect } P \ u_t \ u_f : \Pi(b : \mathbb{B}). P \ b}$$

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$$\mathbb{B}\text{-store } P \text{ true} \equiv P \text{ true}$$

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$$\mathbb{B}\text{-store } P \ \beta \text{ underspecified for any } \beta \text{ non standard inhabitant of } \mathbb{B}$$

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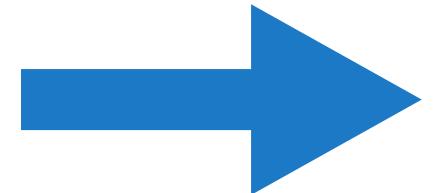
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Ensure that  $P$  cannot discriminate between pure and effectful terms?

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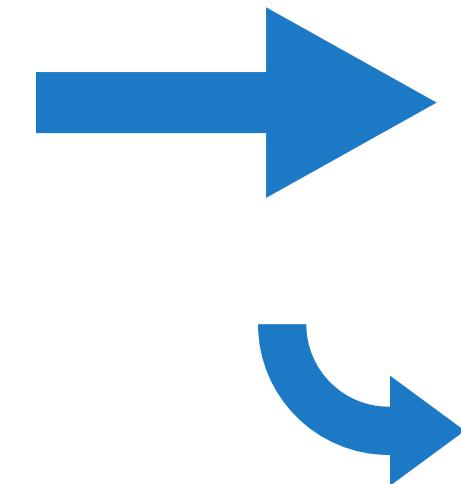
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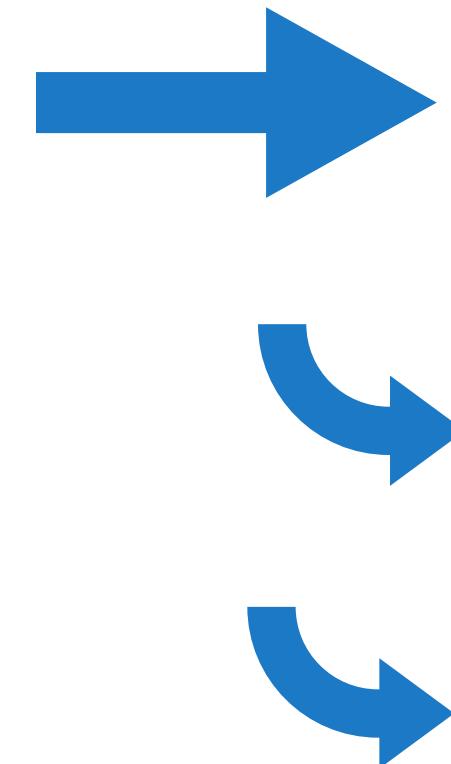
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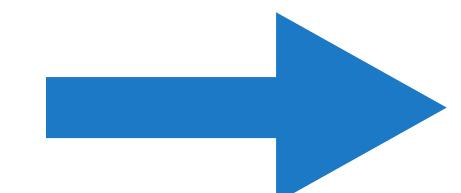
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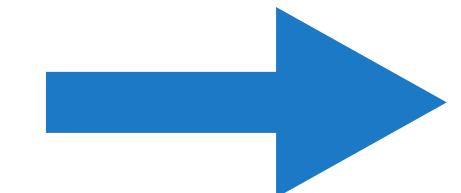
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Use an other proof technique altogether?

# Back to square 1

*The axiomatic translation*

$$\alpha : \mathbb{N} \rightarrow \mathbb{N} \vdash t : \mathbb{N}$$

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Look at the structure of the term using NbE

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Look at the structure of the term using NbE



Get the branching structure from the term itself

# Conclusion

*Thank you for watching*